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## MODULE - 1

Algorithm :- It is an unambiguous, sequence of steps to solve the problem, ie it generates the required o/p by accepting legitimate i/p in finite amount of time.

### Characteristics of Algorithm :-

1. Non-ambiguous steps
2. Range of inputs [It should accept]
3. Legitimate [valid] input.
4. Finiteness.
5. Definiteness [correctness, it definitely gives the o/p]
6. Effectiveness  
Same Algorithm can be represented in different ways
  - i) Natural language rep<sup>n</sup> [English]
  - ii) Pseudo code rep<sup>n</sup>
  - iii) Flow chart method
7. Same problem can be solved using many idea  
ie Design techniques.

### GCD :-

- 1) Euclids method
- 2) Consecutive integer check method.
- 3) middle school method.

(SOURCE DIGINOTES)

Effectiveness :- Every step should be effective [Important] to generate the required o/p

## 1. Euclids - GCD

Algorithm :- Euclids  $\pm$  GCD (m, n)

|| Input : non-negative integer m, n and both should not be zero

|| output : GCD of m, n.

while (n  $\neq$  0)

$r \leftarrow m \% n$

$m \leftarrow n$

$n \leftarrow r$ .

endwhile

return (m)

## 2. consecutive integer check <sup>method</sup> ~~language~~

Algorithm :- consecutive integer check <sup>method</sup> ~~language~~ - GCD  
 positive (non zero)  
 $m = 30, 4$

|| Input :- non-negative integers m, n  
 and both should be not be zero

|| output :- output GCD of m, n.

step 1 :  $t \leftarrow \min(m, n)$

step 2 : Divide m by t. if remainder is non-zero, then go to step 4

step 3 : Divide n by t. if remainder is zero, return t as GCD

step 4 : Decrease t by 1. Goto step 2.

t	m % t	n % t
4	2	-
3	0	1
<u>2</u>	0	0
GCD		

## Pseudo-code

1. select minimum value of two inputs assign it to t.

2. divide  $m$  and  $n$  by  $t$ , if <sup>both</sup> remainder zero, then  $t$  is GCD.
3. else decrement  $t$  by 1 and repeat step 2 - until both remainder are zero.

### 3. Middle school method

Algorithm: middle school - GCD( $m, n$ )

// input: non-zero positive integer  
 // output: GCD of  $m, n$ .

step 1: find the factors of  $m$

step 2: find the factors of  $n$

step 3: find the common factors from step 1 and step 2

step 4: return largest common factor from step 3 as GCD

#include <iostream.h>

### Sieve of Eratosthenes

used to generate prime numbers for given range.

Initial -  $n=2$   
 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19

Sieve (2) - 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19

Sieve (3) - 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19

### Algorithm sieve( $n$ )

// input: An integer  $n \geq 2$ .

// output: list A contain prime number upto  $n$ .

for  $p \leftarrow 2$  to  $n$  do

$L[p] \leftarrow p$

end for

for  $p \leftarrow 2$  to  $\lfloor \sqrt{n} \rfloor$  do

$j \leftarrow p * p$ ;

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```

    if (L[j] ≠ 0)
        while (j ≤ n) do
            L[j] ← 0
            j ← j + P
        endend while
    end if
end for

```

```

j ← 1
for p ← 2 to n do
    if (L[p] ≠ 0)
        A[j] ← L[p]
        j ← j + 1
    end if
end for
return (A)

```

### Algorithm specification :-

- 1) comment is specified through //
- 2) Algorithm header is specified as  
 Algorithm Name (parameter list)
- 3) Alg specify algorithms legitimate inputs and the required o/p
- 4) compound statements are specified with { }  
 or begin ... end
- 5) Record data type are specified through { }  
 ex: record student { like struct }  
 {  
 name datatype  
 }  
 (compound data types)  
 each of them are  
 accessed & their  
 instances.

6) if (condition) then  
 statement 1  
 statement 2  
 ⋮  
 else.  
 statement  
 ⋮  
 endif.

7. loop statement

① while (condition) do  
 ⋮  
 end while

② repeat  
 ⋮  
 until (condition) } Do while

③ for variable ← value 1 to value 2 do  
 ⋮  
 end for

④ for variable ← value 1 do con to value 2 do

⑤ for variable ← value 1 to value increase by value do

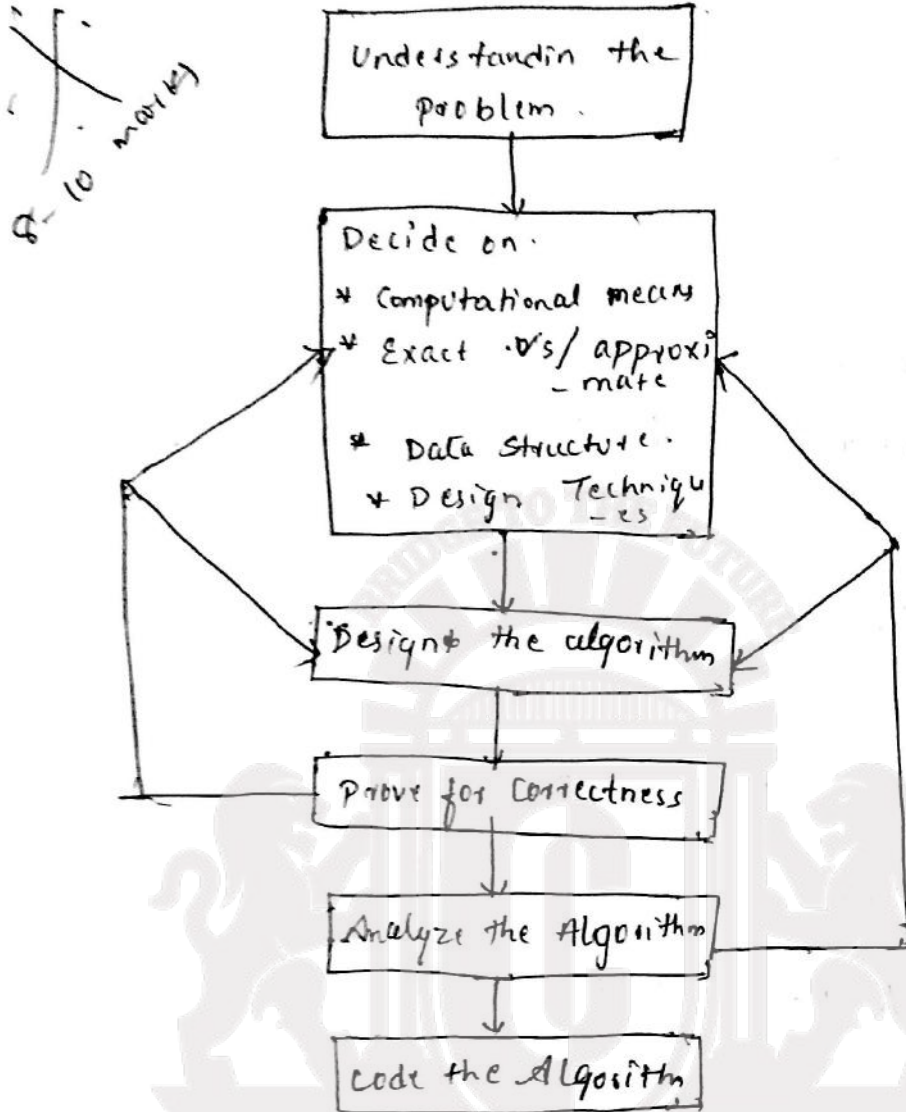
6) Assignment operator ( $\leftarrow$ )  
 eg:  $A \leftarrow B$ .

⑦ Relational operator ( $<, \leq, >, \geq, =, \neq$ ).

⑧ Multi-dimensional array index are specified in  $[ \text{and} ]$ . in  $[ \text{and} ]$

Ex:  $A [ i, j ]$  notes4free.in

# Algorithm design and analysis process / Frame work



1. The first step involves in the thoroughly understanding the problem statement and trying to solve the problem manually for the different instances (copies) of the problem.

## 2. Decide on

→ Computational means

The computational capability judges the computing power of the device on which the algorithm is made to run, common factors considered were speed and memory. The type of the algorithm can be considered as sequential algorithm and parallel algorithm.

→ Exact vs Approximate solving: It decides on whether the problem should generate exact sol or approximate.

$\text{sol}^n$  - Generating an exact  $\text{sol}^n$  may be difficult for the following instances.

- a) generating op for non-linear eq<sup>n</sup>s, solving integrals, calculating square roots.
- b) Due to complexity of solving problem exactly which requires high computation capability outcomes are compromised with approx values.

### → Data Structure

The choice of data structure affects the performance of the algorithm. Choose the data structure that are compromised with approx values. Approximate for current problem.

ex: Choosing array instead of linked list.

### → Algorithm Design techniques

Designing an algorithm is defined as the method or the strategy to solve the given problem and get the desired op. A few design techniques are listed below.

- i) Brute force - linear search, bubble sort, ...
- ii) Divide & conquer
- iii) Decrease and conquer.
- iv) Greedy technique.
- v) Dynamic programming.
- vi) Back tracking, Branch and Bound.

### 3) Design of Algorithm

Designing algorithm involves representing each and every step with non-ambiguity features & simple and basis. Algorithm can be represented using natural language, flowchart or pseudocode.

### 4) Prove the correctness / Algorithm valuation

It is the process of checking a correctness of the algorithm for the range of legitimate input and their respective output. Approximate  $\text{sol}^n$  may be verified by checking their error way, which should not exceed to a certain limit.

## Analysis Framework :-

Based on the resource of computing machines.

- 1) CPU time  $\rightarrow$  Time complexity Analysis.
  - 2) RAM space  $\rightarrow$  space complexity Analysis.
- 

1) measuring input size

Identify potential input for the algorithm which affects the time efficiency.

ex: linear search ( $A[1 \dots n]$ , key)

In this size  $n$  is a prominent i/p parameter which affects the efficiency of the algorithm.

2) Unit of measuring time

- i) clock rate - device time [we don't consider]  $\times$
- ii) primitive operation - counting [— " —]  $\times$
- iii) Basic operation  $\checkmark$

5) Analysis of the algorithm :-

The algorithm is analysed based on time and space constrained and an algorithm which suits the best for the application chosen.

6) Code the algorithm :-

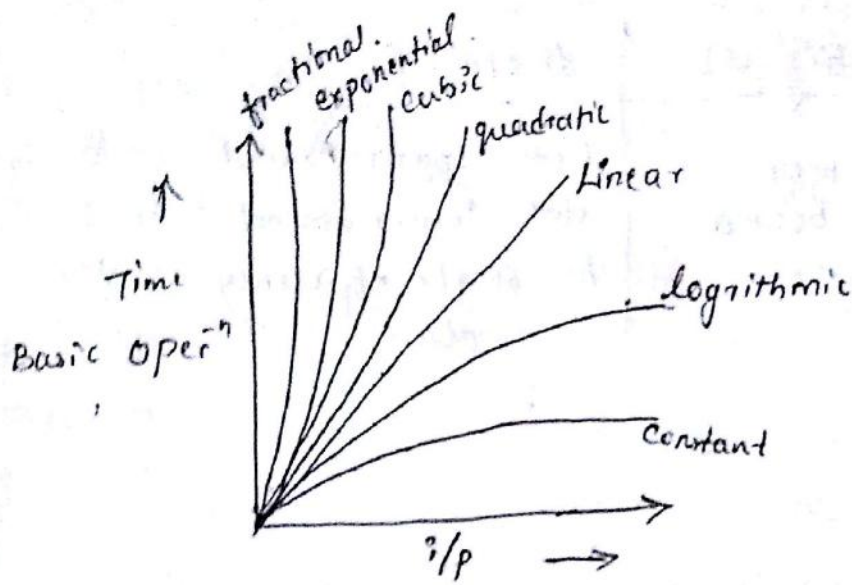
algorithm is implemented on machine using one of the programming languages.

3. Order of growth

It is the relationship b/w i/p size and the time consumed for running an algorithm as the input size increases, the time consumed proportionately increases in a particular order.

The order in which time increases w.r.t input is called as order of the growth.





#### 4. efficiency classes [standard order of growth]

name	Representation	Input $n=10$
constant	$c$	$c$
logarithmic (binary search)	$\log_a n$	$\log_2^{10} = 3.32$
Linear (addition)	$n$	$n = 10$
$n \log n$	$n \log n$	$10 \log_2 10 = 33.2$
Quadratic (Bubble sort)	$n^2$	100
cubic (matrix mul)	$n^3$	1000
exponential	$2^n$	1024
Factorial	$n!$	3628800

#### 5. Asymptotic notations

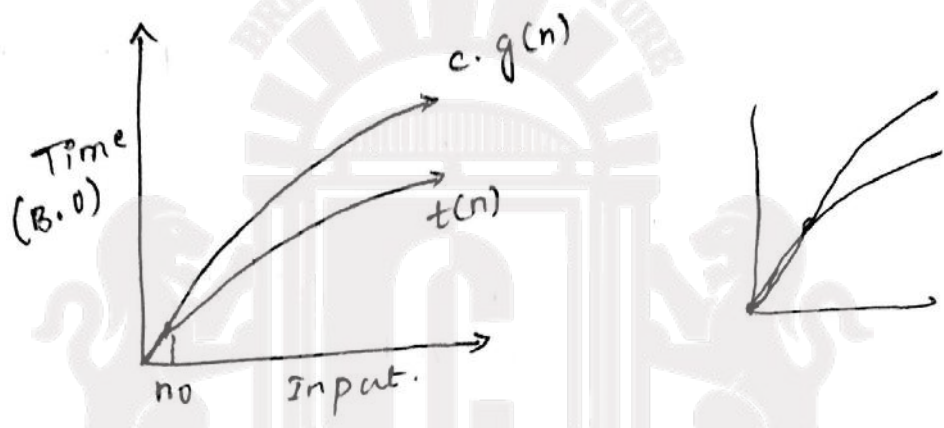
It is a symbolic representation to categorise the algorithmic efficiency at different instances of input of the same size, comparing in the standard efficiency classes.

Big-O

A fn  $t(n)$  is said to be in  $O(g(n))$ , denoted by  $t(n) \in O(g(n))$ , if  $t(n)$  is bounded above by some constant multiple of  $g(n)$   $\forall$  large 'n', i.e. if there exist some positive constant 'c' and some non negative integer 'n<sub>0</sub>' such that  $t(n) \leq c g(n)$

$\forall n \geq n_0$   $t(n) \leq c \cdot g(n) \quad \forall n \geq n_0$

$t(n) \rightarrow$  Order of growth of algorithm.  
 $g(n) \rightarrow$  Reference order of growth.



Ex:-

P.T  $100n + 5 \in O(n)$   
 $t(n) = 100n + 5$   
 $g(n) = n$

Proof:-

If  $100n + 5 \in O(n)$ , then  
 $100n + 5 \leq c \cdot n \quad \forall n \geq n_0$   
 $(100n + 5 \leq 100n + n)$   
 $100n + 5 \leq 101n$

$c = 101$

$100n + 5 \leq 101n$   
 $n=1 \quad 100+5 \leq 101 \quad \times$   
 $n=2 \quad 100 \times 2 + 5 \leq 101 \times 2 \quad \times$

$n=5 \quad 100 \times 5 + 5 \leq 101 \times 5$

$$n=6 \quad 100 \times 6 + 5 \leq 101, 4 \quad \checkmark$$

$$\boxed{n_0 = 5}$$

$$\therefore 100n + 5 \leq 101n \quad \forall n \geq 5.$$

$$\therefore \boxed{100n + 5 \in O(n)}$$

Ex 2  $3n^2 + n + 2 \in O(n^2)$

$$f(n) = 3n^2 + n + 2$$

$$g(n) = n^2$$

Proof - If  $3n^2 + n + 2 \in O(n^2)$ , then

$$3n^2 + n + 2 \in C \cdot n^2 \quad \forall n \geq n_0$$

$$3n^2 + n + 2 \in O(3n^2 + 1)$$

$$3n^2 + 2n \in O(3n^2 + n + n^2)$$

$$3n^2 + 2n \in 4n^2 + n$$

$$\boxed{C=4}$$

$$n=1 \quad \begin{array}{ccc} 3n^2 + 2n & \in & 4n^2 + n \\ \cdot 6 & & 5 \end{array}$$

$$n=2 \quad \begin{array}{ccc} 18 & & 18 \end{array}$$

$$\boxed{n_0 = 2}$$

$$3n^2 + n + 2 \leq 4n^2 + n \quad \forall n \geq 2$$

$$\boxed{3n^2 + n + 2 \in O(n^2)}$$

Ex 3 :-  $4n^3 + 3 \in O(n^3)$

$$f(n) = 4n^3 + 3$$

$$g(n) = n^3$$

Proof, if  $4n^3 + 3 \in O(n^3)$  then

$$4n^3 + 3 \in \frac{5n^3}{5} + n^3$$

$$C = 5$$

$$4n^3 + 3 \in 5n^3 + n^3$$

$$4n^3 + 3 \in 5n^3$$

$$C = 5$$

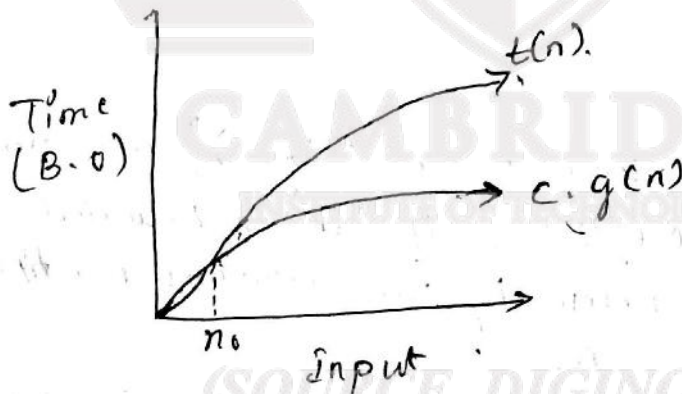
$$n_0 = 2$$

### Big - $\Omega$ [Omega]

a function  $t(n)$  is said to be in  $\Omega(g(n))$  denoted by  $t(n) \in \Omega(g(n))$ , if  $t(n)$  is bounded below by some constant multiple of  $g(n) \forall$  large  $n$ .

ie if there exists some 've' constant 'c' and some non-negative integer  $n_0$  such that

$$t(n) \geq c \cdot g(n) \quad \forall n \geq n_0$$



ex:

P.t.  $3n^2 + n \in \Omega(n)$

$$t(n) = 3n^2 + n$$

$$g(n) = n$$

$$3n^2 + n \geq c \cdot n \quad \forall n \geq n_0$$

$$3n^2 + n \geq 3n + n$$

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$$3n^2 + n \geq 4n$$

$$\boxed{c=4}$$

$$\forall n_0 = 1, 3n^2 + n \geq 4n \quad \forall n \geq 1$$

$$\text{hence } \boxed{3n^2 + n \in \Omega(n)}$$

$$\text{Ex: P.T } 4n^3 + 2n^2 + n + 5 \in \Omega(n^3)$$

$$t(n) = 4n^3 + 2n^2 + n + 5$$

$$g(n) = n^3$$

$$4n^3 + 2n^2 + n + 5 \geq c \cdot n^3$$

$$4n^3 + 2n^2 + n + 5 \geq 4n^3 + 2n^2 + n^3$$

$$4n^3 + 2n^2 + n + 5 \geq 7n^3$$

$$c = 7$$

$$n = 1, 4 + 2 + 1 + 5 \geq 7$$

$$12 \geq 7$$

$$n_0 = 1$$

$$4n^3 + 2n^2 + n + 5 \geq 7 \quad \forall n \geq 1$$

$$4n^3 + 2n^2 + n + 5 \geq \Omega(n^3)$$

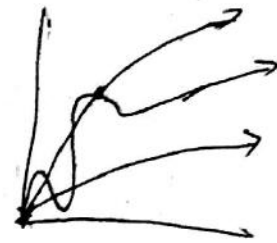
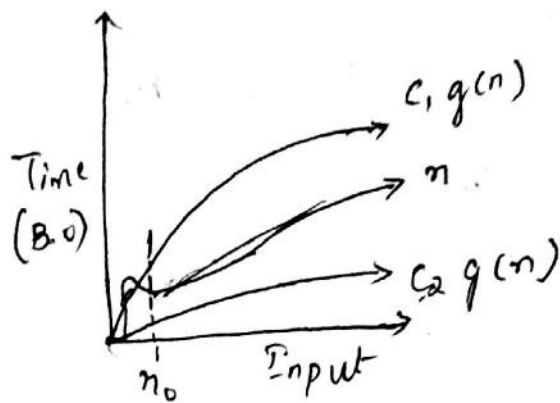
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Theta - 0

A fn  $t(n)$  is said to be  $\Theta(g(n))$  denoted by  $t(n) \in \Theta(g(n))$ , if  $t(n)$  is bounded both above and below by some constant multiples of  $g(n)$   $\forall$  large  $n$ .

~~do~~ ie if there exist some +ve constants  $c_1$  and  $c_2$  and some non negative integer  $n_0$  such that

$$c_2 g(n) \leq t(n) \leq c_1 g(n) \quad \forall n > n_0$$



P.T.  $\frac{1}{2}n(n-1) \in \Theta(n^2)$

$$t(n) = \frac{1}{2} \cdot n(n-1)$$

$$g(n) = n^2$$

$$\boxed{c_2 \cdot n^2 \leq \frac{1}{2}n(n-1) \leq c_1 \cdot n^2} \quad \forall n > n_0$$

eq<sup>n</sup> (1)

$$c_2 n^2 \leq \frac{1}{2}n(n-1)$$

$$\frac{1}{2}n(n-1) > c_2 \cdot n^2$$

$$\frac{1}{2}n^2 - \frac{1}{2}n > c_2 n^2$$

$$\frac{1}{2}n^2 - \frac{1}{2}n \geq \frac{1}{2}n^2 - \frac{1}{2} \cdot \frac{1}{2}n^2$$

$$\geq \frac{1}{2}n^2 - \frac{1}{4}n^2$$

$$\frac{1}{2}n(n-1) \geq \frac{1}{4}n^2$$

$$c_2 = \frac{1}{4}$$

$$n=1 \quad \frac{1}{2} \cdot 1(1-1) \geq \frac{1}{4} \cdot 1^2 \quad \times$$

$$n=2 \quad \frac{1}{2} \cdot 2(2-1) \geq \frac{1}{4} \cdot 2^2 \quad \checkmark$$

$$n=3 \quad \frac{1}{2} \cdot 3(3-1) \geq \frac{1}{4} \cdot 3^2 \quad \checkmark$$

$$\boxed{n_{01} = 2}$$

consider eq<sup>n</sup> ②

$$\frac{1}{2}n(n-1) \leq c_1 n^2 \quad \forall n > n_{02}$$

$$\frac{1}{2}n^2 - \frac{1}{2}n \leq c_1 n^2$$

$$\frac{1}{2}n^2 - \frac{1}{2}n \leq \frac{1}{2}n^2$$

$$c_1 = \frac{1}{2}$$

$$n=1, \quad \frac{1}{2} - \frac{1}{2} \leq \frac{1}{2}$$

$$n=2, \quad 2-1 \leq 2$$

$$n_{02} = 1$$

$$n_0 = \max\{n_0, n_{02}\}$$
$$\max\{2, 1\}$$

$$= 2$$

$$\therefore \frac{1}{4}n^2 \leq \frac{1}{2}n(n-1) \leq \frac{1}{2}n^2 \quad \forall n > 2$$

$$\therefore \frac{1}{2}n(n-1) \in \Theta(n^2)$$

2.  $100n+5 \in \Theta(n)$ .

$$c_2 n \leq \frac{1}{2}n(n)$$

$$c_2 n \leq 100n+5 \leq c_1 n$$

$$c_2 n \leq 100n+5$$

$$100n+5 \geq c_2 n$$

$$100n+5 \geq 100n$$

$$100n+5 \geq 100n$$

$$c_2 = 100$$



$$n_{01}$$

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$$\therefore 100n + 5 \leq c_1 n$$

$$c_1 n \geq 100n + 5$$

$$100n + 5 \leq c_1 n$$

$$100n + 5 \leq 100n + n$$

$$c_1 = 100$$

$$n = 5, \checkmark$$

$$n_{02} = 1$$

$$n_0 = \max\{n_{01}, n_{02}\}$$

$$\max\{5, 1\}$$

$$\{5\}$$

$$\therefore 100n \leq 100n + 5 \leq 101n$$

$$100n + 5 \in \Theta(n)$$

### Properties of asymptotic notations

1. If  $t_1(n) \in O(g_1(n))$  and  $t_2(n) \in O(g_2(n))$   
then  $t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\})$

Proof :-

$$\begin{aligned} \text{If } t_1(n) \in O(g_1(n)) \text{ then } t_1(n) &\leq c_1 g_1(n) \quad \forall n \geq n_{01} \\ \text{Similarly } t_2(n) \in O(g_2(n)) \text{ then } t_2(n) &\leq c_2 g_2(n) \quad \forall n \geq n_{02} \end{aligned}$$

$$= t_1(n) + t_2(n) \leq c_1 g_1(n) + c_2 g_2(n)$$

To maximize R.H.S

$$c_3 \cdot \max\{c_1, c_2\}$$

Replace  $c_1$  and  $c_2$  by  $c_3$



$$= t_1(n) + t_2(n) \leq c_3 \cdot q_1(n) + c_3 \cdot q_2(n)$$

Choose  $\max\{q_1(n), q_2(n)\}$  to replace  $q_1(n)$  and  $q_2(n)$ .

$$= t_1(n) + t_2(n) \leq c_3 \cdot \max\{q_1(n), q_2(n)\} + c_3 \cdot \max\{q_1(n), q_2(n)\} \leq 2c_3 \cdot \max\{q_1(n), q_2(n)\}$$

$$c = 2c_3$$

$$= t_1(n) + t_2(n) \leq c \cdot \max\{q_1(n), q_2(n)\}$$

$$n_0 = \max\{n_{01}, n_{02}\}$$

$$= \therefore t_1(n) + t_2(n) \leq \max\{q_1(n), q_2(n)\} \quad \forall n \geq n_0$$

hence.

$$\boxed{t_1(n) + t_2(n) \in O(\max\{q_1(n), q_2(n)\})}$$

2. comparing 2 different order of growth  
 $(t(n), g(n))$  using limits

ie  $\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \begin{cases} 0 & t(n) \text{ is smaller order of growth than } g(n) \\ c, \text{ where } c > 0 & t(n) \text{ is same order of growth as } g(n) \\ \infty & t(n) \text{ has higher order growth than } g(n) \end{cases}$

(SOURCE: DIGINOTES)

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Ex:- Compare  $n!$  with  $2^n$  using limits

$$\lim_{n \rightarrow \infty} \frac{n!}{2^n}$$

using sterling formula for  $n!$

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

$$\lim_{n \rightarrow \infty} \frac{n!}{2^n} = \lim_{n \rightarrow \infty} \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n}{2^n}$$

$$= \sqrt{2\pi} \lim_{n \rightarrow \infty} \sqrt{n} \left(\frac{n}{2e}\right)^n$$

$= 0$

$\therefore n!$  has higher order of growth compared to  $2^n$  (exponent)

### Mathematical Analysis on non-Recursive Algorithm

- 1) Decide on input parameter
- 2) Identify the basic operation
- 3) Check whether the algorithm depends only on input or if there are any variation, if so estimate Best case, worst case and average case time efficiency separately.
- 4) Build summation equation for no of Basic operation executed.
- 5) solve the equation & ascertain it to one of the standard efficiency class

Ex:-

Algorithm linear-search ( $A[1, \dots, n], \text{key}$ ).

//input: An array of integers  $A[]$  with  $n$  elements and key

//output: returns true if found else false.

for  $i \leftarrow 1$  to  $n$  do.

    if ( $A[i] == \text{key}$ ) then

        return True

    end if

end for

return false

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## Analysis

1. Input parameter - 'n' size of array A.
2. Basic operation - search / comparison -  $A[i] = \text{key}$
3. Apart from input size 'n' the algorithm produces varying order of growth, therefore estimate time analysis for best case, worst case and average case separately.

↳ Best case: if the key is found at first position. comparison is one

$$C_{\text{Best}}(n) = 1$$

∴  $C_{\text{Best}}(n) \in O(1) \Rightarrow$  constant order of growth

Worst case: if the key is found in last position.

$$C_{\text{Worst}}(n) = \sum_{i=1}^n 1$$

$= 1 \cdot (n-1+1)$   
 $= n$

$\left. \begin{array}{l} \sum_{i=1}^n \text{constant} \\ \times \\ = \text{constant} \times (n-1+1) \end{array} \right\}$

∴  $C_{\text{Worst}}(n) \in O(n) \Rightarrow$  linear order of growth

Average case:

$$C_{\text{Avg}}(n) = \frac{(1+2+3+4+\dots+n) \times p}{n} + (1-p) \times n$$
$$= \frac{1}{n} \left( \frac{(n+1) \times n}{2} \right) \times p + (1-p) \times n$$
$$= \left( \frac{n+1}{2} \right) \times p + (1-p) \times n$$

If key is found,  $p = 1$ .

$$C_{\text{Avg-found}}(n) = \left( \frac{n+1}{2} \right) \times 1 + (1-1) \times n$$
$$= \frac{n+1}{2} \approx \frac{n}{2} + \frac{1}{2}$$

For last value of n.

$$C_{\text{Avg-found}}(n) \approx \frac{1}{2} n$$

$$C_{\text{Avg-found}}(n) \in O(n)$$

if key is not found,  $P=0$ .

$$C_{avg-false}(n) = \left(\frac{n+1}{2}\right) * 0 + (1-0) * n$$

$$= n$$
$$\boxed{C_{avg-false}(n) \in \Theta(n)} \Rightarrow \text{constant order of growth.}$$

Ex 2 Finding the largest timing in given list.

Algorithm max-element( $A[1 \dots n]$ )

//input: An array  $A[]$  of  $n$  integers (positive)

//output: return MAX.

MAX  $\leftarrow$  0.

for  $i \leftarrow 0$  to  $n$  do

if ( $A[i] > \text{MAX}$ )

MAX  $\leftarrow$   $A[i]$

end if

end for

return MAX

Analysis

- 1) Input parameter  $\rightarrow$  'n' size of array 'A'
- 2) Basic operation  $\rightarrow$  search/comparison -  $A[i] > \text{MAX}$
- 3) the algorithm completely depends on 'n'  
Hence no variations.

$$A) C(n) = \sum_1^n 1$$
$$= n \text{ linear order of growth.}$$
$$C(n) \in \Theta(n).$$

(SOURCE DIGINOTES)

$$\begin{aligned}
 4. \quad c(n) &= \sum_{i=1}^n 1 \\
 &= n-1 + 1 \\
 &= n \quad \boxed{c(n) \in \theta(n)}
 \end{aligned}$$

Exp:- To find the uniqueness of a given list

Algorithm Uniqueness ( $A[1 \dots n]$ ).

// Input: An array  $A[]$  of 'n' integers

// output: Unique list / duplicate list

for  $i \leftarrow 1$  to  $n-1$  do

  for  $j \leftarrow i+1$  to  $n$  do

    if ( $A[i] = A[j]$ ) then

      return (duplicate).

    end if

  end for

return (unique).

Analysis:- (check uniqueness).

(1) Input parameter :- 'n' Size of array

(2) Basic operation :- comparison -  $A[i] = A[j]$

(3) for uniqueness check algorithm depends only on 'n' :- no variation.

$$(4) \quad c(n) = \sum_{i=1}^{n-1} \sum_{j=i+1}^n 1$$

$$(5) \quad c(n) = \sum_{i=1}^{n-1} (n - (i+1) + 1) \Rightarrow \sum_{i=1}^{n-1} (n - i + 1)$$

$$c(n) = \sum_{i=1}^{n-1} (n-i) \Rightarrow \sum_{i=1}^{n-1} n - \sum_{i=1}^{n-1} i$$

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$$c(n) = n \sum_{i=1}^{n-1} 1 - \sum_{i=1}^{n-1} i$$

$$c(n) = n(n-1+1) - \frac{(n-1)(n-1+1)}{2}$$

$$c(n) = n(n-1) - \frac{n(n-1)}{2}$$

$$c(n) = \frac{n(n-1)}{2}$$

$$c(n) = \frac{n^2}{2} - \frac{n}{2}$$

for large value of  $n$ .

$$c(n) \in \Theta(n^2)$$

eg

Matrix multiplication

$$\begin{matrix} A & B \\ \begin{bmatrix} 1 & 2 \\ 5 & 6 \end{bmatrix} & \begin{bmatrix} 3 & 2 \\ 1 & 7 \end{bmatrix} \end{matrix}$$

Algorithm :-

matrix-multiplication ( $A[n, n], B[n, n]$ ).

// input :- matrix  $A[n, n], B[n, n]$  of integers.

// output :- Resultant matrix  $c[n, n]$ .

// Assumed that matrix  $c$  is initialized to zero

for  $i \leftarrow 1$  to  $n$  do

for  $j \leftarrow 1$  to  $n$  do

for  $k \leftarrow 1$  to  $n$  do

$c[i, j] \leftarrow c[i, j] + A[i, k] * B[k, j]$ .

end for

end for

end for

return  $c$ .

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## Analysis :-

1) Input Parameter - 'n'  $n \times n$  order of matrix  
(Both A or B).

2) Basic operation :- multiplication  
-  $A[i, k] * B[k, j]$ .

3) Depends only on order of matrix - 'n' no variations.

$$4) M(n) = \sum_{i=1}^n \sum_{j=1}^n \sum_{k=1}^n 1$$

$$M(n) = \frac{1}{3} ($$

$$M(n) \in (n^3)$$

cubic order of growth.

Ex: counting no of Bits required to represent Decimal no

Algorithm :- Bits(n)

Input : Decimal no 'n'  $\rightarrow$  non-negative integer

Output : count  $\leftrightarrow$  no of bits to represent 'n'

count  $\leftarrow$  1

while (n > 1) do

n  $\leftarrow$  n/2

count  $\leftarrow$  count ++

end while

return (count)

## Analysis :-

1) Input parameter :- 'n'

2) Basic operation :- Addition

3) Depends only on 'n' - no variations

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$$4) T(n) = \sum_{i=1}^{\lfloor \log_2 n \rfloor} 1$$

$$\lfloor \log_2 n \rfloor = \text{upper } (m - \text{lower } (m + 1) - \lfloor \log_2 n \rfloor - 1 + 1) \quad \lfloor \log_2 n \rfloor$$

$$\lfloor \log_2 n \rfloor \quad \boxed{T(n) \in \Theta(\log n)}$$

logarithmic order of growth.

:- Mathematical analysis for recursive algorithm :-

- 1) Decide on input parameter
- 2) Identify Basic operation
- 3) Check if the order of growth depends only on 'n' or if there are any variation, if so, then estimate Best case, worst case and Average case Separately
- 4) Build Recurrence relation based on the Basic operation.
- 5) Solve the recurrence relation and ascertain it to one of the standard efficiency class

Ex :-

Algorithm Factorial (n)  
 // Input : non negative integer n.  
 // output : factorial of n

if (n ≤ 1)

(SOURCE: BINNOTES) return (1)

else

return (n \* factorial (n-1))

Analysis :-

- 1) Input parameter is 'n'
- 2) B.O. → multiplication - n \* factorial(n-1)

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3. Depends only on 'n' - no variation

4.

$$\text{factorial}(n) = \begin{cases} 1 & n \leq 1 \\ n * \text{factorial}(n-1) & n > 1 \end{cases}$$

~~Base~~ basic operation.

$$M(n) = \begin{cases} 0 & n \leq 1 \\ 1 + M(n-1) & n > 1 \end{cases}$$

$$M(n) = 1 + M(n-1) \text{ until } M(1) = 0.$$

5) Solve using Backward substitution method

{ Backward substitution method }

$$m(n) = 1 + m(n-1).$$

$$= 1 + 1 + m(n-2).$$

$$= 2 + m(n-2)$$

$$= 2 + 1 + m(n-3).$$

$$= 3 + m(n-3)$$

⋮

$$n-1 + m(n - \cancel{(n-1)}). \quad \left\{ \begin{array}{l} m(1) = 0 \\ m(i) = 0 \end{array} \right.$$

$$= n-1$$

For value of  $n$ ,  $m(n) \approx n$ .

(SOURCE: DIGINOTES)

$$\boxed{m(n) \in \Theta(n)}$$

linear order of growth.

## Finding no of bits to represent Decimal numbers

Algorithm :- Bit-count ( $n$ ).

//input :- non-negative integer 'n'

//output :- count of no of bits required.

if ( $n \leq 1$ ) then.

return (1)

else

return ( $1 + \text{Bit-count}(\lfloor n/2 \rfloor)$ ).

$(\lfloor n/2 \rfloor)$

end if

Analysis :-

- 1) Input parameter - 'n'
- 2) Basic operation - addition " $1 + \text{Bit-count}(n/2)$ "
- 3) Depends only on 'n' - no variations
- 4) Algorithms recurrence relation.

$$\text{Bit-count}(n) = \begin{cases} 1, & \text{for } n \leq 1 \\ 1 + \text{Bit-count}(n/2) & n > 1 \end{cases}$$

B.O recurrence relation.

$$A(n) = \begin{cases} 0 & n \leq 1 \\ 1 + A(n/2) & n > 1 \end{cases}$$

3)  $A(n) = 1 + A(n/2)$  until  $A(1) = 0$ .

$$1 + [1 + A(n/4)]$$

$$1 + [1 + [1 + A(n/8)]]$$

⋮

complicated.

considered  $n = 2^k \rightarrow \textcircled{1}$

$$\begin{aligned} \therefore A(n) &= 1 + n \left( \frac{2^k}{2} \right) \\ &= 1 + n (2^{k-1}) \\ &= 1 + [1 + A(2^{k-2})] \\ &= 2 + A(2^{k-2}) \\ &= 3 + A(2^{k-3}) \\ &\vdots \\ &= k + A(2^{k-k}) \end{aligned}$$

$$A(n) = k$$

Apply  $\log_2$  on eq<sup>n</sup>  $\textcircled{1}$

$$\log_2 n = k \log_2 2$$

$$\log_2 n = k$$

$$\log_2 n = A(n)$$

$$A(n) \in \Theta(\log n)$$

logarithmic order of growth.

Tower of Hanoi

Algorithm :- Tower (n, S, T, D)

// Input : no of discs = n, 3 poles - S, T, D

// Output : n-discs on destination pole.

if (n = 1) then.

move disc 1 from S to D

else

Tower (n-1, S, D, T)

move nth disk from S to D

Tower (n-1, T, S, D)

end if .

### Analysis

- 1) Input parameter - 'n' no of disks .
- 2) B.O → moving discs .
- 3) Depends only on 'n' - no variation .
- 4) Algorithm's recurrence relation .

To

Tower (n, S, T, D) =  $\begin{cases} \text{move disc 1 from S to D} & n=1 \\ \text{Tower (n-1, S, D, T)} \\ \text{move nth disc from S to D} & n > 1 \\ \text{Tower (n-1, T, S, D)} \end{cases}$

B.O. recurrence relation

$$M(n) = \begin{cases} 1 & n=1 \\ M(n-1) + 1 + M(n-1) & n > 1 \end{cases}$$

$$\begin{aligned} 5) \quad m(n) &= 1 + 2M(n-1) \quad \text{until } M(1) = 1 \\ &= 1 + 2[1 + 2M(n-2)] \\ &= 1 + 2 + 2^2 \cdot M(n-2) \\ &= 1 + 2 + 2^2 [1 + 2M(n-3)] \\ &= 2^0 + 2^1 + 2^2 M(n-3) \\ &= 2^0 + 2^1 + 2^2 + 2^3 M(n-4) \\ &= 2^0 + 2^1 + 2^2 + \dots + 2^{n-1} M(n-(n-1)) \\ &= 2^0 + 2^1 + 2^2 + 2^3 + \dots + 2^{n-1} \end{aligned}$$

= GP

= sum of Geometric progression.

$$S_n = \frac{a(r^n - 1)}{r - 1}$$

$$a = 1, r = 2$$

$$\therefore M(n) = \frac{2^n - 1}{2 - 1}$$

For large value of  $n$ .

$$M(n) \approx 2^n.$$

$$\therefore M(n) \in \Theta(2^n)$$

4/3/17

Exponential order of growth.

### Fibonacci series

Seed elements - 0, 1

Algorithm Fib-iterative ( $n$ )

//input : an integer  $n \geq 2$ .

//output :  $n^{\text{th}}$  term of Fib series

// Auxillary array  $F[0 \dots n]$

$$F[0] \leftarrow 0$$

$$F[1] \leftarrow 1$$

for  $i \leftarrow 2$  to  $n$  do

$$F[i] \leftarrow F[i-1] + F[i-2]$$

end For.

return:

### Analysis

1) Input parameter -  $n$ .

2) B.O  $\Rightarrow$  Addition -  $F[i-1] + F[i-2]$ .

3) Depends only on ' $n$ ' no variations

$$A) A(n) = \sum_{i=2}^n 1$$

$$A(n) = n - 2 + 1$$

$$= n - 1$$

For large value of  $n$ .

$$A(n) \approx n.$$

$$\therefore A(n) \in \Theta(n)$$

Linear order of growth

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## Recursive method

$$f(n) = f(n-1) + f(n-2)$$

$$f(n) - f(n-1) - f(n-2) = 0 \rightarrow \textcircled{1}$$

similar to  $a x(n) + b x(n-1) + c x(n-2) = 0$ .  
homogeneous linear second order equation.

Its characteristic eq<sup>n</sup>

$$a r^2 + b r + c = 0$$

$$r = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

Apply to eq<sup>n</sup>  $\textcircled{1}$ .

$$a = 1, b = -1, c = -1$$

characteristic eq<sup>n</sup> of  $f(n)$

$$= r^2 - r - 1 = 0$$

$$= \frac{-(-1) \pm \sqrt{(-1)^2 - 4(1)(-1)}}{2(1)}$$

$$= \frac{+1 \pm \sqrt{1+4}}{2}$$

$$r = \frac{+1 \pm \sqrt{5}}{2}$$

$$r_1 = \frac{1 + \sqrt{5}}{2}$$

$$r_2 = \frac{1 - \sqrt{5}}{2}$$

$$x(n) = \alpha r_1^n + \beta r_2^n$$

$$f(n) = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^n + \beta \left[ \frac{1 - \sqrt{5}}{2} \right]^n \rightarrow \textcircled{2}$$

We know that

$$f(0) = 0, f(1) = 1$$

$$f(0) = \alpha \left( \frac{1 + \sqrt{5}}{2} \right)^0 + \beta \left[ \frac{1 - \sqrt{5}}{2} \right]^0 = 0$$

$$\alpha + \beta = 0$$

consider  $f(1) = 1$  in eq<sup>n</sup> (2).

$$f(1) = \alpha \left[ \frac{1+\sqrt{5}}{2} \right]^1 + \beta \left[ \frac{1-\sqrt{5}}{2} \right]^1 = 1.$$

Replace  $\beta = -\alpha$ .

$$f(1) = \alpha \left[ \frac{1+\sqrt{5}}{2} \right] - \alpha \left[ \frac{1-\sqrt{5}}{2} \right] = 1$$

$$\alpha \left[ \frac{1+\sqrt{5} - 1 + \sqrt{5}}{2} \right] = 1$$

$$\alpha \left[ \frac{2\sqrt{5}}{2} \right] = 1$$

$$= \alpha [\sqrt{5}] = 1$$

$$\boxed{\alpha = \frac{1}{\sqrt{5}}}$$

$$\boxed{\beta = -\frac{1}{\sqrt{5}}}$$

$$f(n) = \frac{1}{\sqrt{5}} \left[ \frac{1+\sqrt{5}}{2} \right]^n - \frac{1}{\sqrt{5}} \left[ \frac{1-\sqrt{5}}{2} \right]^n$$

$$= \frac{1}{\sqrt{5}} \left[ \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right]$$

Golden Ratio  $\phi = \frac{1+\sqrt{5}}{2}$

$$\hat{\phi} = \frac{1-\sqrt{5}}{2}$$

$$f(n) = \frac{1}{\sqrt{5}} \left[ \phi^n - \hat{\phi}^n \right]$$

Analysis

Algorithm :- Fib-rec (n)

// Input : An integer  $n \geq 2$ .

if ( $n \leq 1$ )

return (n)

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else return (fib-rec(n-1) + fib-rec(n-2)).  
end if

### Analysis

- 1) Input parameter - 'n'
- 2) B.O. Addition fib-rec(n-1) + fib-rec(n-2).
- 3) Depending only on 'n' - no recurrences.
- 4) Algorithm recurrence relation.

$$\text{Fib-rec}(n) = \begin{cases} 0, 1 & n \leq 1 \\ \text{Fib-rec}(n-1) + \text{Fib-rec}(n-2) & n > 1 \end{cases}$$

Based on B.O.

$$A(n) = \begin{cases} 0 & n \leq 1 \\ A(n-1) + A(n-2) + 1 & n > 1 \end{cases}$$

$$s) A(n) = A(n-1) + A(n-2) + 1$$

$$A(n) - A(n-1) - A(n-2) - 1 = 0.$$

$$(A(n) + 1) - (A(n-1) + 1) - (A(n-2) + 1) = 0.$$

$$B(n) = A(n) + 1, \quad B(n-1) = A(n-1) + 1 \\ B(n-2) = A(n-2) + 1.$$

$$\therefore B(n) - B(n-1) - B(n-2) = 0.$$

$$\text{Same as } f(n) - f(n-1) - f(n-2) = 0.$$

$$\text{Sol}^n \text{ for } f(n) = \frac{1}{\sqrt{5}} (\phi^n - \hat{\phi}^n)$$

$\therefore$  Applying the same solution

$$B(n) = \frac{1}{\sqrt{5}} (\phi^n - \hat{\phi}^n)$$

$$B(n) = A(n) + 1, \quad \text{notes4free.in}$$

$$A(n) = B(n) - 1$$



$$\therefore A(n) = \frac{1}{\sqrt{5}} (\phi^n - \hat{\phi}^n) - 1$$

For large values of  $n$ , subtracting 1 becomes negligible and  $\hat{\phi}^n$  is inverse of  $\phi^n$ , so it can be negligible.

$$A(n) \approx \frac{1}{\sqrt{5}} \phi^n$$

$$A(n) \in \Theta(\phi^n)$$

Exponential order of growth.

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Problem types (Refer text book)

1) Sorting

Ordering of elements based required manner.  
Ex: Bubble sort, merge sort, selection sort, Radix sort, Insertion sort.

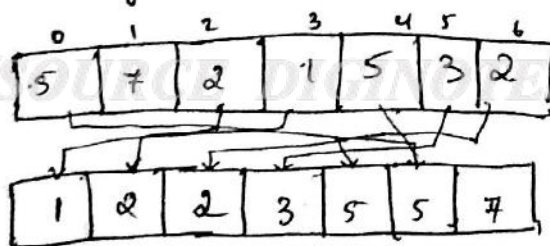
2  $\rightarrow$  Properties of sorting algorithm.

1. Stable property. [maintains first come first see]
2. In-place property.

1. Stable property:-

A sorting algorithm is said to be stable if it maintains the relative order of the duplicate elements even after sorting.

example



2. In-place property:-

An algorithm is said to be in-place if the algorithm does not consume extra memory.  
Ex: Bubble sort, selection sort, Insertion sort

→ merge sort is sorting by distributed counting

### Searching Problem

Find an element in the given list is known as Searching problem.

Numeric Searching

↳ simple/set of number (pattern)

Non-Numeric

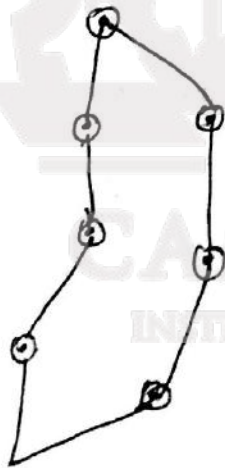
↳ characters/sub-string.

Ex: linear, binary, interpolation search, Hashing  
Hoopspool, Boyer-moore.

### 3. String Processing

ex :- Adding 2 string  
Finding length of str.  
Copying 2-strings.  
Searching sub-strings.

### 4. Graph Problems



Shortest path.

Hamiltonian circuit.

Traversals Techniques.

Travelling sales person (TSP)

Distance algorithm.

Spanning tree graph.

### 5) Geometric Problems

problems regarding plotting all geometric shapes

### 6) Numerical problems:

→ equations which are continuous in nature.

→ Definite integral.

→ sine series,

→ Runge Kutta method

→ Simpson's method

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## 7) Combinatorial problem:-

permutation, combination, sub set  
which grows exponentially

Location

### Data Structure

{ Linear :- array, stack, queue, structure, list  
Non-linear :- Tree, graph.

Based on access } Sequential - linked list, stack, queue, tree  
Dynamic - array, index-linked list

Array :- homogeneous collection of objects.

Structure :- homogeneous or heterogeneous collection of objects.

Stack :- FILO, LIFO

Queue :- FIFO, LIFO

Graph :-  $G(V, E)$

↳ Directed

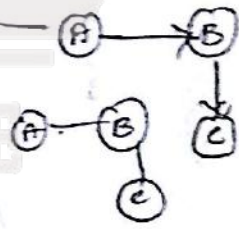
↳ Undirected.

1. Adjacency matrix →  $n \times n$  Symmetric matrix.

2. Adjacency list

Asymmetric

	A	B	C
A	0	1	0
B	0	0	1
C	0	0	0

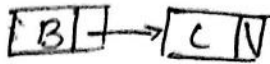
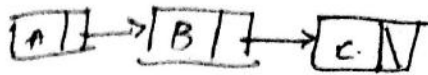


(SOURCE DIGINOTES)

Symmetric

	A	B	C
A	0	1	0
B	1	0	1
C	0	1	0

## Adjacency list



## sparse graph

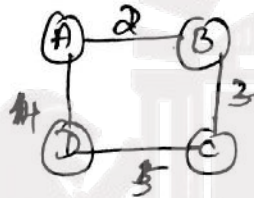
- no of edges are less than no of nodes
- graph containing few edges. [Adjacency list]

## Dense graph

- opposite of sparse graph [Adjacency matrix]

1. weighted graph → edge with value.

ex: Distance b/w two cities.



weight matrix or cost matrix

	A	B	C	D
A	∞	2	∞	4
B	2	∞	3	∞
C	∞	3	∞	5
D	4	∞	5	∞

Adju

## connected graph

complete graph

cyclic graph

Acyclic graph

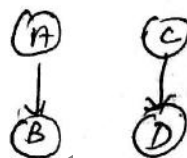
(DAG).

## Tree (CDAG)

- 1) connected graph
- 2) Acyclic graph
- 3) Directed graph

## Forest

(Not connected DAG)



indegree

outdegree

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vertex - indegree = 0 - root  
- outdegree = 0 - leaf

vertex - non-zero indegree  
and non-zero outdegree } Branch

-> Heap tree -> ascending order heap tree  
descending -> || ->

BST.

Set :- collection of non-duplicate elements  
multiset -> collection of elements with duplicate values.

Dictionary . pair of elements  
< name, value >

General method

Binary method

Merge sort

Quick sort

Min-max algorithm

Strassen's matrix multiplication

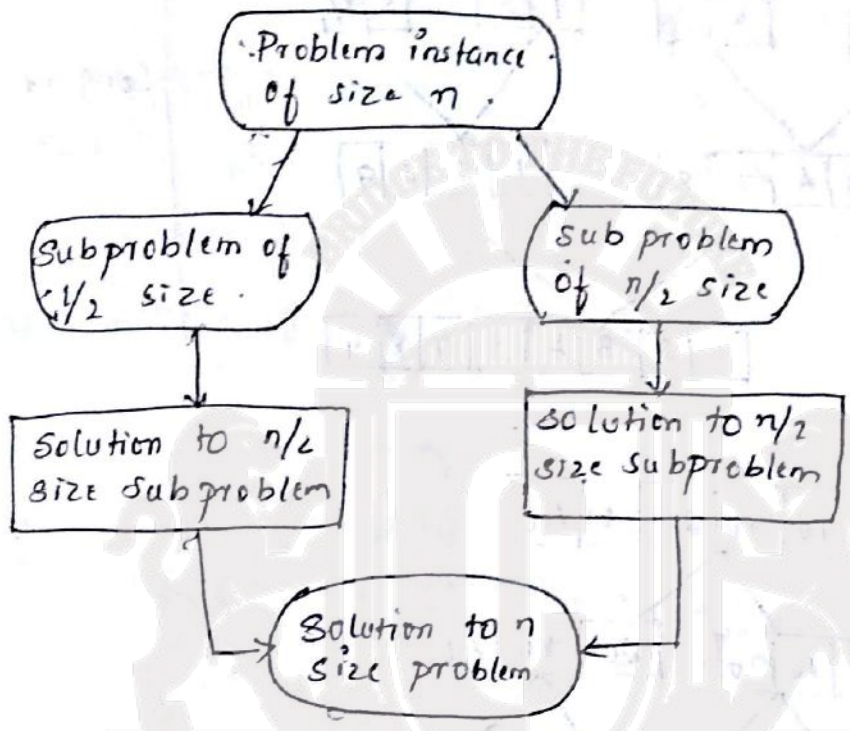
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MODULE - 2

Divide And Conquer

1. Problem is divided into sub-problems [until no further division is required] . → Divide .
2. solve the sub-problem with known method [simple]
3. if required, combine the solution of the sub-problem instances to find the solution of overall problem

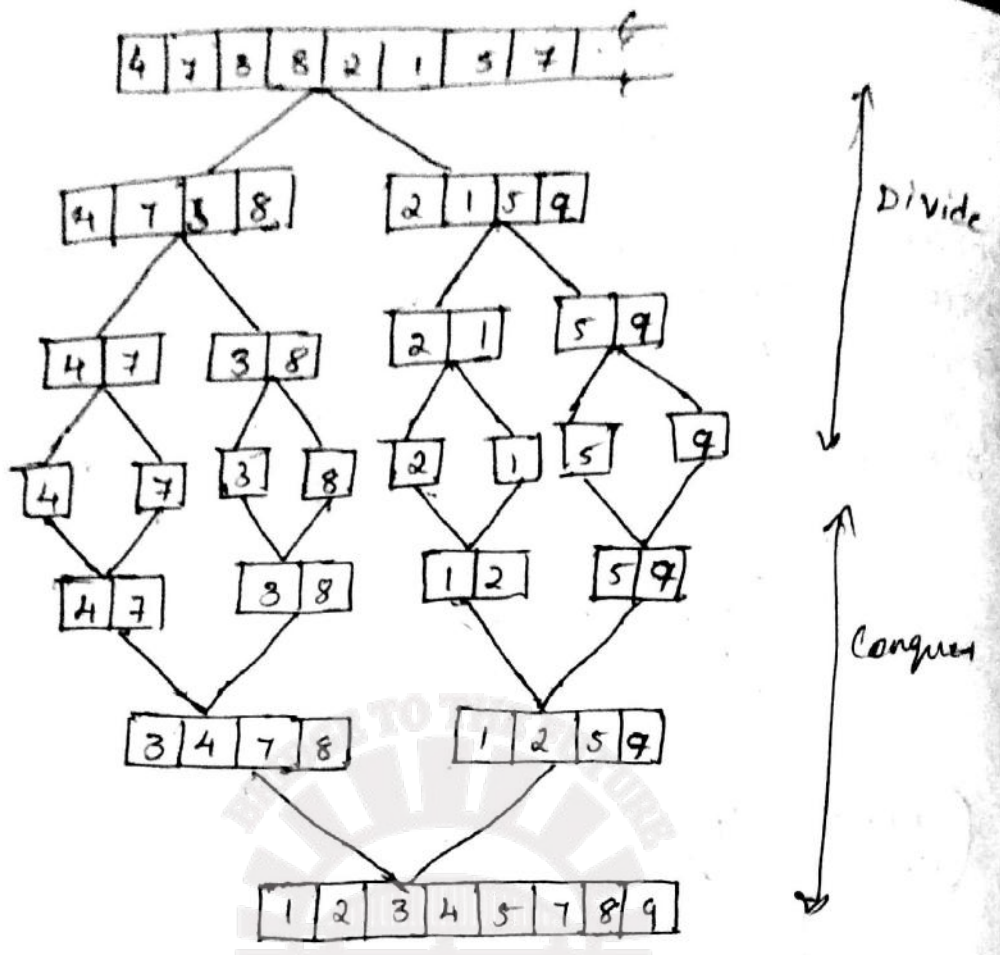
↓  
conquer.



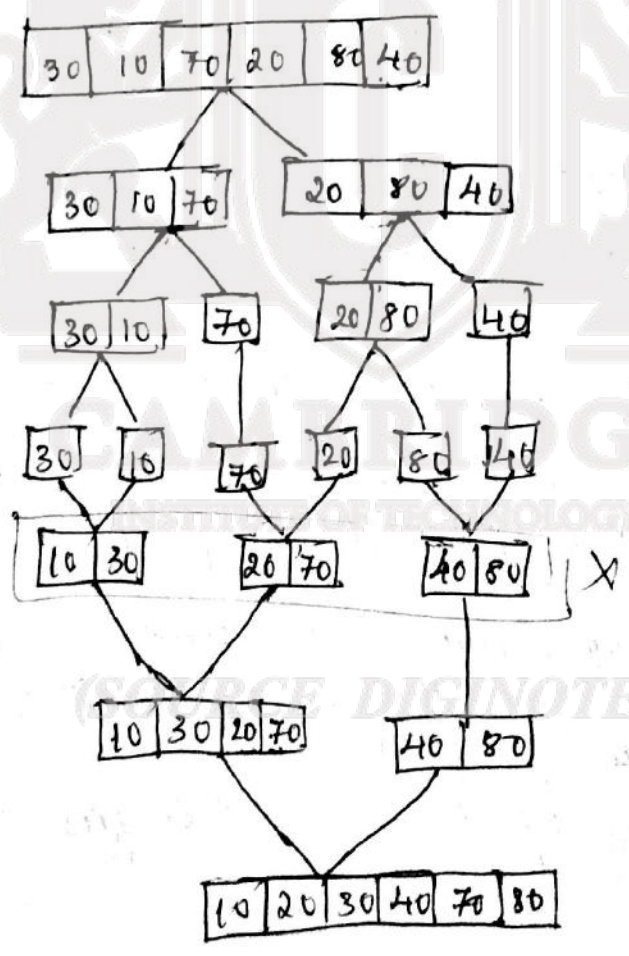
Merge Sort

- The given list is considered to be as unsorted file.
- Divide the file into two parts [approximately equal]
- continue dividing until file reaches to a non divisible state. [one element file]
- One element file by default is considered as sorted file
- Combine the sorted files through simple comparison b/w the elements of files.
- The combining of two files satisfies conquer part.

ex 1



ex 2



Algorithm merge\_sort ( $A[0, \dots, n-1]$ )

//Input: An array  $A[0, \dots, n-1]$  of  $n$  orderable elements

//output: Array  $A[0, \dots, n-1]$  of ordered elements

if ( $n > 1$ ) then

copy  $A[0, \dots, \lfloor n/2 \rfloor]$  to  $B[0, \dots, \lfloor n/2 \rfloor]$

copy  $A[\lfloor n/2 \rfloor + 1, \dots, n-1]$  to  $C[0, \dots, \lfloor n/2 \rfloor]$

mergesort ( $B[0, \dots, \lfloor n/2 \rfloor]$ )

mergesort ( $C[0, \dots, \lfloor n/2 \rfloor]$ )

merge ( $B, C, A$ )

end if

Algorithm merge ( $B[0, \dots, p-1], C[0, \dots, q-1], A[0, \dots, p+q-1]$ )

//input: sorted array  $B[]$  and  $C[]$

//output: sorted array  $A[]$

$i \leftarrow 0, j \leftarrow 0, k \leftarrow 0$

while ( $i < p$  and  $j < q$ )

if ( $B[i] < C[j]$ ) then

$A[k] \leftarrow B[i]$

$i \leftarrow i + 1$

else

$A[k] \leftarrow C[j]$

$j \leftarrow j + 1$

end if

$k \leftarrow k + 1$

end while

if ( $i < p$ )

copy  $B[i, \dots, p-1]$  to  $A[\overset{k}{i}, \dots, p+q-1]$

else

copy  $C[j, \dots, q-1]$  to  $A[k, \dots, p+q-1]$

end if



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## Analysis

1. Input parameter  $\rightarrow$  'n' size of input array.
2. Basic operation  $\rightarrow$  Comparison  $B[i] < C[j]$
3. Minimal variation (within same order of growth)
4. Recurrence relation Based on B.O.

$$c(n) = \begin{cases} 0 & n=1 \\ c(n/2) + c(n/2) + n-1 & n>1 \end{cases}$$

5.  $c(n) = 2c(n/2) + n-1$  until  $c(1) = 0$ .

$\downarrow$   
upper bound

- [Backward substitution moving from n to 1]

consider  $n = 2^k$

$$c(n) = 2 \cdot c(2^k/2) + 2^k - 1$$

$$= 2 \cdot c(2^{k-1}) + 2^k - 1$$

$$= 2 \cdot [2 \cdot c(2^{k-2}) + 2^{k-1} - 1] + 2^k - 1$$

$$k// = 2[2 \cdot [2c(2^{k-3}) + 2^{k-2} - 1] + 2^{k-1} - 1] + 2^k - 1$$

$$= 2^2 c(2^{k-2}) + 2^k - 2 + 2^k - 1$$

$$= 2^2 c(2^{k-2}) + 2 \cdot 2^k - 2^1 - 2^0$$

$$= 2^3 c(2^{k-3}) + 3 \cdot 2^k - 2^2 - 2^1 - 2^0$$

$$\vdots$$

$$= 2^k \cdot c(2^{k-k}) + k \cdot 2^k - 2^{k-1} - 2^{k-2} - \dots - 2^1 - 2^0$$

$$= k \cdot 2^k - [2^{k-1} - 2^{k-2} - \dots - 2^1 - 2^0]$$

Sum of  $n^{\text{th}}$  term of Geometric series

$$S_n = \frac{a(r^n - 1)}{r - 1}$$

= k.

$$\begin{aligned}
&= k \cdot 2^k - \left[ \frac{1 \cdot 2^k - 1}{2 - 1} \right] \\
&= k \cdot 2^k - [1 \cdot 2^k - 1] \\
&= k \cdot 2^k - [2^k - 1] = k \cdot 2^k - 2^k + 1 \\
&= \boxed{2^k [k - 1] + 1} \quad // \approx 2^k \cdot k \\
&\quad \text{upper bound}
\end{aligned}$$

Apply  $\log_2$  on both sides  $n = 2^k$

$$\begin{aligned}
\log_2 n &= \log_2 (2^k) = k \log_2 2 \\
&= k
\end{aligned}$$

$c(n)$  is  $n \cdot \log_2 n$

$$\boxed{c(n) \in \Theta(n \log_2 n)}$$

Theoretical upper bound

$$c(n) = n \cdot [\log_2 n - 1] + 1$$

$$\begin{aligned}
n=10 & \quad 10[\log_2 10 - 1] + 1 \\
& = 24.21 \approx 25 //
\end{aligned}$$

To get the lower bound -

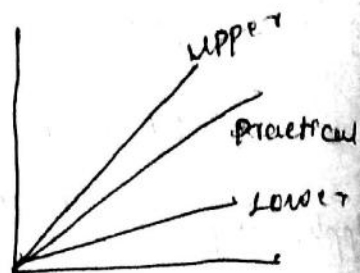
$$c(n) = 2c(n/2) + n/2 \quad \text{until } c(1) = 0$$

$$\begin{aligned}
& n = 2^k \\
& = 2 \left[ c(2^{k/2}) + 2^{k/2} \right] \\
& = 2 \left[ 2 \left[ c(2^{k-2}/2) + 2^{k-1}/2 \right] + 2^{k/2} \right] \\
& = 2^2 \left[ c(2^{k-2}/2) + 2^{k-1} + 2^{k/2} \right] \\
& = 2 \left[ 2c(2^{k-2}) + 2^{k-2} \right] + 2^{k-1} \\
& = 2^2 \cdot c(2^{k-2}) + 2^{k-1} + 2^{k-1} \\
& = 2^2 c(2^{k-2}) + 2[2^{k-1}] \\
& = 2^3 \cdot c(2^{k-3}) + 3[2^{k-1}] \\
& \quad \vdots \\
& = 2^k c(2^{k-k}) + k(2^{k-1}) \\
& = k(2^{k-1})
\end{aligned}$$

$$= K \frac{2^k}{2}$$

$$c(n) = \frac{n \log_2 n}{2} \quad \text{lower bound.}$$

$n=10$   
 $c(n) = 16.6$   
 $\approx 17$



### Master's Theorem

If there is recurrence relation

$$T(n) = a T(n/b) + f(n)$$

Where  $a$  -- no. of subproblems to be solved

$b$  -- no. of fractions of input size  $n$

$f(n)$  = time consumed for Divide/Conquer

$d$  = degree of  $n$  in  $f(n)$ .

Then,

$$T(n) \in \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log_b n) & \text{if } a = b^d \\ \Theta(n \log_b a) & \text{if } a > b^d \end{cases}$$

Ex 1 for Merge sort  $c(n) = 2 \cdot c(n/2) + n - 1$

According to master's theorem.

$$T(n) = a T(n/b) + f(n)$$

$$a=2, b=2, f(n)=n-1, d=1$$

Relationship b/w  $a$  and  $b^d$

$$2 = 2^1$$

(SOURCE:  $c(n) \in \Theta(n \log_2 n)$ )

It is applicable for divide and conquer algorithm.

Ex 2  $c(n) = 2 \cdot c(n/2) + n/2$

According to master's theorem.

$$T(n) = a T(n/b) + f(n)$$

$$a=2, b=2, f(n)=n/2, d=1$$

relationship b/w  $a$   $b^d$   
 $2 = 2^1$

$$c(n) \in \Theta(n \log_2 n)$$

example 3.  $T(n) = 3 \cdot T(n/4) + n^2$   
 $a=3, b=4, f(n)=n^2, d=2$

relationship b/w  $a$   $b^d$   
 $3 < 4^2$

$$c(n) \in \Theta(n^2)$$

Example 4:  $T(n) = T(n/2) + 1$   
 $a=1, b=2, f(n)=1, d=0$

relationship b/w  $a$   $b^d$   
 $1 = 2^0$

$$c(n) \in \Theta(n^0 \log_2 n)$$

Ex 5.1.  $T(n) = 4T(n/2) + n$   
 $a=4, b=2, f(n)=n, d=1$

$$a \quad b^d \\ 4 > 2$$

$$T(n) \in \Theta(n \log_2 4)$$

$$T(n) \in \Theta(2n)$$

Ex 6  $T(n) = 5T(n/2) + n$   
 $a=5, b=2, f(n)=n, d=1$

$$a \quad b^d$$

$5 > 4$  (SOURCE DIGINOTES)

$$T(n) \in \Theta(n \log_b a)$$

$$T(n) \in \Theta(n \log_2 5)$$

$$T(n) = \Theta(n^{2.3})$$

$$c(n) \in \Theta(n^{2.3})$$

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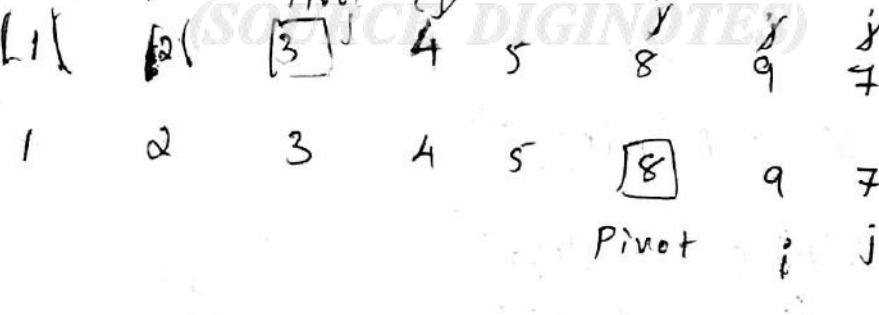
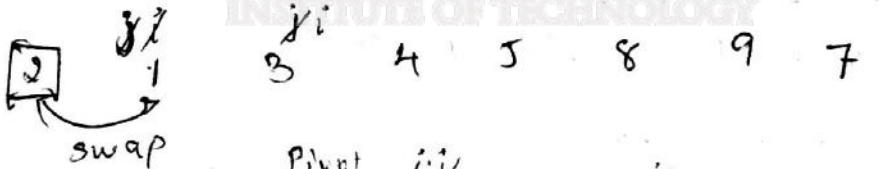
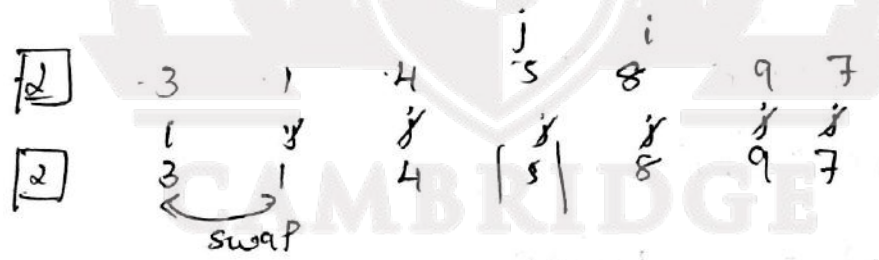
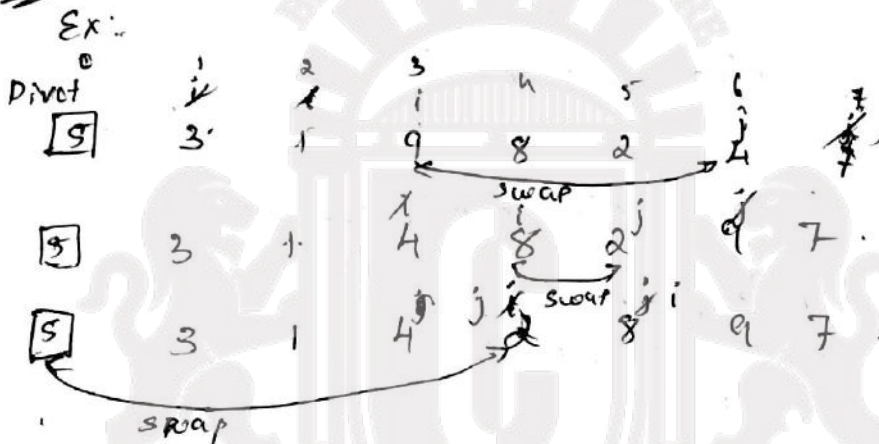
# Quick sort

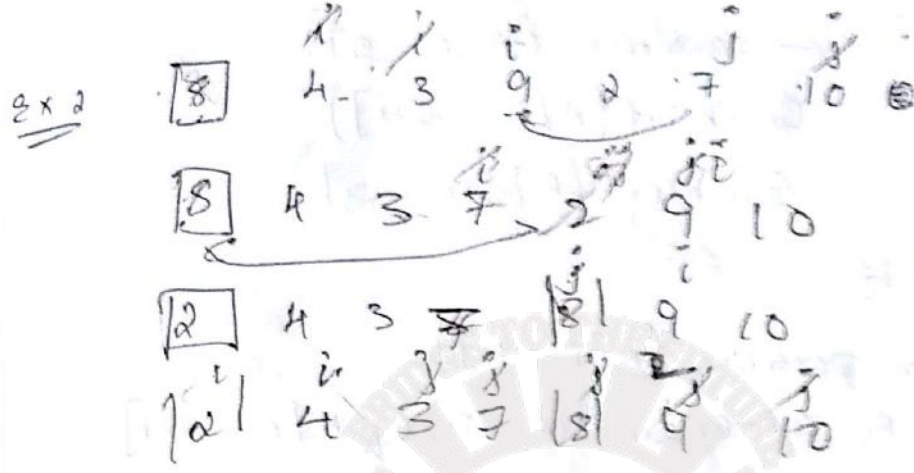
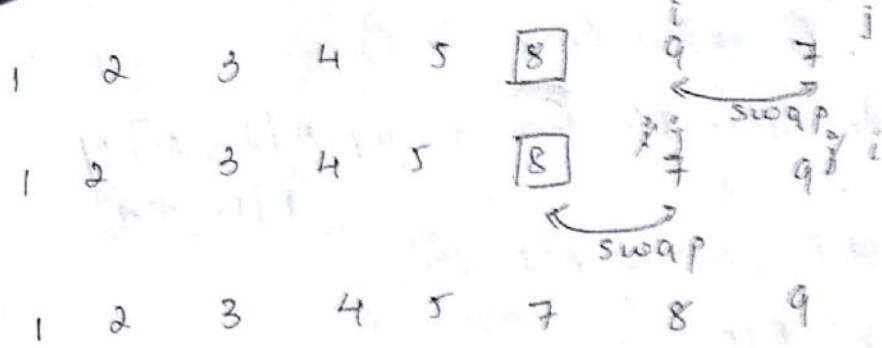
- One of the divide and conquer algorithm
- partition results in un-even sub groups.
- partition occurs based on pivot/Anchor element.
- left group < pivot element & .  
     pivot < Right group

left group < pivot < Right group

ex     &      $\boxed{5}$    2   4   7   6  
           2   4   |   5   7   6

17 | 3 | 17





```

if A[i] > pivot
    i++
if A[j] < pivot
    j--
if (i < j) then
    swap(A[i], A[j])
if (i > j) then
    swap(pivot, A[j])
if (i = j)

```

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(SOURCE DIGINOTES)

Algorithm Quicksort ( $A[l \dots r]$ )

//input : An orderable ~~sub~~-array  $A[l \dots r]$  of  $A[0 \dots n-1]$

//output : sorted array  $A[l \dots r]$

if ( $l < r$ ) then

$s \leftarrow \text{partition}(A[l \dots r])$

Quicksort ( $A[l \dots s-1]$ )

Quicksort ( $A[s+1 \dots r]$ )

end if.

Algorithm partition ( $A[l \dots r]$ )

//input : An sub-array  $A[l \dots r]$  of  $A[0 \dots n-1]$ .

//output : partition position  $j$

$p \leftarrow A[l]$

$i \leftarrow l+1$

$j \leftarrow r$

repeat

repeat  $i \leftarrow i+1$  until  $A[i] \geq p$

repeat  $j \leftarrow j-1$  until  $A[j] \leq p$ .

swap ( $A[i], A[j]$ ) // last swap is invalid

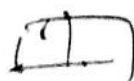
until  $i > j$

swap ( $A[i], A[j]$ ) // to avoid invalid swap

swap ( $A[l], A[j]$ )

return  $j$

(SOURCE DIGINOTES)



2

3

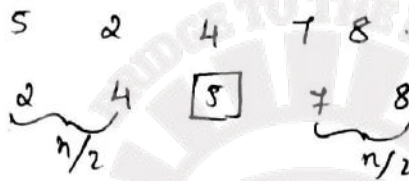
## Time Analysis

- 1) Input parameter - 'n' size of array A.
- 2) Basic operation  $A[i] > P$   $A[j] \leq P$  - comparison.
- 3) There is variation of time for the same size 'n'
  - ∴ find Best case, worst case and average case individually

### 4. Best case :-

partition results in approximately equal sub-groups

ex:-



$$\therefore C_B(n) = C_B(n/2) + C_B(n/2) + (n+1)$$

↓  
min. no. of comparison.

$$C_B(n) = 2C_B(n/2) + (n+1)$$

$$\text{until } C_B(1) = 0$$

5) Applying Backward substitution method

$$C_B(n) = 2C_B(n/2) + (n+1) \quad n = 2^k$$

$$= 2C_B(2^{k-1}) + (2^k + 1)$$

$$= 2(2C_B(2^{k-2}) + 2^{k-1} + 1) + 2^k + 1$$

$$= 2^2 C_B(2^{k-2}) + 2^k + 2 + 2^k + 1$$

$$= 2^2 C_B(2^{k-2}) + 2^k + 2 + 2^k + 1$$

$$= 2^2 C_B(2^{k-2}) + 2 \cdot 2^k + 2^1 + 2^0$$

$$= 2^3 C_B(2^{k-3}) + 3 \cdot 2^k + 2^2 + 2^1 + 2^0$$

$$= 2^k C_B(2^{k-k}) + k \cdot 2^k + 2^{k-1} + \dots + 2^1 + 2^0$$

$$= k \cdot 2^k + 2^k + 2^{k-1} + \dots + 2^1 + 2^0$$



$$C_B(n) = k \cdot 2^k - \frac{[1 \cdot 2^k - 1]}{2 - 1}$$

$$\left\{ \begin{aligned} S_n &= \frac{a r^n - 1}{r - 1} \end{aligned} \right.$$

$$C_B(n) = k \cdot 2^k - [2^k - 1]$$

$$2^k [k + 1] + 1$$

$$C_B(n) \approx 2^k [k] \quad (\text{for larger input values})$$

Apply  $\log_2$  on both sides of  $n = 2^k$

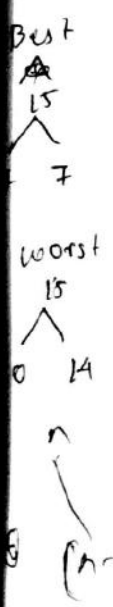
$$\log_2 n = k \log_2 2$$

$$k = \log_2 n$$

$$\log_2 (2^k) = k$$

$$C_B(n) = n \cdot \log_2 n$$

$$\boxed{C_B(n) \in \Omega(n \log_2 n)} \quad \text{lower bound -}$$



Worst case :-

if the partition creates one heavy group and the other empty group.

$$C_w(n) = C_w(0) + C_w(n-1) + (n+1) \quad \text{until } C_w(0) = 0$$

$$C_w(n) = C_w(n-1) + (n+1)$$

$$[C_w(n-2) + (n-1+1)] + n+1$$

$$C_w(n-2) + n + (n+1)$$

$$C_w(n-3) + (n-2+1) + n + (n+1)$$

$$C_w(n-3) + (n-1) + n + (n+1)$$

⋮

$$C_w(n-(n-1)) + 3 + 4 + 5 + \dots + n + (n+1)$$

$$C_w(n) = 3 + 4 + 5 + \dots + n + (n+1)$$

$$= [1 + 2 + 3 + 4 + 5 + \dots + n + (n+1)] - 3$$

↳ Arithmetic progression.

$$= \frac{(n+1)(n+1+1)}{2} - 3$$

$$= \frac{(n+1)(n+2)}{2} - 3$$

$$C_w(n) = \frac{(n+1)(n+2)}{2} - 3$$

$$C_w(n) = \frac{n^2 + 3n + 2 - 3}{2}$$

$$= \frac{n^2}{2} + \frac{3n}{2} + 1 - 3$$

$$= \frac{n^2}{2} + \frac{3n}{2} - 2$$

For large value of input (n)

$$C_w(n) \approx n^2/2$$

$$C_w(n) \in \Theta(n^2)$$

Quadratic order of growth

Average case

$$C_A(n) \approx 2n \log_e n$$

$$C_A(n) \approx 1.33 n \log_e n$$

i.e. 33% more than Best case.

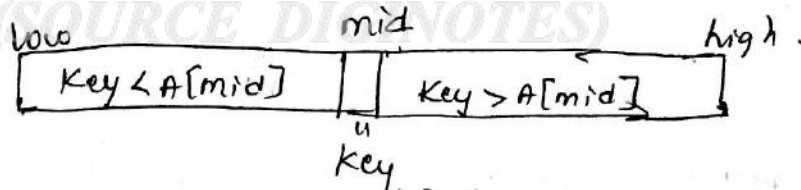
$$C_A(n) \in \Theta(n \log_e n)$$

$$2n \log_e n$$

18/3/17

Binary search

Ordered list - A



$$mid = (low + high) / 2 ;$$

Algorithm Binary-search ( $A[\text{low} \dots \text{high}], \text{key}$ ).

//Input: An ordered array  $A[\text{low} \dots \text{high}]$  of 'n' elements and search element - key.

//Output: return 1 if key is found else 0.

if ( $\text{low} \leq \text{high}$ ) then

$\text{mid} \leftarrow (\text{low} + \text{high}) / 2$

    if ( $\text{key} = A[\text{mid}]$ ) then

        return (1)

    else if ( $\text{key} < A[\text{mid}]$ ) then .

        return (Binary-search( $A[\text{low} \dots \text{mid}-1], \text{key}$ ))

    else .

        return (Binary-search( $A[\text{mid}+1 \dots \text{high}], \text{key}$ ))

    end if

else

    return (0)

end if

### Time Analysis

- 1) Input parameter - n size of array A.
- 2) Basic operation - comparison =  $\text{key} = A[\text{mid}]$
- 3) No of comparison vary based on the position of key. ∴ Estimate best / worst / Average case individually.

4) Best case

$C_B(n) = 1$ . found at mid.

$C_B(n) \in \Omega(1)$  constant order of growth

Worst case

$$C_W(n) = \log_2(n/2) + 1$$

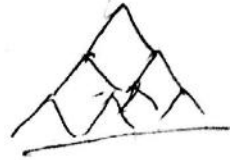
$$n = 2^k$$

till  $C_W(4) = 1$ .

$$C_W(n) = C_W(n/2) + 1$$

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$$\begin{aligned}
 C_w(n) &= C_w(2^{k-1}) + 1 \\
 &= [C_w(2^{k-2}) + 1] + 1 \\
 &= C_w(2^{k-2}) + 2 \\
 &= C_w(2^{k-3}) + 3 \\
 &\vdots \\
 &= C_w(2^{k-k}) + k \\
 &= 1 + k \\
 &= k + 1
 \end{aligned}$$



$$\begin{aligned}
 &= n = 2^k \\
 &= \text{apply } \log \text{ on B.S.} \quad k = \log_2 n \\
 &= \log_2 n + 1
 \end{aligned}$$

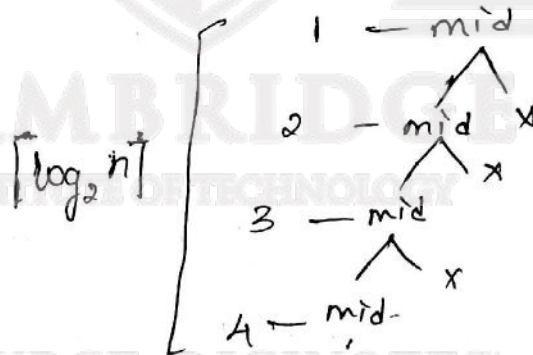
For large value of  $n$ :

$$C_w(n) \approx \log_2 n$$

$$C_w(n) \approx O(\log_2 n)$$

logarithmic order of growth.

Average case



$$\text{if } n=10 \quad \lceil \log_2 10 \rceil = 4$$

$$\therefore C_a(n) = \frac{1}{\log_2 n} \sum_{i=1}^{\log_2 n} i$$

$$= \frac{1}{\log_2 n} [1 + 2 + 3 + \dots + \log_2 n]$$

notes4free.in

$$= \frac{1}{\log_2 n} \cdot \frac{\log_2 n (\log_2 n + 1)}{2}$$

Arithmetic progression  
 $\frac{n(n+1)}{2}$

$$C_n(n) = \frac{\log_2 n + 1}{2}$$

$$C_n(n) \approx \frac{1}{2} \log_2 n$$

$$C_n(n) \in \Theta(\log n)$$

### Maximum and minimum problem

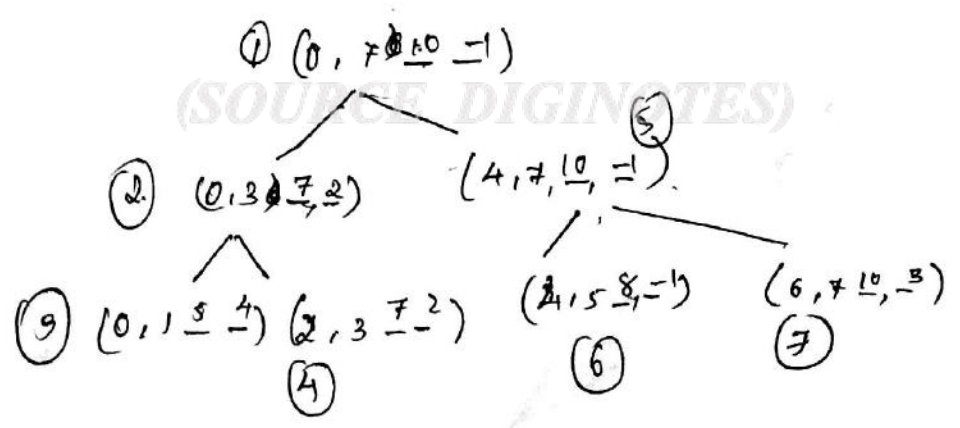
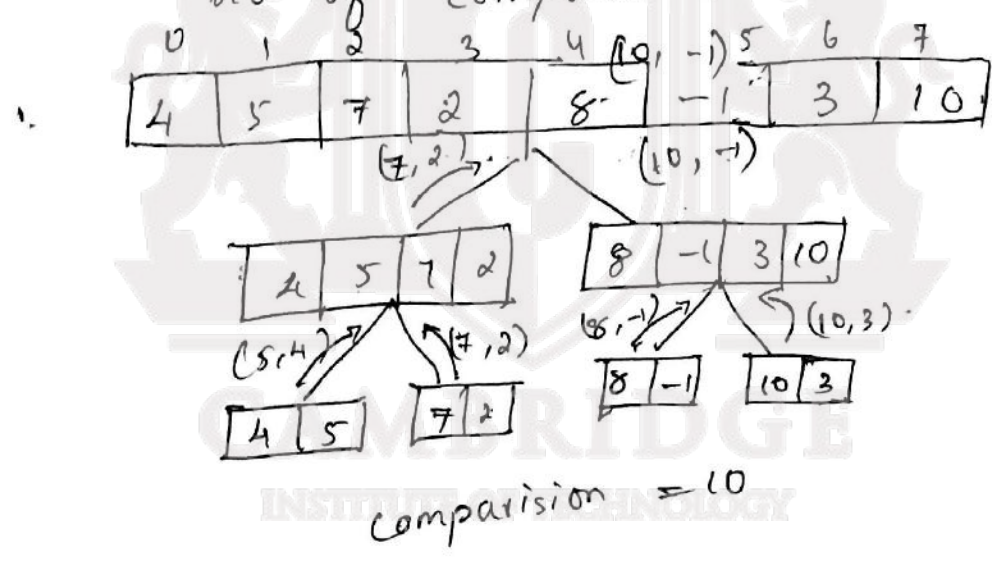
#### Brute force technique

5 7 2 8 -1 3 10

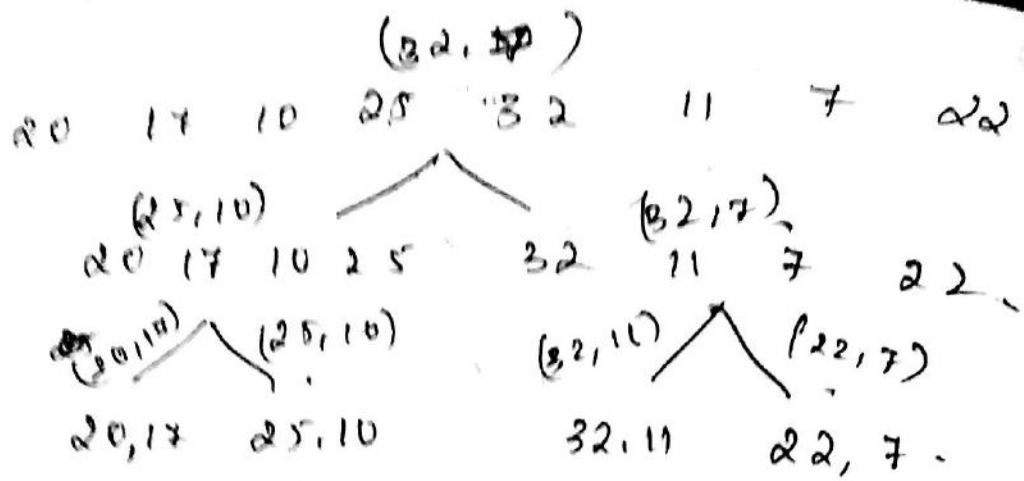
max: -999 8 7 8 10  
 min: 999 8 7 -1 -1

2n no of comparison.

in divide and conquer technique, we reduce no of comparison.



Ex 2



(SOURCE DIGINOTES)

notes4free.in

Algorithm: (i, j, max, min)  
 // input: limits of array i, j, max, min  
 // output: maximum max and minimum min values of array.

if (i=j) then, // one element  
 max ← min ← A[i]  
 else if ((j-i)=1) then // two element  
 if (A[i] < A[j]) then  
 max ← A[j], min ← A[i].  
 else  
 max ← A[i], min ← A[j].  
 end if

else  
 mid ← (i+j)/2  
 maxmin(i, mid, max, min)  
 maxmin(mid+1, j, max1, min1)  
 if (max1 > max) then  
 max ← max1  
 end if  
 if (min1 < min) then  
 min ← min1  
 end if  
 end if

Time Analysis :-

- 1) Input parameter - no. of elements btw i = j as n.
- 2) Basic operation - comparison  
 $A[i] < A[j], \max_1 > \max, \min_1 < \min$
- 3) Depending only on array size - 'n'  
 no variations

$$4. \quad T(n) = \begin{cases} 0 & \text{if } n=0, 1 \\ 1 & \text{if } n=2 \\ T(n/2) + T(n/2) + 2 & \text{if } n > 2 \end{cases}$$

$$5) \quad T(n) = 2T(n/2) + 2, \quad n = 2^k$$

$$\begin{aligned} T(n) &= 2 \cdot T(2^{k-1}) + 2 \\ &= 2 [2 \cdot T(2^{k-2}) + 2] + 2 \\ &= 2^2 \cdot T(2^{k-2}) + 2^2 + 2 \\ &= 2^3 T(2^{k-3}) + 2^3 + 2^2 + 2 \end{aligned}$$

$$\text{if upto } n=1 \quad \vdots \quad 2^k T(2^{k-k}) + \underbrace{2^k + 2^{k-1} + \dots + 2}_0$$

Geometric progression  
but we are missing  $2^0$

$$= 2 \left( \frac{2^{k-1} + 2}{2-1} \right) = 2(2^{k-1} + 2^{k-2} + \dots + 2 + 2^0)$$

$$S_n = \frac{a(r^n - 1)}{r - 1}$$

$$= 2 \cdot \left[ \frac{1 \cdot (2^k - 1)}{2 - 1} \right]$$

$$= 2[2^k - 1]$$

$$= 2(n - 1)$$

for a very large value of  $n$

$$T(n) \approx 2n$$

$$T(n) \in \Theta(n)$$



21. using masters theorem  $T(n) = a \cdot T(n/b) + f(n)$

Algorithm: recurrence relation.

$$T(n) = 2 T(n/2) + 2$$

$$a = 2, f(n) = 0, b = 2, d = 0.$$

$$a \cdot b^d$$

$$2 > 2^0$$

$$2 > 1$$

$$T(n) \in \Theta(n \log_b a)$$

$$\in \Theta(n \log_2 2)$$

$$\boxed{T(n) \in \Theta(n)}$$

Matrix multiplication :-

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}$$

$$B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

1	2	3	4
5	6	7	8
9	0	1	2
3	4	5	6

$$C = \begin{bmatrix} A_{11} * B_{11} + A_{12} * B_{21} & A_{11} * B_{12} + A_{12} * B_{22} \\ A_{21} * B_{11} + A_{22} * B_{21} & A_{21} * B_{12} + A_{22} * B_{22} \end{bmatrix}$$

$$T(n) = \begin{cases} 1 & n=1 \\ 8T(n/2) & n>1 \end{cases}$$

$$T(n) = 8T(n/2) + 0 \quad \text{until } T(1) = 1$$

$$a = 8, b = 2, d = 0, f(n) = 0.$$

$$a \cdot b^d$$

$$8 > 2^0$$

$$T(n) \in \Theta(n \log_b a)$$

$$\in \Theta(n \log_2 8)$$

$$T(n) \in \Theta(n^3)$$

Strassen's matrix multiplication.

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \cdot B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$P = (A_{11} + A_{22}) * (B_{11} + B_{22}).$$

$$Q = (A_{21} + A_{22}) * B_{11}$$

$$R = A_{11} * (B_{12} - B_{22})$$

$$S = (A_{22} * (B_{21} - B_{11})).$$

$$T = (A_{11} + A_{12}) * B_{22}.$$

$$U = (A_{21} - A_{11}) * (B_{21} + B_{12}).$$

$$V = (A_{12} - A_{22}) * (B_{21} + B_{22}).$$

$$C = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}$$

$$C_{11} = P + S - T + V$$

$$C_{12} = R + T$$

$$C_{21} = Q + S.$$

$$C_{22} = P + R - Q + U.$$

multiplication = 7.  
Addition / subtraction = 18

ex 1

ex :-

$$A = \begin{bmatrix} 2 & 3 \\ 1 & 4 \end{bmatrix} \quad B = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$$

$$P = 30 \quad T = -20$$

$$Q = 5 \quad U = -3.$$

$$R = -4 \quad V = -7$$

$$S = 8$$

$$E = \begin{bmatrix} P+5 & R+T \\ Q+5 & P+R-Q+U \end{bmatrix}$$

$$V = (A_{12} - A_{22})$$

### Analysis

- 1) Input parameter - 'n' order of matrix  $n \times n$ .
- 2) B.O. - multiplication.
- 3) Depends only on 'n'
- 4)

$$T(n) = \begin{cases} 1 & n=1 \\ 7T(n/2) & n \geq 2 \end{cases}$$

$$s) T(n) = 7T(n/2) \text{ until } T(1) = 1$$

$$a=7, b=2, f(n)=0, d=0$$

$$a > b^d$$

$$7 > 2^0$$

$$7 > 1$$

$$T(n) \in \Theta(n^{\log_2 7})$$

$$T(n) \in \Theta(n^{\log_2 7})$$

$$T(n) \in \Theta(n^{2.81})$$

### Decrease and Conquer

- The problem of i/p size  $n$  is decreased by .
- Constant value (ex.  $a^n \rightarrow a^{n-1}$ )
  - constant factors (ex.  $a^n \rightarrow a^{n/2}, a^{n/3}$ )
  - Decrease by variable size (ex. GCM( $m, n$ )  $\cdot$   $m$ )
  - constant value → BFS, DFS, Insertion sort, Topology sort

## Topological ordering :-/ sorting

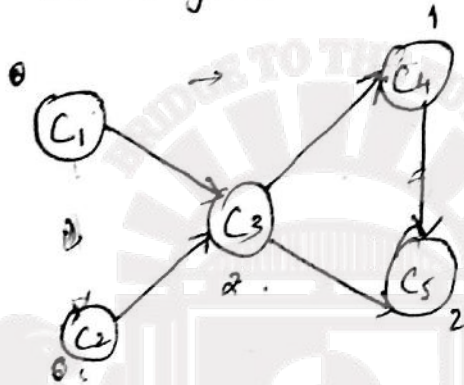
Its a graph traversal technique exclusively on DAG  $\rightarrow$  Directed Acyclic techniques.

$C_1, C_2, C_3, C_4, C_5$

$\rightarrow$  To attempt to  $C_3$ , either  $C_1$  or  $C_2$  should be complete

$\rightarrow$  To attempt  $C_4, C_5$  should be complete.

$\rightarrow$  To attempt  $C_5$ , either  $C_4$  or  $C_3$  should be complete



## To solve Topological ordering

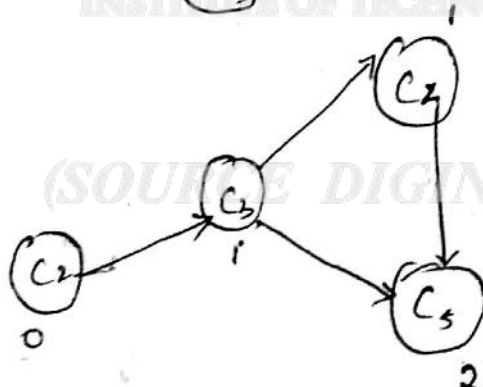
1) DFS method

2) source removal method.

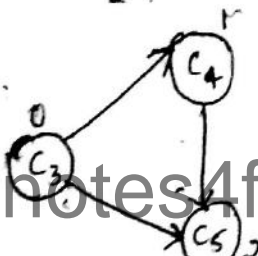
### 1. Source Removal method

source  $\rightarrow$  vertex with indegree - zero.

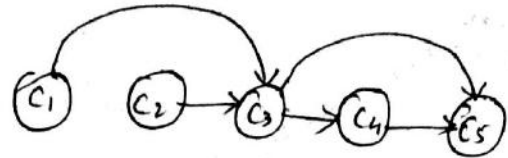
Remove  $C_1$



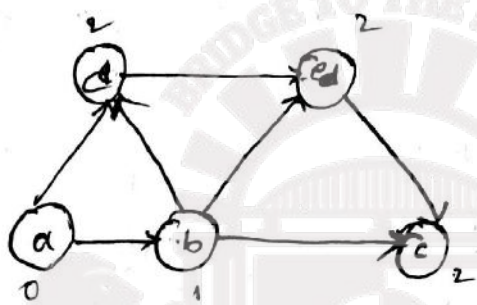
Remove  $C_2$



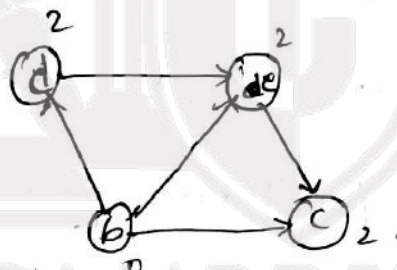
Remove  $(C_3)$   
 $(C_4)$   
 $(C_5)$   
 Remove  $(C_4)$   
 $(C_5)$   
 Remove  $(C_5)$



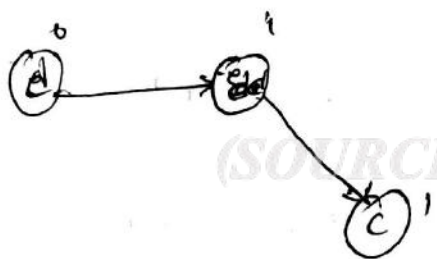
Ex 2 :-



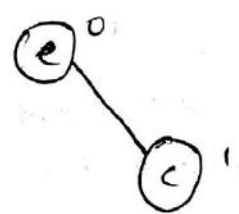
Remove  $(a)$



Remove  $(b)$



Remove  $d$



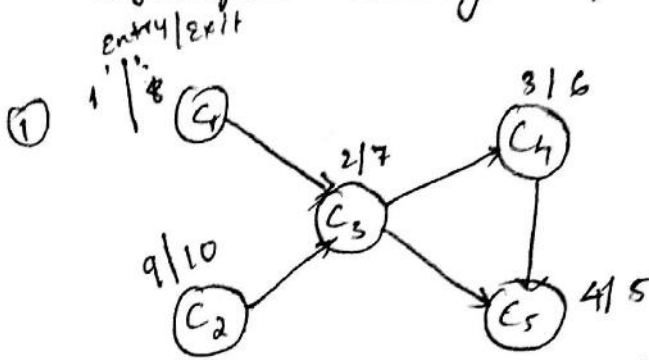
Remove  $e$ .



Remove  $c$ .

notes4free.in  
 a b c  
 topological order

Topological ordering using DFS method

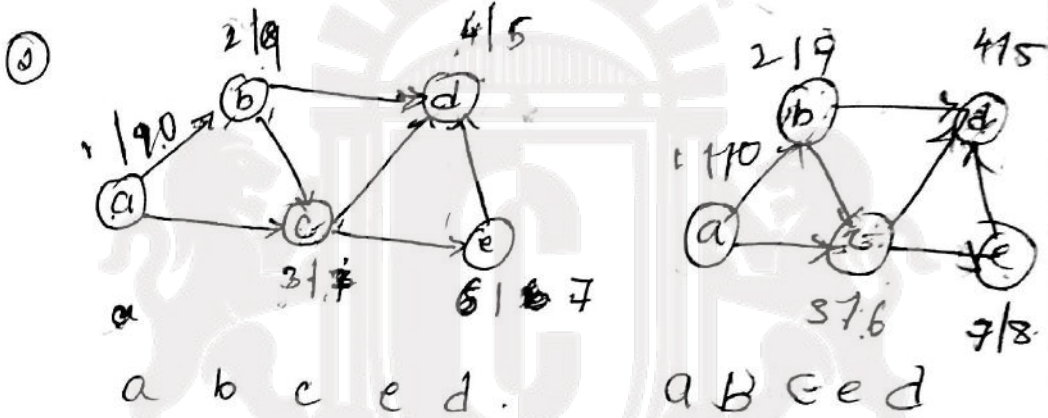
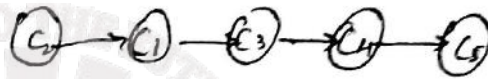


source clearance method

Entry time | Exit time

C2 C1 C3 C4 C5

↓  
topological order.



Control abstract for Divide and conquer

Type D and c(P)

{

    if smallest enough to solve P by straight forward method

    if small(P) then ..

        return s(P) → solution of P

    else

        Divide problem into steps instance

        - P into P<sub>1</sub> P<sub>2</sub> P<sub>3</sub> ... P<sub>k</sub> where k > 1

        solve each subproblem by  $\begin{matrix} D \text{ and } \\ \text{Divide}(P_i), \\ \text{and } \\ \text{Divide}(P_j) \dots \end{matrix}$

        return Combine(D and c(P<sub>1</sub>), and D and c(P<sub>2</sub>) ... D and c(P<sub>k</sub>))

} end if

30/3/17

## MODULE - 3

### Greedy Technique

A problem is solved through sequence of subproblem, each sub-problem is solved by greedy technique.

- feasibility → limit, budget
- locally optimal.
- Irrevocable → no replacement.

Optimising problem → Greedy technique.

#### Definition :-

Constructing a solution through sequence of steps, expanding partially construction sol<sup>n</sup> obtained so far, until the complete sol<sup>n</sup> for the problem is reached.

Each step should have 3 properties.

1. Feasible :- The subproblem should satisfy the imposed constraints.

2. Locally optimal :- Among the Feasible solution for the subproblem, it should choose the best sol<sup>n</sup> called as optimal sol<sup>n</sup>.

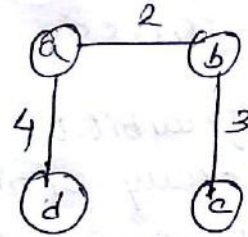
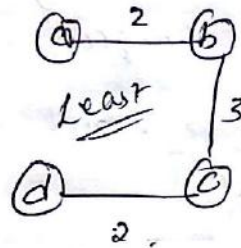
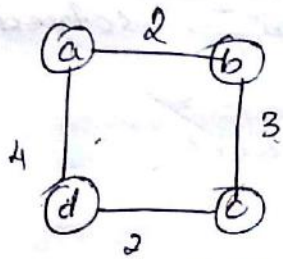
Irrevocable :- Once the subproblem is solved, it should remain unchanged.

#### problems

- 1) minimum spanning tree
- 2) knapsack problem
- 3) coin change problem.
- 4) single source - shortest path

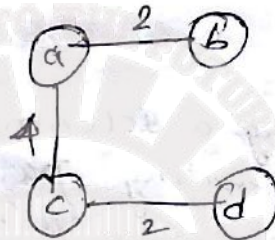
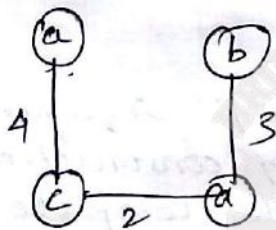
1. spanning tree [minimum]

Acyclic graph which has span at all nodes



Cost = 2 + 3 + 2 = 7

4 + 2 + 3 = 9

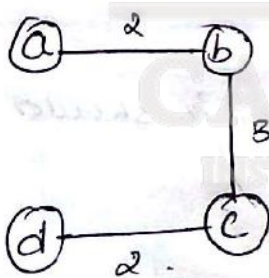


4 + 2 + 3 = 9

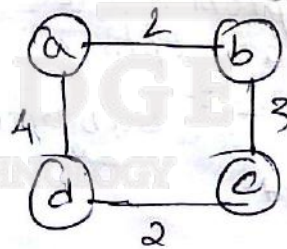
4 + 2 + 2 = 8

To solve minimum spanning tree we've two algorithms -

1. Prim's Algorithm :- Nearest neighbour first starts with reference node (Arbitrarily selected)



minimum spanning tree



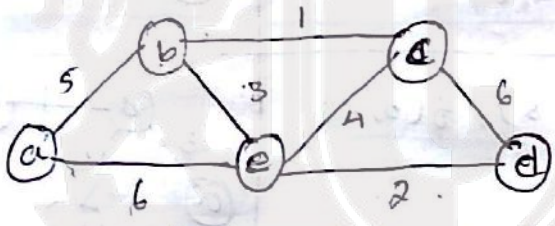
normal tree



Tree vertices	Remaining vertices	Spanning tree
Initial $a(-, -)$	$b(a, 2), c(-, \infty), d(a, 4)$	(a)
$b(a, 2)$	$c(b, 3), d(a, 4)$	(a) — 2 — (b)
$c(b, 3)$	$d(c, 2)$	(a) — 2 — (b)   3 (c)
$d(c, 2)$	NIL	(a) — 2 — (b)   3 (c)   2 (d)

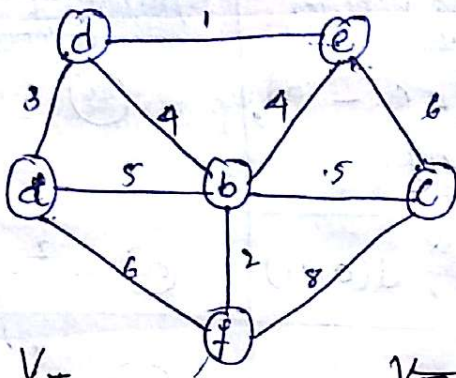
→ Repeat these steps until there is no extra vertices  
 → The cost of the minimum edges =  $2 + 3 + 2 = \underline{7}$ .

②



Tree vertices	Remaining vertices	Spanning tree
Initial $a(-, -)$	$b(a, 5), c(-, \infty), d(-, \infty), e(a, 6)$	(a)
$b(a, 5)$	$c(b, 1), e(b, 3), d(-, \infty)$	(a) — 5 — (b)
$c(b, 1)$	$d(c, 6), e(c, 4)$	(a) — 5 — (b) — 1 — (c)
$e(c, 4)$	$d(e, 2)$	(a) — 5 — (b) — 1 — (c)   3 (e)
$d(e, 2)$	NIL Minimum cost = 10	(a) — 5 — (b) — 1 — (c)   3 (e) — 2 — (d)

b1/b1/b1



$V_T$ Tree Vertices	$V - \Phi, V - V_T$ Remaining Vertices	$E_T$ Spanning tree
$a(-, -)$	$b(a, 3)$ $c(-, a)$ $d(a, 3)$ $e(-, a)$ $f(a, 6)$	
$d(a, 3)$	$b(d, 4)$ , $c(-, a)$ $c(d, 1)$ , $f(a, 6)$	
$e(d, 1)$	$c(e, 6)$ , $b(d, 4)$ $f(a, 6)$	
$b(d, 4)$	$c(b, 5)$ , $f(b, 2)$	
$f(b, 2)$	$c(b, 5)$	
$c(b, 5)$	NZL	

notes4free.in  $3 + 1 + 1 + 5 + 2 = 15$

## Prim Algorithm Prim (E)

Input: A weighted connected graph  $G = \{V, E\}$

Output:  $E_T$  set of edges constructing minimum spanning tree

$$V_T \leftarrow \{V_0\}$$

$$E_T \leftarrow \phi$$

for  $i \leftarrow 1$  to  $|V|-1$  do

Find the minimum weighted edge  $e^* = (u^*, v^*)$

among all the edges  $(u, v)$  such that  $u \in V_T$   
is in  $V_T$  and  $v \in V - V_T$

$$V_T \leftarrow V_T \cup \{v^*\}$$

$$E_T \leftarrow E_T \cup \{e^*\}$$

end for

return  $E_T$ .

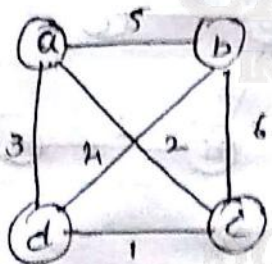
Efficiency of Prim's algorithm [out of syllabus]

$$O(|E| \log |V|)$$

$E \rightarrow$  is the edges.

$V \rightarrow$  is the vertices.

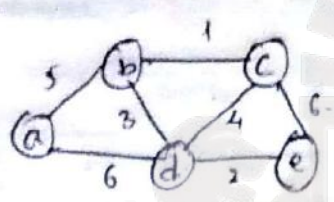
## KRUSKAL'S Algorithm



1 dc, 2 db, 3 ac, 4 db, 5 ab, 6 bc.

Tree edge	Acyclic/cyclic	Spanning tree
dc	Acyclic	
ac	Acyclic	
ad	cyclic	X
bd	Acyclic	

2.

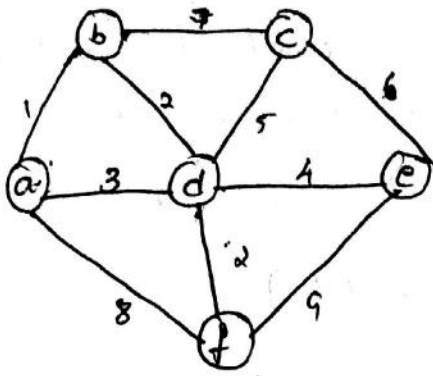


- 1 bc
- 2 de
- 3 bd
- 4 dc
- 5 ab
- 6 ad or ce

Tree edge	Acyclic/cyclic	Spanning tree
bc	Acyclic	
de	Acyclic	
bd	Acyclic	
dc	cyclic	X
ab	Acyclic	
ad	cyclic	X
ce	cyclic	X

$5 + 3 + 2 + 1 = 11$

AM 117  
3.



- $E_k$   
 1. ab      2. bd      3. cd  
 4. de      5. cd      6. ce      7. bc  
 8. af      9. ef

Free edges	Acyclic/cyclic	$E_T$ Min. cost spanning tree
ab	Acyclic	
bd	Acyclic	
df	Acyclic	
ad	Cyclic	x
dc	Acyclic	
cd	Acyclic	

14

P.T.O.

Algorithm : Kruskal's

// Input : A weighted - connected graph  $G = \{V, E\}$

// Output :  $E_T$  - set of edges constructing MST

Sort all edges of  $E$  in non-decreasing order i.e.  
 $w(e_1) \leq w(e_2) \leq \dots \leq w(e_n)$

$E_T \leftarrow \phi$

ecounter  $\leftarrow 0$  ..

$k \leftarrow 0$  // Index for choosing edges

while (ecounter  $<$   $|E| - 1$ ) do

$k \leftarrow k + 1$

if ( $E_T \cup \{e_k\}$  is acyclic) then

$E_T \leftarrow E_T \cup \{e_k\}$

ecounter  $\leftarrow$  ecounter + 1

endif

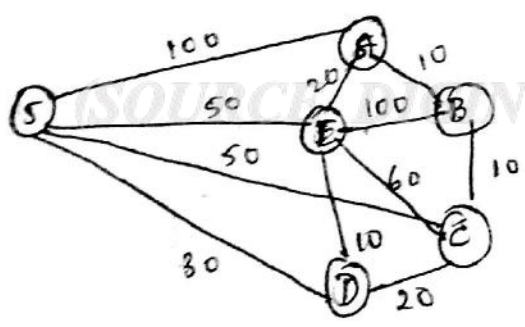
endwhile

Return  $E_T$

Time efficiency

$O(|E| \log |E|)$

Single source shortest path (SSSP)



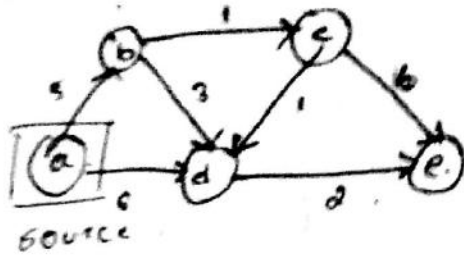
1. Dijkstra's Algorithm [Dia-k-stru]

2. Used in

link-stats routing algorithm

Dijkstra's algorithm is based on nearest neighbour <sup>6/1/19</sup> method.

Example



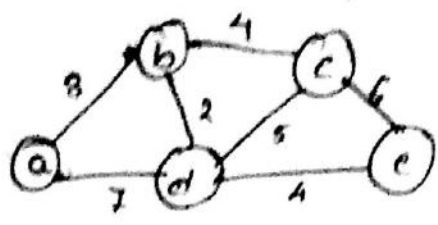
$a \rightarrow b = 5$   
 $a \rightarrow c = 5 + 1$   
 $a \rightarrow d = 6$  (5+3, 6+1)  
 $a \rightarrow e = 8$  (6+2, 8)

$a(c, \text{cost})$   
 Pmultinate  
 vector

Tree vertices	Remaining vertices	Initial path
Initial $a(-, 0)$	$b(a, 5)$ , $c(-, \infty)$ $d(a, 6)$ , $e(-, \infty)$	$a \rightarrow a = 0$
$b(a, 5)$	$c(b, 5+1)$ , $d(a, 6)$ $e(-, \infty)$	$a \rightarrow b = 5$
$c(b, 6)$	$d(a, 6)$ , $e(c, 6+6)$	$a \rightarrow b \rightarrow c = 6$
$d(a, 6)$	$e(d, 8)$	
$e(d, 8)$	Nil	

$a \rightarrow b = 5$   
 $a \rightarrow b \rightarrow c = 6$   
 $a \rightarrow d = 6$   
 $a \rightarrow d \rightarrow e = 8$

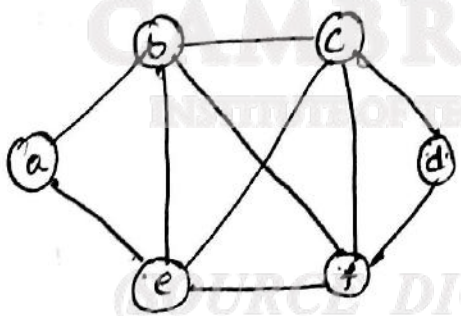
2.



Tree vertices	Remaining vertices	Shortest path
Initial a(-,0)	b(0,3) c(-,∞) d(0,7) e(-,∞)	(a)
b(0,3)	c( <del>b</del> , 3+4) d( <del>b</del> , 3+2), e(-,∞)	(a) - 3 - (b)
c( <del>b</del> , 7) d(b, 5)	d( <del>a</del> , 7) e(-, c(b, 7)) e(d, 11)	(a) - (b) - (d)
c(b, 7)	e(d, 11)	(a) - 3 - (b) - 4 - (c) (a) - 3 - (b) - 2 - (d) - 5 - (c) (a) - 3 - (b) - 2 - (d) - 4 - (e)
e(d, 11)	Nil	(a) - 3 - (b) - 4 - (c) (a) - 3 - (b) - 2 - (d) - 4 - (e)

Shortest path = 3+4+2+4 = 13.

3.





Algorithm :- Dijkstra's (G, s).

// Input: A weighted connected graph  $G = \{V, E\}$   
and source vertex  $s$ .

// Output:  $d_v$  = shortest path from source vertex  
to  $v \in V - s$ .

$P_v$  - Penultimate vertex of  $v$ .

Initialize  $(Q)$  // priority queue.

for every vertex  $v$  in  $V$

$d_v \leftarrow \infty$

$P_v \leftarrow \text{null}$

Insert( $Q, v, d_v$ )

end for

$d_s \leftarrow 0$

Decrease( $Q, s, d_s$ )

$V_T \leftarrow \emptyset$ .

for  $i \leftarrow 0$  to  $|V| - 1$  do

$u^* \leftarrow \text{delete\_min}(Q)$ .

$V_T \leftarrow V_T \cup \{u^*\}$

for every vertex  $u$  adjacent to  $u^*$  and belongs to  $V = V_T$  do

~~if~~ ~~( $u \in V_T$ )~~

if  $(d_{u^*} + w(u^*, u)) < d_u$

$d_u \leftarrow d_{u^*} + w(u^*, u)$

$P_u \leftarrow u^*$

Decrease  $(Q, u, d_u)$

end if

end for

end for

~~6/11/17~~

Time complexity of Dijkstra's

$$O(\log |E| \log M)$$

Knapsack Problem

$n$  - items

weights  $\{w_1, w_2, w_3, \dots, w_n\}$

Price / profit / value  $\{p_1, p_2, \dots, p_n\}$

$W$  = capacity

feasibility condition:  $w_1 + w_2 + \dots \leq W$

Optimal sol<sup>n</sup> :- maximum value in Knapsack

Example

$n=3$   
Profit =  $\{25, 15, 24\}$

weight =  $\{18, 10, 14\}$

$W = 25$

notes4free.in

## Brute force

### Exhaustive search method

{time consuming but good}

$$\{0\} = 0 < 25 = 0$$

$$\{1\} = 18 < 25 = 25$$

$$\{2\} = 10 < 25 = 15$$

$$\{3\} = 14 < 25 = 24$$

$$\{1, 2\} = 28 > 25 = \text{not feasible}$$

$$\{1, 3\} = 32 > 25 = \text{not feasible}$$

$$\boxed{\{2, 3\} = 24 < 25 = 39}$$

$$\{1, 2, 3\} = 42 > 25 = \text{Not feasible}$$

## Knapsack Problem

→ 0/1 knapsack (completely or don't take)  
 → Fractional knapsack → greedy technique

Fractional knapsack → greedy technique

1) Find the ratio of Profit/weight.

2) Reorder the items base  $P_i/w_i$   
 Such that  $P_1/w_1 \geq P_2/w_2 \geq P_3/w_3 \dots$

Items	Profit	Weight	$P_i/w_i$	Remaining Capacity	Fraction of Item	Profit of the Knapsack
3	24	14	1.71	$25 - 14 = 11$	1	$0 + 1 \times 24 = 24$
2	15	10	1.5	$11 - 10 = 1$	1	$24 + 1 \times 15 = 39$
1	25	18	1.38	$1 - 1 = 0$	$1/18$	$39 + (1/18 \times 25)$ $= 40.38$

Ex 1:  $n=3$

Profit = { 25, 15, 24 }

Weight { 18, 10, 14 }

$W=25$

Items	P	W	$P_i/W_i$
1	25	18	1.38
2	15	10	1.5
3	24	14	1.71

higher value first

2.  $n=4$

Weight = { 7, 3, 4, 5 }

Profit = { 42, 12, 40, 25 }

$W=12$

Item	P	W	$P_i/W_i$
1	42	7	6
2	12	3	4
3	40	4	10
4	25	5	5

Items	Profit	Weight	$P_i/W_i$	Remaining Capacity	$x(i) = \frac{W}{W_i}$ fraction of item	Profit of Knapsack
3	40	4	10	$12-4=8$	1	40
1	42	7	6	$8-7=1$	1	$42+40=82$
4	25	5	5	$1-1=0$	$1/5$	$87$
2	12	3	4	0	0	$87+0=87$

3.  $n = 7$   
 weight = {2, 3, 5, 7, 1, 4, 1}  
 profit = {10, 5, 15, 7, 6, 18, 3}  
 $w = 15$

Algorithm Greedy-Knapsack ( $W, n$ )

//Input :  $P[1..n]$  Price  $w[1..n]$  weight of items  
 sorted in non-decreasing order of  $P_i/w_i$   
 ratio ..  $W$  - Capacity of Knapsack.

//Output :  $x[1..n]$  Solution vectors

for  $i \leftarrow 1$  to  $n$  do

$x[i] \leftarrow 0$

end for

$U \leftarrow W$

for  $i \leftarrow 1$  to  $n$  do

if  $(w[i] > U)$  then  
 break

~~end for~~

end if

$x[i] \leftarrow 1.0$

$U \leftarrow U - w[i]$

end for

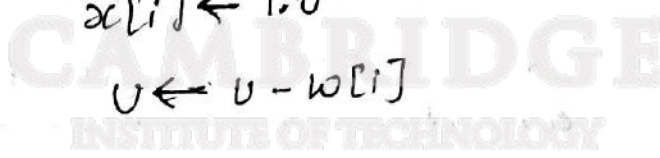
if  $(i \leq n)$

$x[i] \leftarrow \frac{U}{w[i]}$

end if

return ( $x$ )

Left out capacity  
 $U \rightarrow$  capacity or  
 accommodated  
 in Knapsack  
 Capacity  
 of Knapsack



(SOURCE DIGINOTES)

b.  $n = 3$

$P \{25, 24, 15\}$

$W \{18, 15, 10\}$

$W = 20$

Item	P	W	$P_i/W_i$	items	P	W	$U = 20$	$\alpha$
1	25	18	1.36	2	24	15	$20 - 15 = 5$	$\phi = 1.0$
2	24	15	1.7	3	25	10	$5 - 5 = 0$	$\phi = \frac{7.5}{10}$
3	15	10	1.5	1				

Capacity of knapsack  
remaining weight

Return  
 $= 24$   
 $+ 7.5$   
 $= 31.5$

8/4/17

Job-sequencing / scheduling with deadline.

Set of jobs - n

each job associated with deadline (time to complete).

each job is associated with price

Feasibility condition: sequenced jobs have to be completed with that deadline.

Optimal solution: Sequence with maximum profit/pi

Ex:  $n = 5$  (jobs)

Job no.:	1	2	3	4	5
deadline	3	3	2	1	2
Price	5	1	20	10	15

Reorder

based price  $\uparrow$

Descending order

Job considered	Price	deadline	Action Taken	Total Profit						
3	<del>20</del> 20	2	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>1</td><td>2</td><td>3</td></tr><tr><td></td><td>3</td><td></td></tr></table> (3, 2)	1	2	3		3		0 + 20 = 20
1	2	3								
	3									
5	15	2	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>1</td><td>2</td><td>3</td></tr><tr><td>5</td><td>3</td><td></td></tr></table> (5, 1)	1	2	3	5	3		20 + 15 = 35
1	2	3								
5	3									
4	10	1	not scheduled	35						
1	5	3	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>1</td><td>2</td><td>3</td></tr><tr><td>5</td><td>3</td><td>1</td></tr></table> (1, 3)	1	2	3	5	3	1	40
1	2	3								
5	3	1								
2	1	3	Not sequenced	40						

Profit = 40

x:2 n=7

Job no:	1	2	3	4	5	6	7
deadline	1	3	4	3	2	1	2
Price	3	5	20	18	1	6	30
	6	5	2	3	2	4	1

Job considered	Price	deadline	Action Taken	Total Profit								
7	30	2	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td></td><td>7</td><td></td><td></td></tr><tr><td></td><td><del>30</del></td><td></td><td></td></tr></table> (7, 2)		7				<del>30</del>			30
	7											
	<del>30</del>											
3	20	4	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td></td><td>7</td><td></td><td>3</td></tr><tr><td></td><td></td><td></td><td></td></tr></table> (3, 4)		7		3					30 + 20 = 50
	7		3									
4	18	3	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td></td><td>7</td><td>4</td><td>3</td></tr><tr><td></td><td></td><td></td><td></td></tr></table> (4, 3)		7	4	3					50 + 18 = 68
	7	4	3									
6	6	1	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>6</td><td>7</td><td>4</td><td>3</td></tr><tr><td></td><td></td><td></td><td></td></tr></table> (6, 1)	6	7	4	3					68 + 6 = 74
6	7	4	3									
2	5	3	not scheduled	74								
1	3	1	not scheduled	74								
5	1	2	Not scheduled	74								

notes4free.in

Algorithm :- Job-sequencing (d, p, n).

// Input :- Jobs ordered based on price in non-increasing order  
d - deadline, p - price, n - no of jobs.

// output :- sequenced Job - J.

$J \leftarrow \{1\}$

for  $i \leftarrow 2$  to  $n$  do

if (all the jobs in  $J \cup \{i\}$  can be completed with deadline) then

$J \leftarrow J \cup \{i\}$ .

end if

end for

return J

General method of Greedy Technique

Note by default need to be included in greedy technique explanation.

Algorithm Greedy (arr)

// Input :- An array,  $a[1..n]$  of subproblems

// output :- Feasible and optimal solution.

solution  $\leftarrow \phi$

for  $i \leftarrow 1$  to  $n$  do

$x \leftarrow \text{select}(a)$  // <sup>sol<sup>n</sup> of</sup> subproblem  $i$  to  $x$ .

if (feasible (solution,  $x$ )) then

solution  $\leftarrow$  Union (solution,  $x$ )

end if

end for

return (solution)



15/4/17

ASCII/EBCDIC.  
Fixed length coding.

## Huffman coding

coding technique to represent non-numeric elements with binary values.

-> variable length coding.

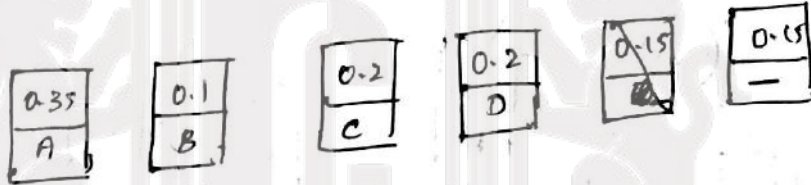
-> Based on the frequency of occurrence of the character, the no of bits to represent varies. i.e. frequently occurring characters will be assigned with less no of bits. and rarely occurring characters with maximum no of bits.

-> Huffman coding is also called as one of the loss less compression technique.

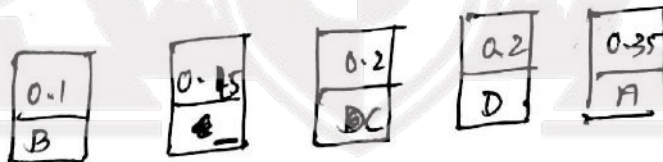
Ex:-

Character	A	B	C	D	E
Probability	0.35	0.1	0.2	0.2	0.15

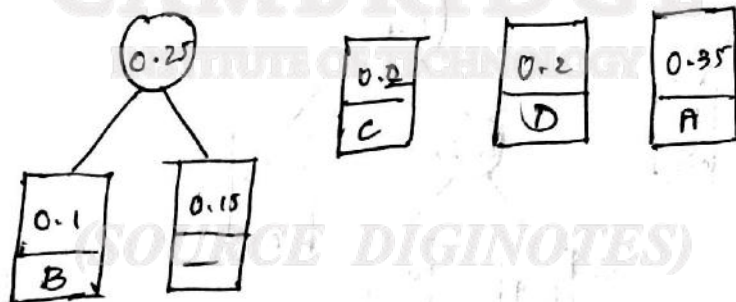
construct a tree for every character



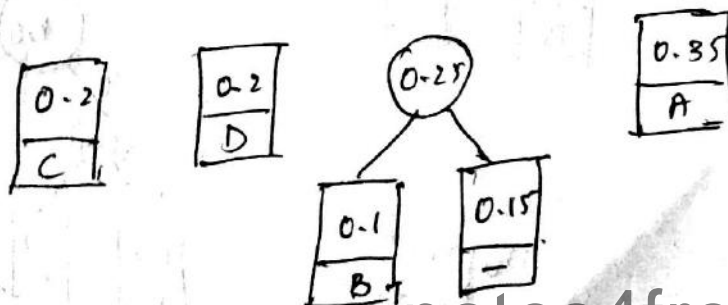
Reorder (ascending)



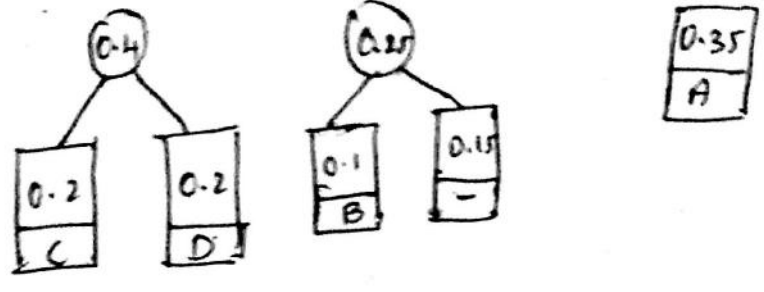
merge



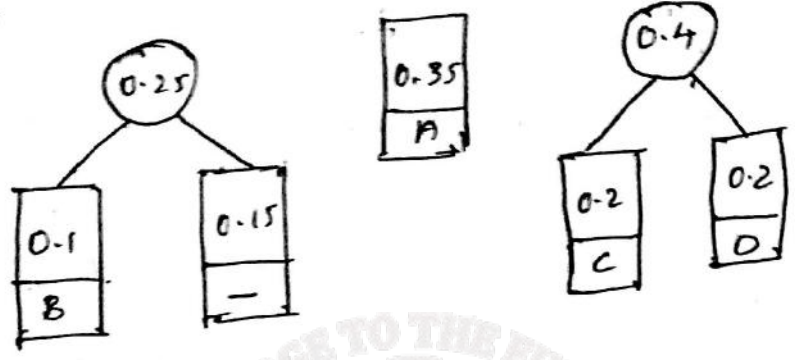
Reorder



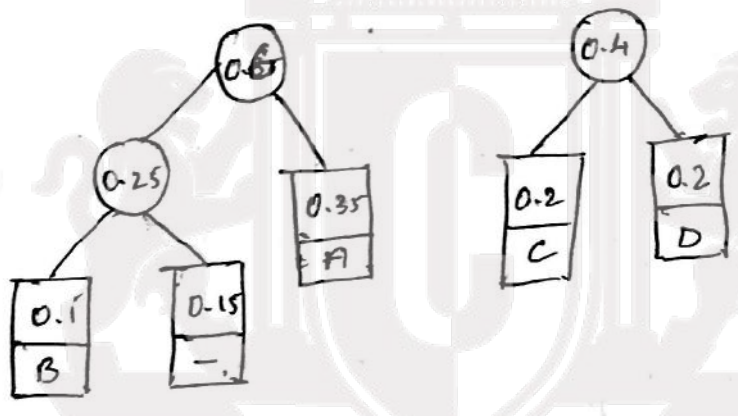
merge.



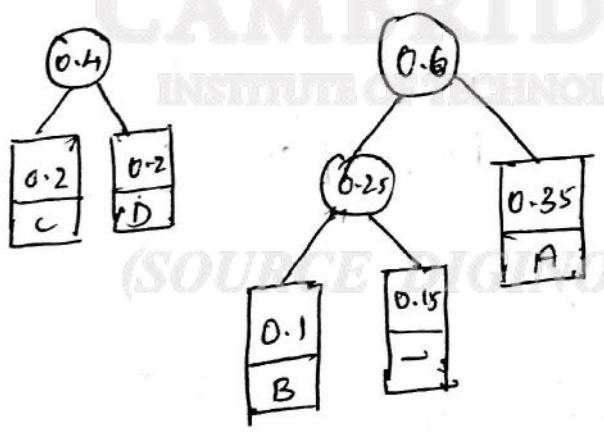
Reorder



merge

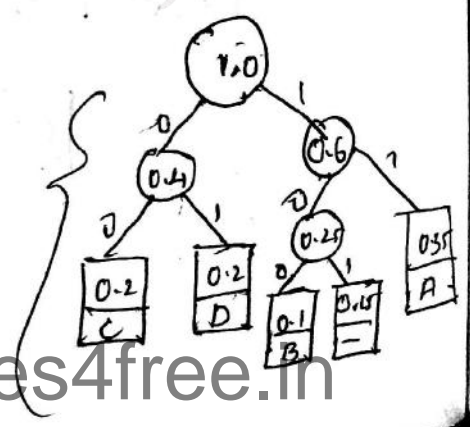


Reorder



merge

Huffman tree



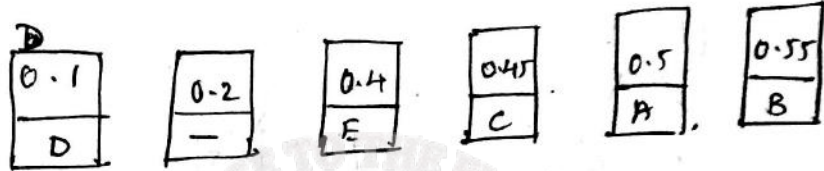
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A      B      c      D      —  
 11      100      00      01      101

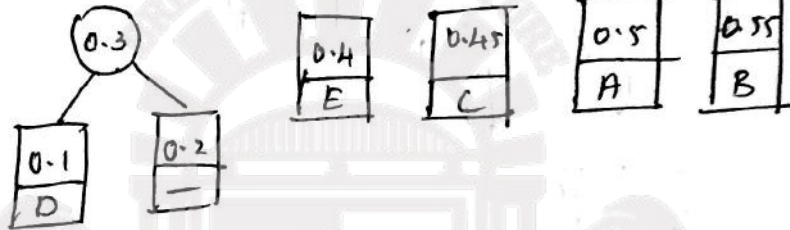
example 2

character	A	B	C	D	E	—
Probability	0.5	0.55	0.45	0.1	0.4	0.2

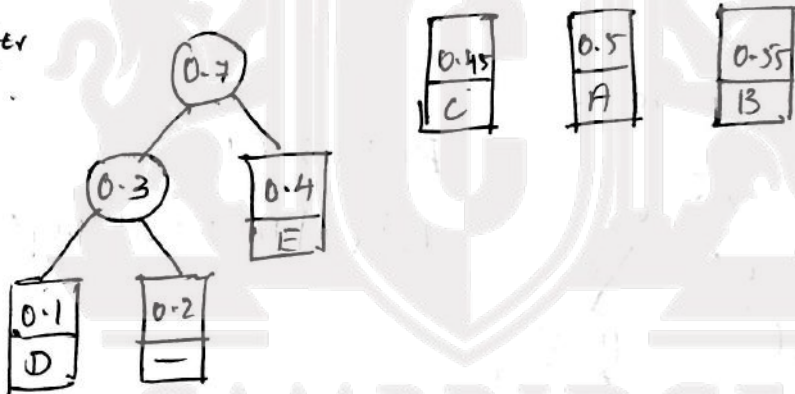
Reorder



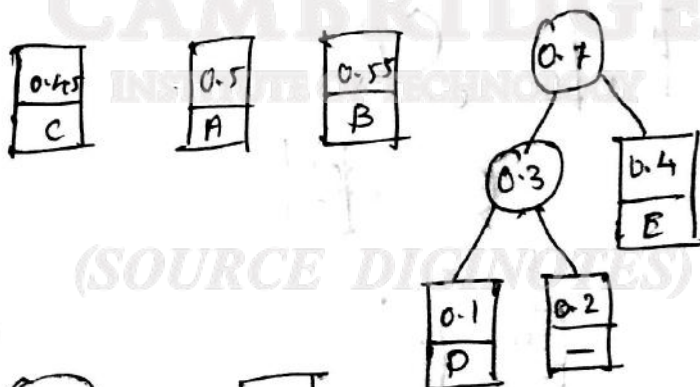
merge



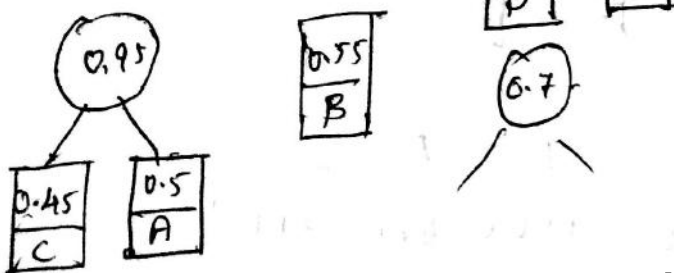
Reorder  
merge



Reorder

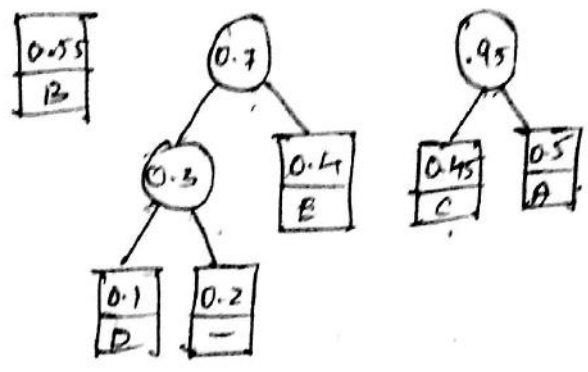


merge

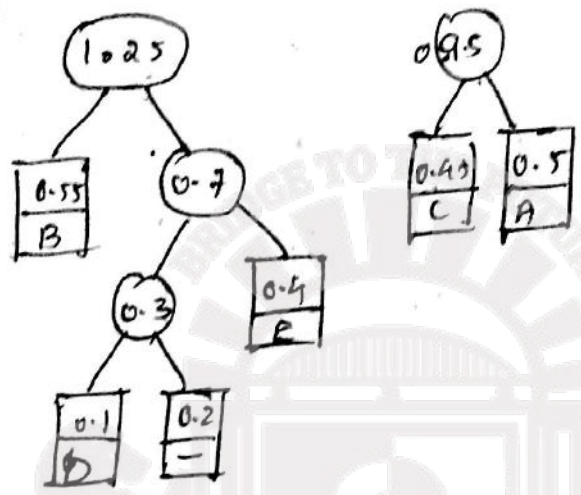


Reorder

vector → 001001



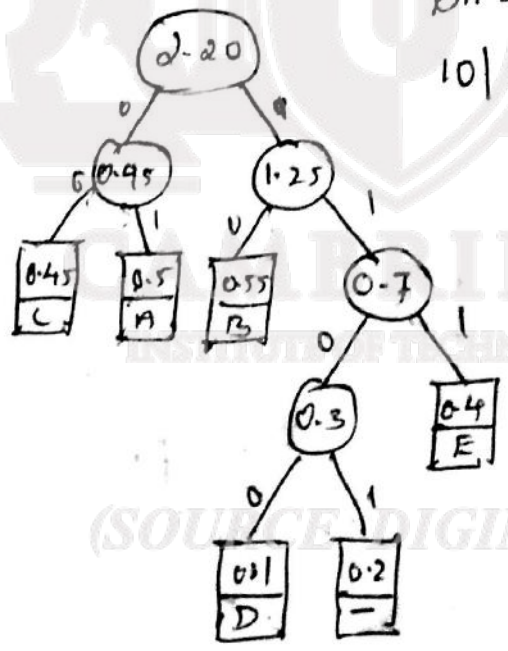
Merge



Reorder and merge

BAD DAA

10 | 01 | 1100 | 1101 | 1100 | 01 | 01



A	B	C	D	E	-
01	10	00	1100	111	1101

Algorithm :- Huffman tree.

Input: A <sup>(array)</sup> vector of characters and their frequency.

Output: Huffman tree.

Step 1: Initialize 'n' node trees and label them with the character of the alphabet. Record the frequency of each character in its tree root to indicate the tree's weight.

Step 2: Repeat the following operation until a single tree is obtained.

- a) Find the two trees with smallest weight.
- b) make them as left and right subtree of a new tree.
- c) Record the sum of their weights in the root of the new tree as its weight.

Step 3: - mark the left edge with '0' and right edge with '1'.

7/8/4/17.

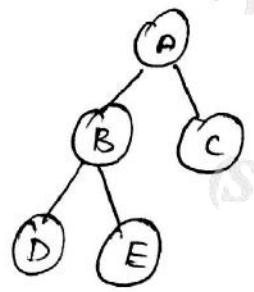
### Heap sort

uses Transform and conquer design technique.

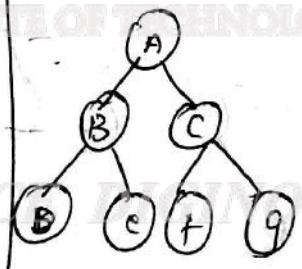
#### Heap tree

- \* Binary tree.
- \* Essentially complete Binary tree.

Strictly B.T



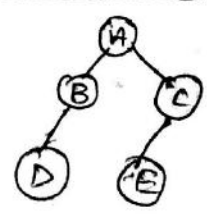
complete B.T.



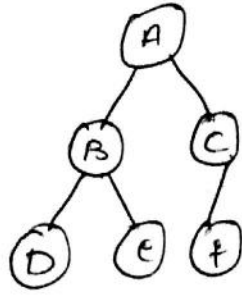
- $2^d$
- children same level
- level completely filled
- $2^{d+1} - 1$  → total no. of nodes.

height = 1 + depth.

Almost complete B.T

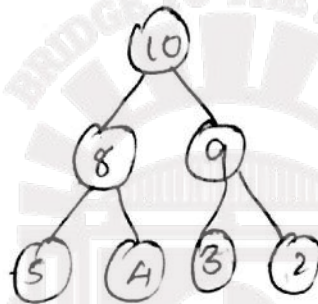


\* Essentially complete Binary tree.  
 is an almost complete B.T.  
 missing nodes are from right to left.



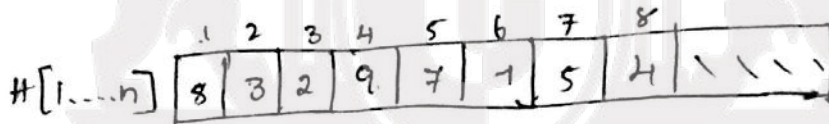
\* Parental Dominance.

Parent node value should be larger than children (Descending heap tree)

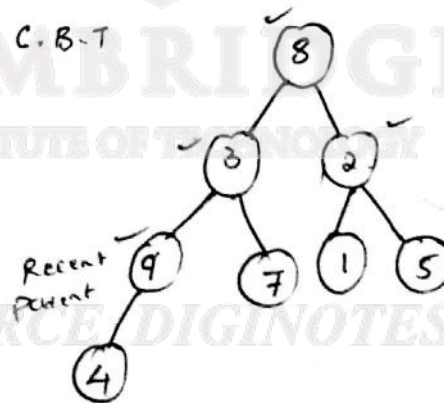


18/4/14

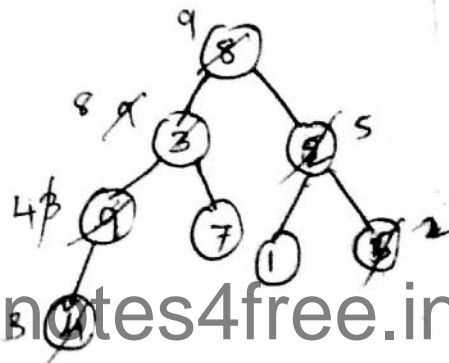
Construction of Heap tree (Heapification)

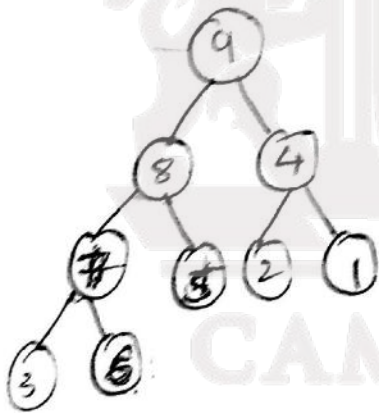
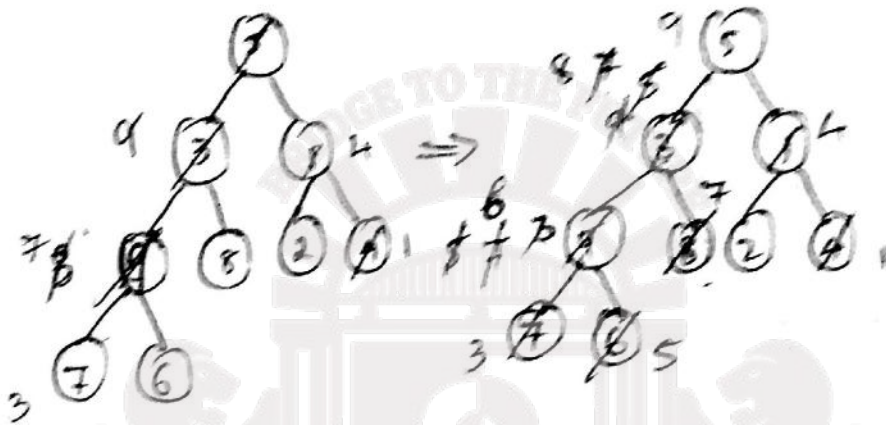
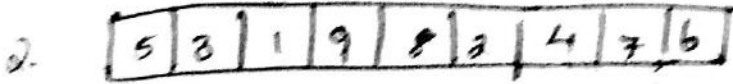
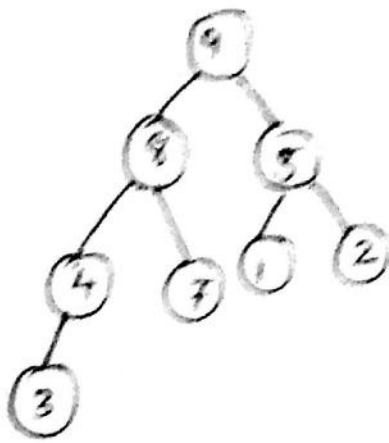


Represent Array into essentially C.B.T



From recent Parent try to satisfy parental dominance.





Algorithm :- Heap\_Bottom up ( $H[1 \dots n]$ )  
 // Input: An array  $H[1 \dots n]$  of orderable elements.  
 // Output: A max heap tree  $H[1 \dots n]$   
 for  $i \leftarrow \lfloor n/2 \rfloor$  down to 1 do.  
      $k \leftarrow i$   
      $v \leftarrow H[k]$   
     Heap  $\leftarrow$  false.  
     while (not heap and  $2 \times k \leq n$ ) do.  
          $j \leftarrow 2 \times k$

if ( $j < n$ ) then // there are 2 children

if ( $H[j] < H[j+1]$ ) then -

$j \leftarrow j+1$

endif

endif

if ( $v \geq H[j]$ )

heap  $\leftarrow$  true

else

$H[k] \leftarrow H[j]$ .

$k \leftarrow j$

endif

end while

$H[k] \leftarrow v$

end for

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(SOURCE DIGINOTES)



20/4/17

MODULE - 4

DYNAMIC PROGRAMMING (Planning)

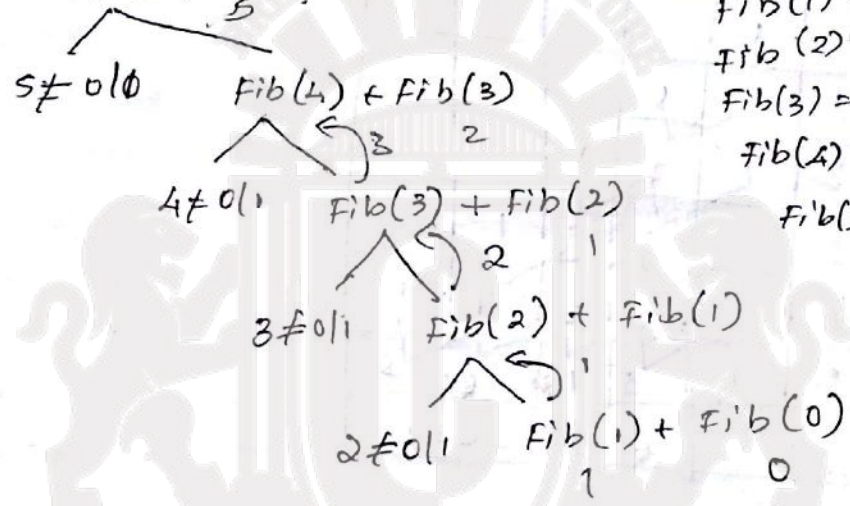
Richard Bellman

It is a general method for optimizing multistage decision process.

Dynamic programming

It is a technique for solving problem through overlapping subproblems. Each subproblem is generated through recurrence relation solving them only once and recording the result for future use.

Ex: Fib(5)



- Fib(0) = 0
- Fib(1) = 1
- Fib(2) = 1
- Fib(3) = 2
- Fib(4) = 3
- Fib(5) = 5

$1C_0$        $a+b = a+b$       (1, 1)  
 $2C_0 + 2C_1 + 2C_2$        $(a+b)^2 = a^2 + 2ab + b^2$       (1, 2, 1)  
 $3C_0 + 3C_1 + 3C_2 + 3C_3$        $(a+b)^3 = a^3 + 3a^2b + 3ab^2 + b^3$       (1, 3, 3, 1)  
 $4C_0 + 4C_1 + 4C_2 + 4C_3 + 4C_4$        $(a+b)^4 = a^4 + 4a^3b + 6a^2b^2 + 4ab^3 + b^4$       (1, 4, 6, 4, 1)

$$C(n, k) = \begin{cases} 1 & k=0 \text{ or } n=k \\ C(n-1, k+1) + C(n-1, k) & \text{otherwise} \end{cases}$$

$C(5,3)$ .

	0	1	2	3
0	1			
1	1	1		
2	1	2	1	
3	1	3	3	1
4	1	4	6	4
5	1	5	10	10

$C(6,4)$

	0	1	2	3	4
0	1				
1	1	1			
2	1	2	1		
3	1	3	3	1	
4	1	4	6	4	1
5	1	5	10	10	5
6	1	6	15	20	15

Algorithm:- Binomial Coefficient  $C(n,k)$

// Input:- positive integers  $n, k$  where  $n \geq k \geq 0$ .

// output:-  $C(n,k)$  coefficient.

for  $i \leftarrow 0$  to  $n$  do.

for  $j \leftarrow 0$  to  $\min(i, k)$  do.

if  $(j=0$  or  $i=j)$  then

$C[i,j] \leftarrow 1$

else -

$C[i,j] \leftarrow C[i-1, j-1] + C[i-1, j]$

endif -

endfor

end for  
return  $C(n,k)$

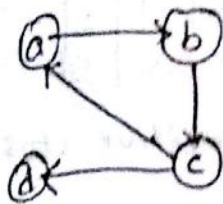
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22/11/13

# Warshall's Algorithm

AND operation

## Transitive closure of adjacency matrix



$R^{(0)}$

	a	b	c	d
a	0	1	0	0
b	0	0	1	0
c	1	0	0	1
d	0	0	0	0

	a	b	c	d
a	1	1	1	1
b	1	1	1	1
c	1	1	1	1
d	0	0	0	0

Transitive closure

→ Warshall algorithm estimates transitive closure for the given adjacency matrix

→ For any two vertices  $u$  and  $v$  of a given graph, if there is a direct path or via intermediate vertices then

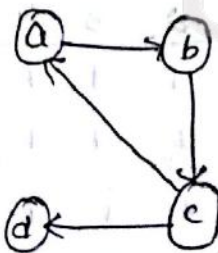
$$R(u,v) = 1 \text{ else zero}$$

→ Initially  $R^{(0)}$  is same as adjacency matrix

→ Estimate:  $R^{(1)}$  (vertex 1 as intermediate node), then  $R^{(2)} \dots R^{(n)}$

→ Declare  $R^{(n)}$  as transitive closure

ex



$R^{(0)}$

	a	b	c	d
a	0	1	0	0
b	0	0	1	0
c	1	0	0	1
d	0	0	0	0

$R^{(1)}$

	a	b	c	d
a	0	1	0	0
b	0	0	1	0
c	1	1	0	1
d	0	0	0	0

$R^{(2)}$

	a	b	c	d
a	0	1	1	0
b	0	0	1	0
c	1	1	1	1
d	0	0	0	0

$R(c)$

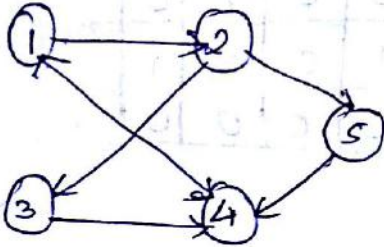
	a	b	c	d
a	1	1	1	1
b	1	1	1	1
c	1	1	1	1
d	0	0	0	0

$R(d)$

	a	b	c	d
a	1	1	1	1
b	1	1	1	1
c	1	1	1	1
d	0	0	0	0

Transitive closure

②



$R^{(0)}$

	1	2	3	4	5
1	0	1	0	0	0
2	0	0	1	0	1
3	0	0	0	1	0
4	1	0	0	0	0
5	0	0	0	1	0

$R^{(1)}$

	1	2	3	4	5
1	0	1	0	0	0
2	0	0	1	0	1
3	0	0	0	1	0
4	1	1	0	0	0
5	0	0	0	1	0

$R^{(2)}$

	1	2	3	4	5
1	0	1	1	0	1
2	0	0	1	0	1
3	0	0	0	1	0
4	1	1	1	0	1
5	0	0	0	1	0

$R^{(3)}$

	1	2	3	4	5
1	0	1	1	1	1
2	0	0	1	1	1
3	0	0	0	1	0
4	1	1	1	1	1
5	0	0	0	1	0

$R^{(4)}$

	1	2	3	4	5
1	1	1	1	1	1
2	1	1	1	1	1
3	1	1	1	1	1
4	1	1	1	1	1
5	1	1	1	1	1

$R^{(5)}$

	1	2	3	4	5
1	1	1	1	1	1
2	1	1	1	1	1
3	1	1	1	1	1
4	1	1	1	1	1
5	1	1	1	1	1

Algorithm Marshall ( $n \times n, 1 \dots n$ )

//Input: Adjacency matrix  $A[1 \dots n, 1 \dots n]$  of graph

//Output: Transitive closure  $R^{(n)}$

$R^{(0)} \leftarrow A$

for  $k \leftarrow 1$  to  $n$  do

for  $i \leftarrow 1$  to  $n$  do

for  $j \leftarrow 1$  to  $n$  do

$R^{(k)}[i, j] \leftarrow R^{(k-1)}[i, j]$  OR

$(R^{(k-1)}[i, k] \text{ AND } R^{(k-1)}[k, j])$

end for

end for

end for

Return:  $R^{(n)}$

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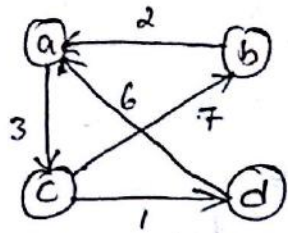
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(SOURCE DIGINOTES)

27/4/17

# Floyd's Algorithm [All pair Shortest Path]

①



We've to find the shortest path

$D^{(0)} =$

	a	b	c	d
a	0	$\infty$	3	$\infty$
b	2	0	$\infty$	$\infty$
c	$\infty$	7	0	1
d	6	$\infty$	$\infty$	0

Shortest distance.

$\Rightarrow i/j/p$

$D^{(1)} =$

	a	b	c	d
a	0	$\infty$	3	$\infty$
b	2	0	6	$\infty$
c	$\infty$	7	0	1
d	6	$\infty$	9	0

OR operation

→ fix diagonal element

→ Add row and column and replace  $\infty$  with smaller no of addition

$D^{(2)} =$

	a	b	c	d
a	0	$\infty$	3	$\infty$
b	2	0	5	$\infty$
c	9	7	0	1
d	6	$\infty$	9	0

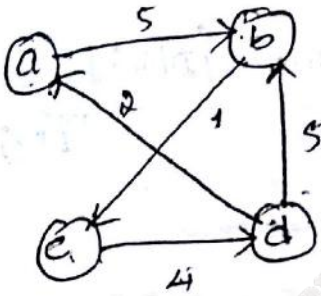
$D^{(3)} =$

	a	b	c	d
a	0	10	3	4
b	2	0	5	6
c	9	7	0	1
d	6	16	9	0

$D^{(1)} =$

	a	b	c	d
a	0	10	3	4
b	2	0	5	6
c	7	7	0	1
d	6	16	9	0

②



$= D^0 =$

	a	b	c	d
a	0	5	<del>3</del>	<del>4</del>
b	<del>2</del>	0	1	<del>6</del>
c	<del>7</del>	<del>7</del>	0	4
d	2	5	<del>9</del>	0

$D^{(1)} =$

	a	b	c	d
a	0	5	<del>3</del>	<del>4</del>
b	<del>2</del>	0	1	<del>6</del>
c	<del>7</del>	<del>7</del>	0	4
d	2	5	<del>9</del>	0

$D^{(2)} =$

	a	b	c	d
a	0	5	6	<del>4</del>
b	<del>2</del>	0	1	<del>6</del>
c	<del>7</del>	<del>7</del>	0	4
d	2	5	6	0

$D^{(3)} =$

	a	b	c	d
a	0	5	6	10
b	<del>2</del>	0	1	5
c	<del>7</del>	<del>7</del>	0	4
d	2	5	6	0

$D^{(4)} =$

	a	b	c	d
a	0	5	6	10
b	7	0	1	5
c	6	9	0	4
d	2	5	6	0

(SOURCE: DIGI NOTES)

P. T. S. O.

Algorithm · Floyd ( $w[1 \dots n]$ ).

// Input : weight matrix  $w[1 \dots n]$

// output : D - shortest path.

$D \leftarrow w$ .

for  $k \leftarrow 1$  to  $n$  do // vertex  
 for  $i \leftarrow 1$  to  $n$  do // row  
 for  $j \leftarrow 1$  to  $n$  do // column  
 $D[i, j] \leftarrow \min \{ D[i, j], D[i, k] + D[k, j] \}$

end for

end for

end for

return D

Time efficiency

$$T(n) = \sum_{k=1}^n \sum_{i=1}^n \sum_{j=1}^n 1$$

$$T(n) \in \Theta(n^3)$$

0/1 Knapsack using Dynamic Programming

$n$  = no of items,  $m$  = capacity of knapsack.

$P = [P_1, P_2, P_3, \dots, P_n]$       $w = [w_1, w_2, \dots, w_n]$

value table.

V	0	1	2	...	j	...	m
0	0	0	0	0	...	0	0
1	0	*					
2	0						
3	0						
...							
i							
n							

$i=0 \text{ \& } j=0$



$$v[i, j] = \begin{cases} 0 & i=0, \& j=0 \\ v[i-1, j] \text{ (previous)} & j < w_i \\ \max\{v[i-1, j], p[i] + v[i-1, j-w_i]\} & j > w_i \end{cases}$$

doubt

28/4/17  
Ex

n=4 m=5

p[12, 10, 20, 15], w[2, 1, 3, 2]

		→ capacity						
		j	0	1	2	3	4	5
i	0	0	0	0	0	0	0	0
	1	0	0	0	0	0	0	0
	2	0	0	0	0	0	0	0
	3	0	0	0	0	0	0	0
	4	0	0	0	0	0	0	0

		→ capacity						
		j	0	1	2	3	4	5
i	0	0	0	0	0	0	0	0
	1	0	0	0	12	12	12	12
	2	0	0	10	12	22	22	22
	3	0	0	10	12	22	30	32
	4	0	0	10	15	25	30	37

Optimal solution is 37.

[0 0 0 0] = 1, 2, 4

notes4free.in

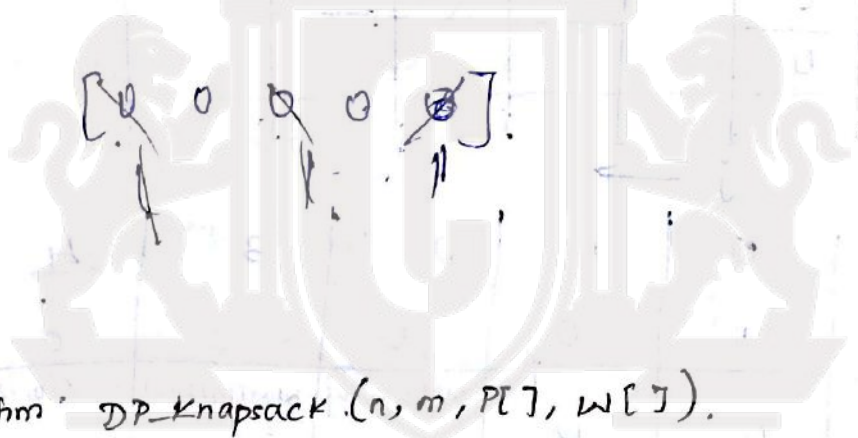
Example 2

$n=5, m=7$

$P[25, 20, 15, 40, 50], w[3, 2, 1, 4, 3]$

v	0	1	2	3	4	5	6	7
$w=3, p=25$	0	0	0	25	25	25	25	25
$w=2, p=20$	0	0	20	25	25	45	45	45
$w=1, p=15$	0	15	20	35	40	45	60	60
$w=4, p=40$	0	15	20	35	40	55	60	75
$w=5, p=50$	0	15	20	50	65	70	90	90

Optimal sol<sup>n</sup> = 90



02/5/17

Algorithm: DP\_knapsack( $n, m, P[], w[]$ ).

//input: no-of items =  $n$ , knapsack-capacity =  $m$

Prices of all items =  $P[1..n]$ , weight of all items =  $w[1..n]$

//output: optimal feasible solution  $v[n, m]$

```

for i ← 0 to n do
    for j ← 0 to m do
        if (i=0 or j=0) then
            v[i, j] ← 0
        else if (j < w[i]) then
            v[i, j] ← v[i-1, j]
        else
            v[i, j] ← max(v[i-1, j], v[i-1, j-w[i]] + P[i])
    
```

notes4free.in

$$v[i, j] \leftarrow \max(v[i-1, j], P[i] + v[i-1, j - w[i]])$$

end if  
 end for  
 end for  
 return  $v[n, m]$

$$v[i-1, j - w[i]]$$

Time efficiency  
 $T(n) \in \Theta(n, m)$

Memory function Knapsack

$n=4, m=5$

$P = \{12, 10, 20, 15\}$      $w = \{2, 1, 3, 2\}$

It is an optimised DP-Knapsack problem. It solves only those set of sub-problems which yields optimal selection  $v[n]$ . And rest of the other subproblems are not been solved.

Algorithm MF\_Knapsack( $i, j$ )

//input : 2 non-negative integer  $i, j$

where  $i$  denotes item no and  $j$  denotes capacity of knapsack

//output : optimal feasible solution i.e  $v[n, m]$

//note : initialize  $v[i][j]$  with -1 for its cells except 0<sup>th</sup> row and 0<sup>th</sup> column

0	0	0	0	0
0	-1	-1	-1	-1
0	-1	-1	-1	-1
0	-1	-1	-1	-1

if ( $v[i, j] < 0$ ) then  
 if ( $j < w[i]$ ) then

else

~~return~~ max(MF\_knapsack(

return(max(MF\_knapsack(i-1, j), P\_i + MF\_knapsack(i-1, j - w\_i))

end if

end if

En

Example

n=4, m=5

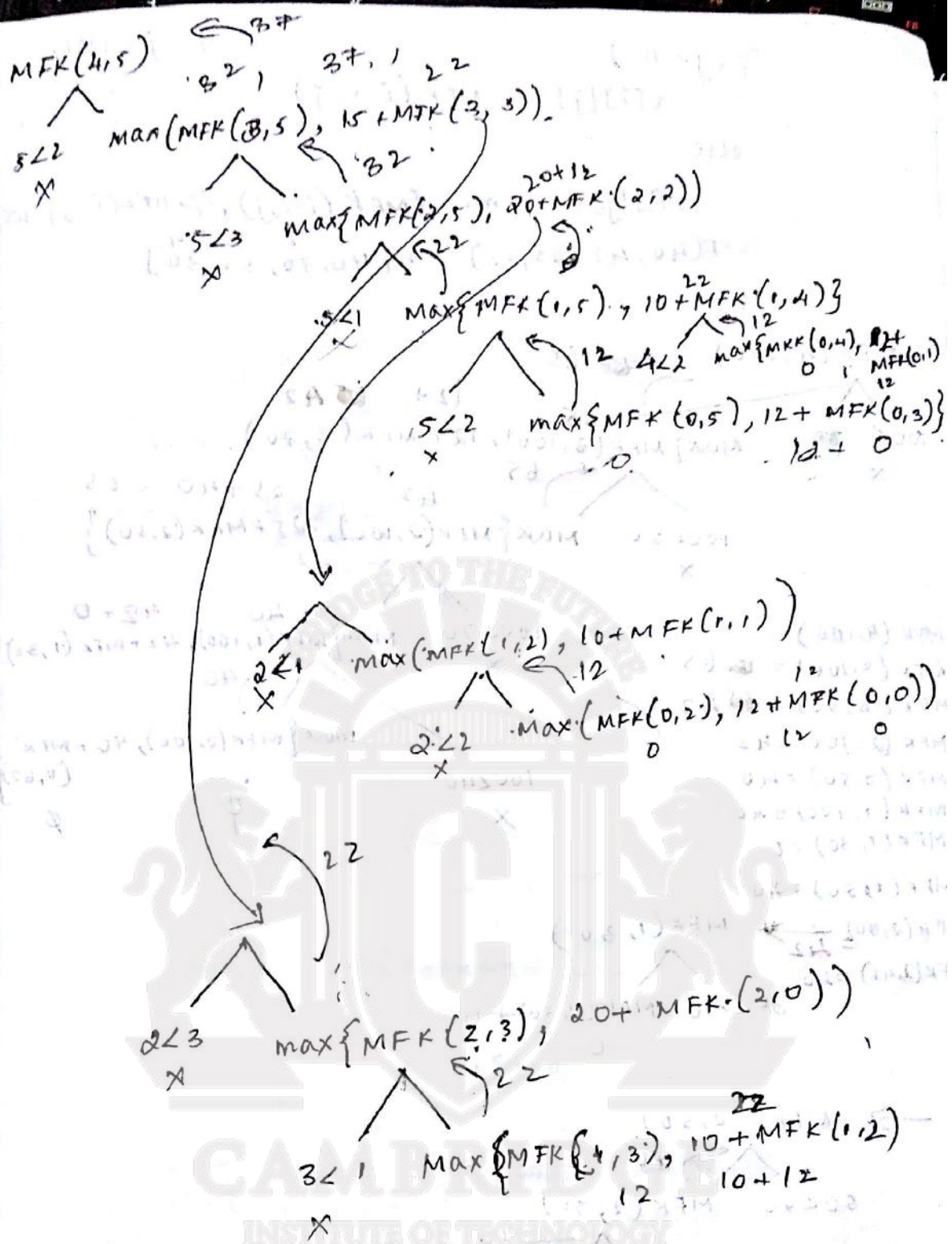
P = {12, 10, 20, 15}    w = {2, 1, 3, 2}

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	<del>12</del>	<del>12</del>	<del>12</del>	<del>12</del>	<del>12</del>
2	0	<del>1</del>	12	1	1	<del>22</del>
3	0	1	1	<del>22</del>	1	<del>32</del>
4	0	1	1	1	1	<del>37</del>

MFK(4, 5)

(SOURCE: DIGINOTES)

P.T.O.



3/5/17

Solve the given Knapsack problem using Dynamic Programming technique where

$n = 4$ ,  $m = 100 = \text{capacity}$

$P [40, 42, 25, 12]$   $W [4, 7, 5, 30]$

$i=4, j=100$

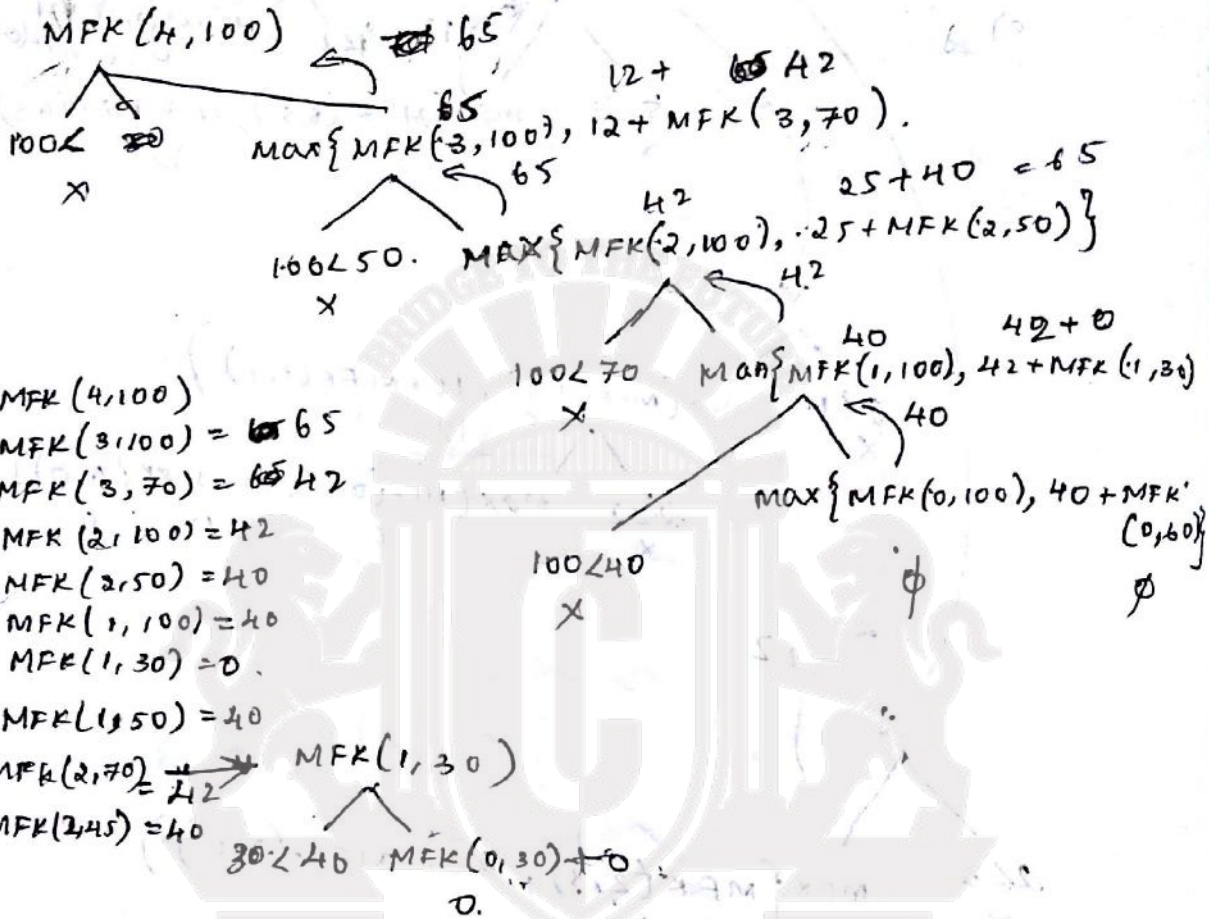
$i < j$

$v[i][j] \leftarrow MFK(i-1, j)$

else

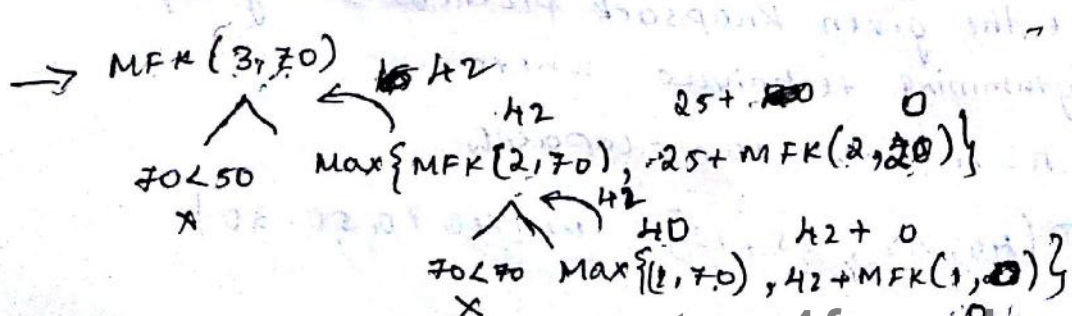
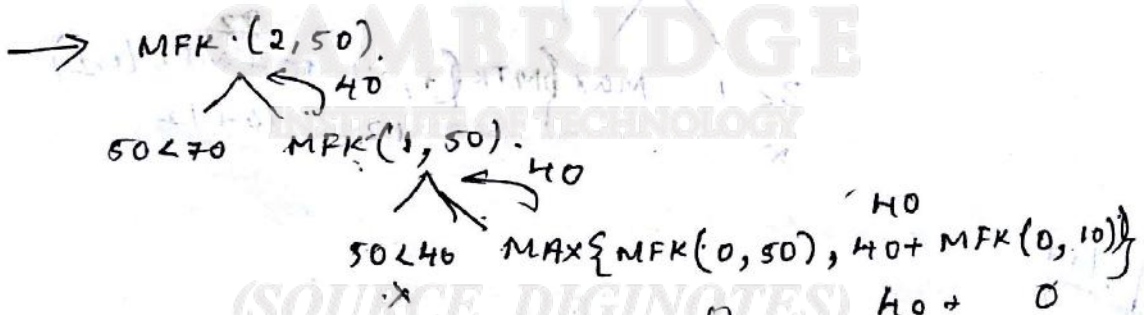
$v[i][j] \leftarrow \max(MFK(i-1, j), P_i + MFK(i-1, j-w_i))$

$P(40, 42, 25, 12) \quad W[40, 70, 50, 30]$

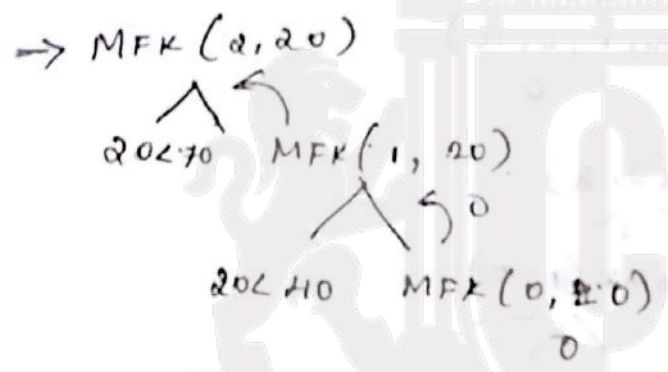
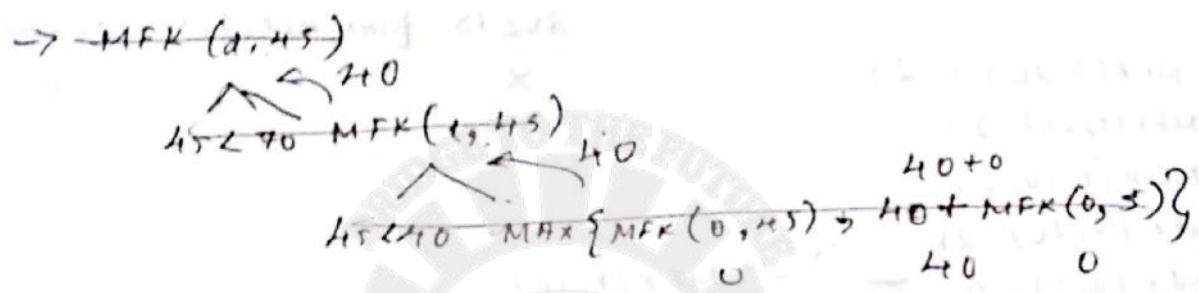
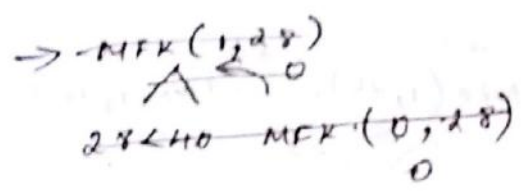
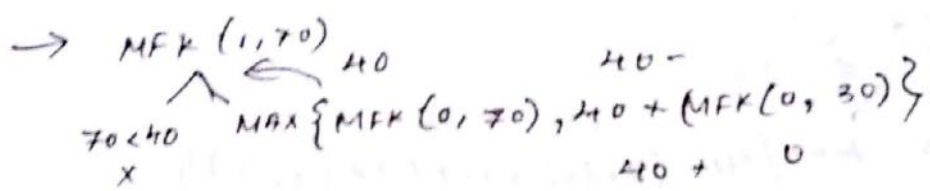


- MFK(4, 100)
- MFK(3, 100) = 65
- MFK(3, 70) = 42
- MFK(2, 100) = 42
- MFK(2, 50) = 40
- MFK(1, 100) = 40
- MFK(1, 30) = 0

- MFK(1, 50) = 40
- MFK(2, 70) = 112
- MFK(2, 45) = 40
- MFK(1, 30)
- MFK(0, 30) = 0



$MFK(1, 70) = 40$   
 $MFK(1, 0) = 0$



V	0	20	30	50	70	100
0	0	0	0	0	0	0
1	0	0	0	40	40	40
2	0	0	-1	40	42	42
3	0	-1	-1	-1	42	65
4	0	-1	-1	-1	-1	65

ex 3

$i=3, j=20$

$n=3, m=20$

$P[25, 24, 15] \quad W[18, 10, 15]$

$MFK(3, 20)$

$20 < 15 \quad \text{MAX}\{MFK(2, 20), 15 + MFK(3, 5)\}$

$20 < 10 \quad \text{MAX}\{MFK(1, 20), 24 + MFK(1, 10)\}$

$20 < 18 \quad \text{MAX}\{MFK(0, 20), 25 + MFK(0, 2)\}$

$MFK(3, 20) = 25$

$MFK(1, 20) = 25$

$MFK(1, 10) = 0$

$MFK(2, 20) = 25$

$MFK(2, 5) = 0$

$MFK(1, 5) = 0$

$\rightarrow MFK(1, 10)$

$10 < 18 \quad MFK(0, 10)$

$\rightarrow MFK(2, 5)$

$5 < 10 \quad MFK(1, 5)$

$5 < 18 \quad MFK(0, 5)$

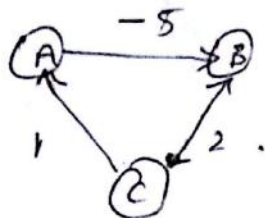
	0	2	5	10	20
0	0	0	0	0	0
1	0	-1	0	0	25
2	0	-1	0	-1	25
3	0	-1	-1	-1	25



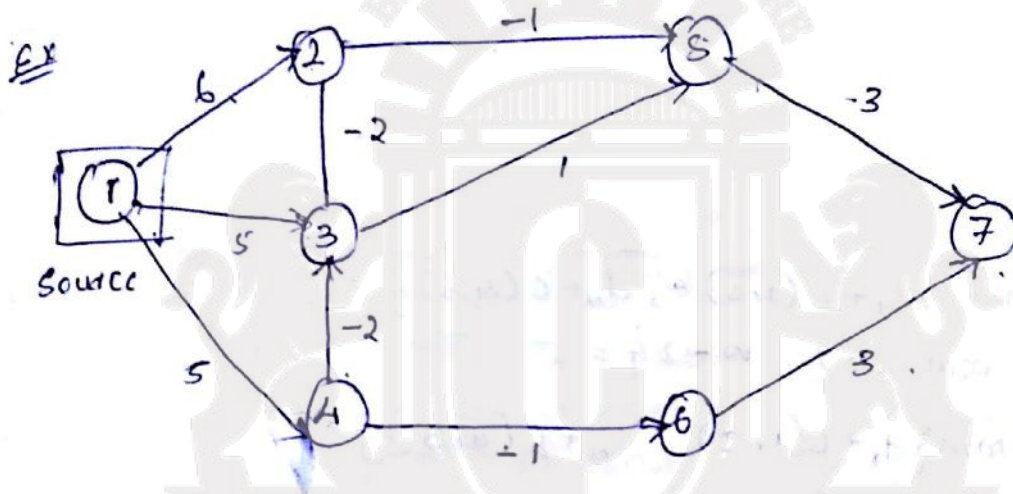
# Bellman Ford Algorithm

9/5/17

- single source shortest path.
- used in routing protocols - Distance vector
- works on the negative weights.
- [cannot work on ~~cycle~~ <sup>-ve</sup> cycle].



Estimate shortest path based on neighbour shortest path from source.



Iterations	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$	$d_6$	$d_7$
Initial.	0	$-\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
1	0	6	5	5	$\infty$	$\infty$	$\infty$
2	0	3	-3	5	5	4	$\infty$
3	0	1	3	5	0	4	7
4	0	1	3	5	0	4	5
5	0	1	3	5	0	4	3
6	0	1	3	5	0	4	3
negative cycle check	0	1	3	5	0	4	3

Same value no negative sign

$$\textcircled{1} d_2 = \min\{d_1 + c(1,2), d_3 + c(3,2)\}$$
$$= \min\{0+6, 4-2\} = 4$$

$$\textcircled{2} d_2 = \min\{d_1 + c(1,2), d_3 + c(3,2)\}$$
$$\min\{0+6, 5+(-2)\} = 3$$

$$\textcircled{3} d_2 = \min\{0+6, 3-2\} = 1$$

$$\textcircled{4} d_2 = \min\{0+6, 3-2\} = 1$$

$$\textcircled{5} d_2 = \min\{0+6, \quad = 1$$

$$\textcircled{6} d_2 = 1$$

---

$$\textcircled{1} d_3 = \min\{d_1 + c(1,3), d_4 + c(4,3)\}$$
$$= \min\{0+5, 4-2\} = 5$$

$$\textcircled{2} d_3 = \min\{d_1 + c(1,3), d_4 + c(4,3)\}$$
$$\min\{0+5, 5-2\} = 3$$

$$\textcircled{3} d_3 = \min\{0+5, 5-2\} = 3$$

$$\textcircled{4} d_3 = \min\{0+5, 5-2\} = 3$$

$$\textcircled{5} d_3 = 3$$

$$\textcircled{6} d_3 = 3$$

(SOURCE: DIGI NOTES)

$$d_H = \min\{d_1 + c(1, H)\}$$

$$= 0 + 5 = 5$$

$$d_H = d_1 + c(1, H)$$

$$0 + 5 = 5$$

$$d_H = 0 + 5 = 5$$

$$d_H = 0 + 5 = 5$$

$$d_H = 0 + 5 = 5$$

$$d_H = 0 + 5 = 5$$

---


$$d_5 = \min\{d_2 + c(2, 5), d_3 + c(3, 5)\}$$

$$= \min\{6 + 1, 5 + 1\} = 6$$

$$d_5 = \min\{d_2 + c(2, 5), d_3 + c(3, 5)\}$$

$$= \min\{6 + 1, 5 + 1\} = 5$$

$$d_5 = \min\{3 + 1, 3 + 4\} = 3$$

$$d_5 = \min\{0 - 1, 3 + 4\} = 0$$

$$d_5 = 0$$

$$d_5 = 0$$

(SOURCE DIGINOTES)

$$d_6 = d_H + c(H, 6) =$$

$$5 + 1 = 6$$

$$d_6 = d_H + c(H, 6)$$

$$= 5 + 1 = 6$$

$$d_6 = 5 + 1 = 6$$

$$d_7 = \min\{d_5 + c(s,7), d_6 + c(b,7)\}$$

$$\{10+3, 10+3\} = 10$$

$$d_7 = \min\{d_5 + c(s,7), d_6 + c(b,7)\}$$

$$\min\{10+3, 10+3\} = 10$$

$$d_7 = \min\{5+3, 4+3\} = 7$$

$$d_7 = \min\{2+3, 4+3\} = 5$$

$$d_7 = \min\{0+3, 4+3\} = 3$$

$$d_7 = 3$$

✓ Shortest path

①  $1 \rightarrow 1 = 0$

②  $1 \rightarrow 4 \rightarrow 3 \rightarrow 2 = -1$

③  $1 \rightarrow 4 \rightarrow 3 = 3$

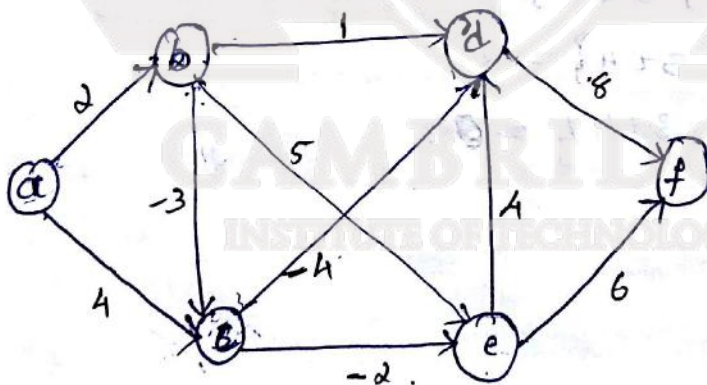
④  $1 \rightarrow 4 = 5$

⑤  $1 \rightarrow 4 \rightarrow 3 \rightarrow 2 \rightarrow 5 = 0$

⑥  $1 \rightarrow 4 \rightarrow 6 = 4$

⑦  $1 \rightarrow 4 \rightarrow 3 \rightarrow 2 \rightarrow 5 \rightarrow 7 = 3$

d.



Shortest path

①  $a \rightarrow a = 0$

②  $a \rightarrow b = 2$

③  $a \rightarrow b \rightarrow c = -1$

④  $a \rightarrow b \rightarrow c \rightarrow d = -5$

⑤  $a \rightarrow b \rightarrow c \rightarrow e = -3$

⑥  $a \rightarrow b \rightarrow c \rightarrow e \rightarrow f = 3$

Iterations	$d_a$	$d_b$	$d_c$	$d_d$	$d_e$	$d_f$	$d$
Initial	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	
1	0	2	4	$\infty$	$\infty$	$\infty$	
2	0	2	-1	0	2	$\infty$	
3	0	2	-1	-5	-3	8	
4	0	2	-1	-5	-3	3	
5	0	2	-1	-5	-3	3	
Neg cycle check	0	2	-1	-5	-3	3	

Neg cycle check  
 $d_b = \min\{d_a + c(a,b)\}$   
 $= 0 + 2 = 2$  } It is set.

$d_c = \min\{d_a + c(a,c), d_b + c(b,c)\}$   
 $\{0 + 4, 2 + (-3)\} = 1$  } It is set

$d_c = \min\{0 + 4, 2 + 3\} - 1$  } set

$d_d = \min\{d_b + c(b,d), d_c + c(c,d), d_e + c(e,d)\}$   
 $\{\infty, \infty, \infty\} = \infty$

$d_d = \min\{2 + 1, 4 - 4, \infty\} = 0$

$d_d = \min\{3, -1 - 4, 2 + 4\} = -5$  } set

$d_e = \min\{d_b + c(b,e), d_c + c(c,e)\}$   
 $\{\infty, \infty\} = \infty$

$d_e = \min\{d_b + c(b,e), d_c + c(c,e)\}$   
 $\{2 + 5, 4 - 2\} = 2$

$d_e = \min\{2 + 5, -1 - 2\} = -3$  } set

$$d_f = \min \{ d_a + c(d, f), d_e + c(e, f) \}$$

$$d_f = \min \{ \infty, \infty \} = \infty$$

$$d_f = \min \{ 0 + 8, 2 + 6 \} = 8$$

$$d_f = \min \{ -5 + 8, -3 + 6 \} = 3 \quad \underline{\text{set}}$$

Algorithm: Bellman Ford ( $v, \text{cost}[i, j], \text{dist}[], n$ )

//input: source vertex  $v$ , Graph in cost matrix  ~~$\text{cost}[1..n, 1..n]$~~ .

$\text{cost}[1..n, 1..n]$ , shortest distance  $\text{dist}[]$ ,  
no of vertices  $n$

//output: Shortest distance from source vertices  $v$  is  $\text{dist}[]$

for  $i \leftarrow 1$  to  $n$  do // first iteration  
 $\text{dist}[i] \leftarrow \text{cost}[v, i]$ .

end for

for  $k \leftarrow 1$  to  $n-2$  do

for each vertex  $u$  such that  $u \neq v$  and  
 $u$  has atleast one incoming edge do

~~if~~  
for each

for each  $\{i, u\}$  in graph do

if  $\text{dist}[u] > \text{dist}[i] + \text{cost}[i, u]$

$\text{dist}[u] \leftarrow \text{dist}[i] + \text{cost}[i, u]$

end if

(SOURCE IN NOTES)

end for

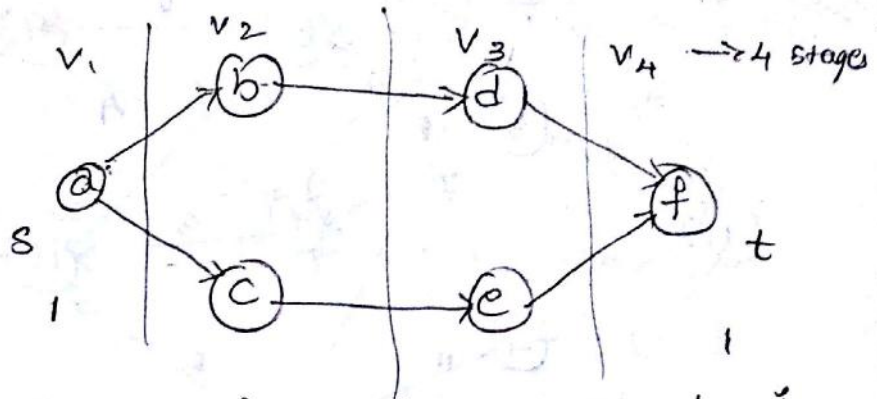
end for

return  $\text{dist}[]$

$\{i, u\} \rightarrow$  is an  
edge coming to an  
vertex.

# Multistage graph

A graph:



→ A multi-stage graph  $G$  is a directed graph in which the vertices are partitioned into  $k$ -disjoint sets. as

$$G = \{V, E\} \text{ as } V_i \text{ where } 1 \leq i \leq k$$

→ And if  $\{u, v\}$  is an edge in 'E' then,  $u \in V_i$  and  $v \in V_{i+1}$ ,  $|V_1| = |V_k| = 1$

→ Let  $s \in V_1$  &  $t \in V_k$ .  
 ↓ source vertex                      Sink vertex

→ The cost of path from  $s$  to  $t$  is a sum of the cost of the edges on the path. The MSG problem is to find minimum cost path from  $s$  to  $t$ .

Every  $V_i$  denotes a stage

$V_1$  - stage 1

$V_2$  - stage 2

⋮

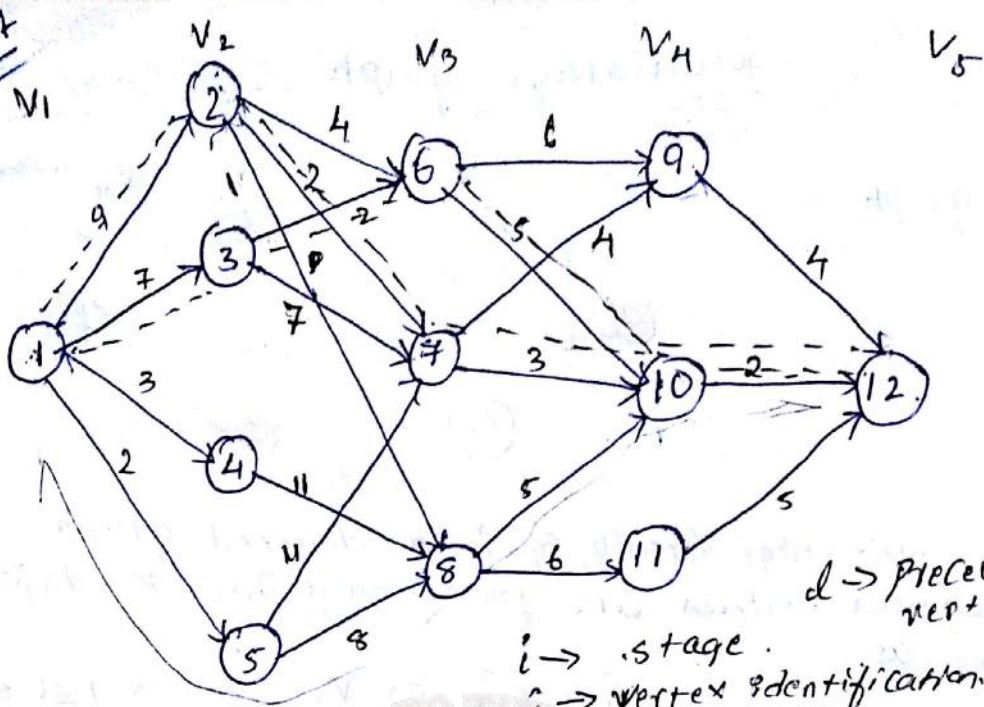
$V_k$  - stage  $k$ .

∴ It is called as multistage graph.

Cost → ~~cost~~ shortest path

$C$  → connectivity cost.

11/5/17  
M1



$$\text{cost}(i, j) = \min \{ c(j, d) + \text{cost}(i+1, d) \}$$

$d \in V_{i+1}$

$(j, d) \in E$

Stage 4

$$\text{cost}(4, 9) = c(9, 12) = 4$$

$$\text{cost}(4, 10) = c(10, 12) = 2$$

$$\text{cost}(4, 11) = c(11, 12) = 5$$

$$d[9] = 4$$

$$d[10] = 2$$

$$d[11] = 5$$

Stage 3

$$\text{cost}(3, 6) = \min \{ c(6, 9) + \text{cost}(4, 9), c(6, 10) + \text{cost}(4, 10) \}$$

$$= \min \{ 6+4, 5+2 \} = 7$$

$$d[6] = 10$$

$$\text{cost}(3, 7) = \min \{ c(7, 9) + \text{cost}(4, 9), c(7, 10) + \text{cost}(4, 10) \}$$

$$= \min \{ 4+4, 3+2 \}$$

$$= 5$$

$$d[7] = 10$$

$$\text{cost}(3, 8) = \min \{ c(8, 10) + \text{cost}(4, 10), c(8, 11) + \text{cost}(4, 11) \}$$

$$= \min \{ 5+2, 6+5 \} = 7$$

$$d[8] = 10$$

notes4free.in



Stage 2

$$\text{cost}(2, 2) = \{ c(2, 6) + \text{cost}(3, 6), c(2, 7) + \text{cost}(3, 7), c(2, 8) + \text{cost}(3, 8) \}$$

$$4+7, 2+5, 1+7$$

$$= 7 \Rightarrow d[2] = 7$$

$$\text{cost}(2, 3) = \min \{ c(3, 6) + \text{cost}(3, 6), c(3, 7) + \text{cost}(3, 7) \}$$

$$\min \{ 2+7, 7+5 \}$$

$$= 9$$

$$d[3] = 6$$

$$\text{cost}(2, 4) = c(4, 8) + \text{cost}(3, 8)$$

$$11 + 7 = 18$$

$$d[4] = 8$$

$$\text{cost}(2, 5) = \min \{ c(5, 7) + \text{cost}(3, 7), c(5, 8) + \text{cost}(3, 8) \}$$

$$\min \{ 11 + 5, 8 + 7 \}$$

$$= 15$$

$$d[5] = 8$$

Stage 1

$$\text{cost}(1, 1) = \min \{ c(1, 2) + \text{cost}(2, 2), c(1, 3) + \text{cost}(2, 3), c(1, 4) + \text{cost}(2, 4), c(1, 5) + \text{cost}(2, 5) \}$$

$$= 16$$

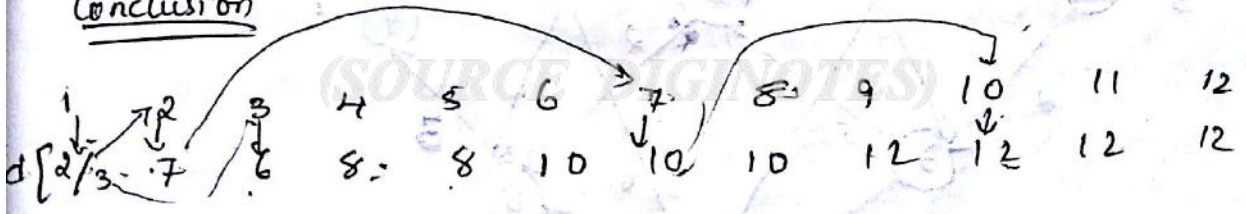
$$d[1] = 2/3$$

$$= \min \{ \underline{9+7}, \underline{7+9}, 3+18, 2+15 \}$$

$$\underline{16} \quad \underline{16}$$

$$= 16$$

Conclusion



Path ① 1 → 2 → 7 → 10 → 12

Path ② 1 → 3 → 6 → 10 → 12

Algorithm  $F_{\text{Graph}}(G, \kappa, n, P[1 \dots \kappa])$

// Input: Graph  $G = \{V, E\}$ ,  $\kappa \rightarrow$  no of stages,  
 $n$  - no of vertices, ~~min-cost~~

min-cost Path -  $P[1 \dots \kappa]$

Output: minimum-cost path  $P[1 \dots \kappa]$ .

$\text{cost}[n] \leftarrow 0.0;$

for  $j \leftarrow n-1$  downto  $1$  do

minimum // let  $r$  be a vertex such that  $\langle j, r \rangle$  is edge  
of  $G$  and  $c[j, r] + \text{cost}[r]$  is minimum, then

$\text{cost}[j] \leftarrow c[j, r] + \text{cost}[r]$

$d[j] \leftarrow r$

end for

$P[1] \leftarrow 1, P[\kappa] \leftarrow n$

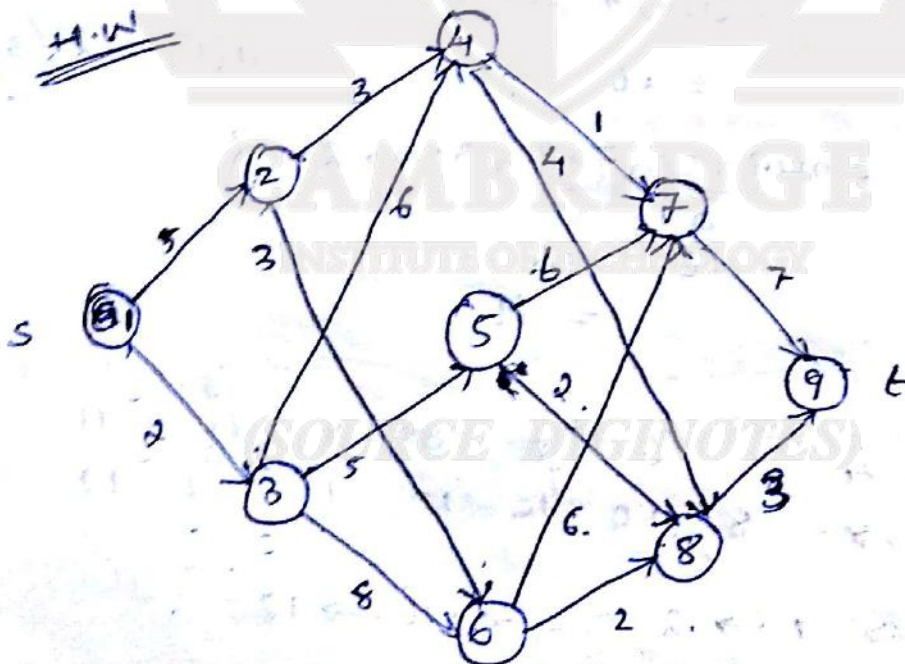
for  $j \leftarrow 2$  to  $\kappa-1$  do

$P[j] \leftarrow d[P[j-1]]$

end for

return  $P$ .

H.W

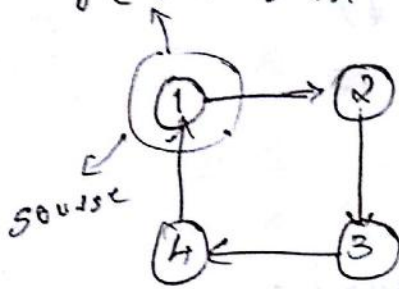


# Travelling Salesperson problem.

12/15/14

$$G = \{V, E\}$$

$$g(i, V - \{i\}) = \min_{1 \leq k \leq n} \{c_{ik} + g(k, V - \{i, k\})\}$$



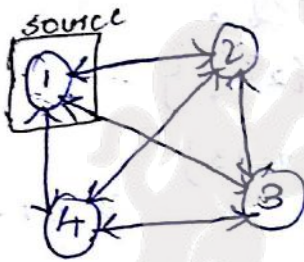
$i \rightarrow$  source

generalized  $g$

$$g(i, S) = \min_{j \in S} \{c_{ij} + g(j, S - \{j\})\}$$

$$g(i, \phi) = c_{ii}$$

ex



Cost matrix

0	10	15	20
5	0	9	10
6	13	0	12
8	8	9	0

Zero intermediate vertices to source

$$c(2, \phi) = c_{21} = 5$$

$$c(3, \phi) = c_{31} = 6$$

$$g(4, \phi) = c_{11} = 8$$

1 intermediate vertex to source

$$g(2, \{3\}) = c_{23} + g(3, \phi)$$

$$= 9 + 6 = 15$$

$$g(2, \{4\}) = c_{24} + g(4, \phi)$$

$$= 10 + 8 = 18$$

$$g(3, \{2\}) = c_{32} + g(2, \phi)$$

$$= 13 + 5 = 18$$

notes4free.in

$$g(3, \{4\}) = c_{34} + g(4, \emptyset) \\ = 12 + 8 = 20$$

$$g(4, \{2\}) = c_{42} + g(2, \emptyset) \\ = 8 + 5 = 13$$

$$g(4, \{3\}) = c_{43} + g(3, \emptyset) \\ 9 + 6 = 15$$

2 - intermediate vertex

$$g(2, \{3, 4\}) = \min \{ c_{23} + g(3, \{4\}), \\ c_{24} + g(4, \{3\}) \} \\ = \min \{ 9 + 20, \underline{10 + 15} \} \\ = 25 \quad 2 \rightarrow 4 \rightarrow 1$$

$$g(3, \{2, 4\}) = \min \{ c_{32} + g(2, \{4\}), \\ c_{34} + g(4, \{2\}) \} \\ \min \{ 13 + 18, \underline{12 + 13} \} \\ = 25 \quad 3 \rightarrow 4 \rightarrow 1$$

$$g(4, \{2, 3\}) = \min \{ c_{42} + g(2, \{3\}), c_{43} + g(3, \{2\}) \} \\ = \min \{ \underline{8 + 15}, 9 + 18 \} \\ 23 \quad 4 \rightarrow 2$$

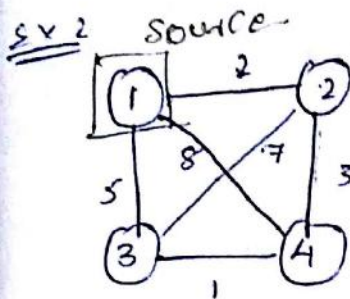
3 - intermediate vertex

$$g(1, \{2, 3, 4\}) = \min \{ c_{12} + g(2, \{3, 4\}), c_{13} + g(3, \{2, 4\}), \\ c_{14} + g(4, \{2, 3\}) \} \\ = \min \{ 10 + 25, 15 + 25, 20 + 23 \} \\ = \min \{ 35, 40, \underline{43} \}$$

$$1 \rightarrow 2 \rightarrow 4 \rightarrow 3 \rightarrow 1$$

$$10 + 10 + 9 + 6 = 35$$

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It is a symmetric matrix

0 - intermediate vertex

$$c(2, \phi) = 2$$

$$c(3, \phi) = 5$$

$$c(4, \phi) = 8$$

1 - intermediate vertex

$$g(2, \{4\}) = c_{24} + g(4, \phi) \\ = 3 + 8 = 11$$

$$g(2, \{3\}) = c_{23} + g(3, \phi) \\ = 7 + 5 = 12$$

$$g(3, \{2\}) = c_{32} + g(2, \phi) \\ = 7 + 2 = 9$$

$$g(3, \{4\}) = c_{34} + g(4, \phi) \\ = 1 + 8 = 9$$

$$g(4, \{2\}) = c_{42} + g(2, \phi) \\ = 3 + 2 = 5$$

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## Optimal Binary Search Tree

Dictionary - Unique elements (key)

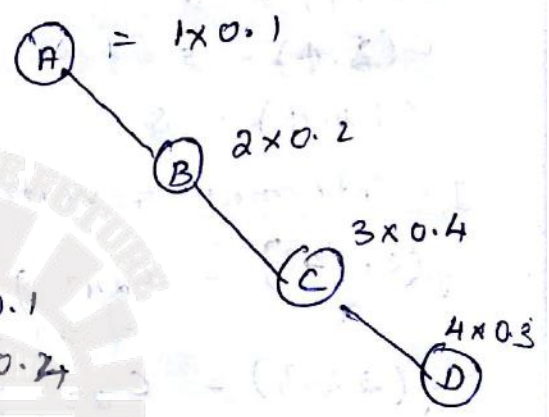
$a_1, a_2, \dots, a_n$  (Ascending order)

$P_1, P_2, \dots, P_n$  (Probability search)

To build BST - minimum no of Average comparison.

$P_i =$

A	B	C	D
0.1	0.2	0.4	0.3



$A = 1 \times 0.1 = 0.1$

$B = 2 \times 0.2 = 0.4$

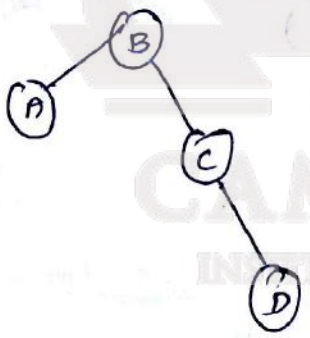
$C = 3 \times 0.4 = 1.2$

$D = 4 \times 0.3 = 1.2$

2.9

minimum  
no of  
Av.

(2)



$A = 2 \times 0.1 = 0.2$

$B = 1 \times 0.2 = 0.2$

$C = 2 \times 0.4 = 0.8$

$D = 3 \times 0.3 = 0.9$

2.1

$n+1 \rightarrow$   
Average value table

$n+1 \rightarrow$  rows  $[1 \dots n+1]$

$n+1 \rightarrow$  column  $[0 \dots n]$

C	0	1	2	3	4
1	0	0.1	0.4	1.1	1.7
2		0	0.2	0.8	1.4
3			0	0.4	1.0
4				0	0.3
5					0

Root table

R	0	1	2	3	4
1		1	2	3	3
2			2	3	3
3				3	3
4					4
5					

Initially,  $c[i, i-1] \leftarrow 0$

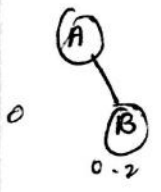
$$R[i, i] \leftarrow i$$

$$c[i, j] = \min_{i \leq k \leq j} \left\{ \underbrace{c(i, k-1)}_{\text{left sub tree}} + \underbrace{c(k+1, j)}_{\text{right sub tree}} \right\} + \sum_{s=i}^j P_s$$

$$c[1, 2] = \min_{k=1} \left\{ c[1, 0] + c[2, 2], c[1, 1] + c[3, 2] \right\} + P_1 + P_2$$

$$= \min \{ 0 + 0.2, 0.1 + 0 \} + 0.1 + 0.2$$

$$= 0.4$$



$$c[2, 3] = \min_{k=2} \left\{ c[2, 1] + c[3, 3], c[2, 2] + c[4, 3] \right\} + P_2 + P_3$$

$$= \min \{ 0 + 0.4, 0.2 + 0 \} + 0.2 + 0.4$$

$$= 0.8$$

$$c[3, 4] = \min_{k=3} \left\{ c[3, 2] + c[4, 4], c[3, 3] + c[5, 4] \right\} + P_3 + P_4$$

$$= \min \{ 0 + 0.3, 0.4 + 0 \} + 0.4 + 0.3$$

$$= 1.0$$

next Diagonal:

$$c[1, 3] = \min_{k=1} \left\{ c[1, 0] + c[2, 3], c[1, 1] + c[3, 3], c[1, 2] + c[4, 3] \right\} + P_1 + P_2 + P_3$$

$$= \min \{ 0 + 0.8, 0.1 + 0.4, 0.4 + 0 \} + 0.1 + 0.2 + 0.4$$

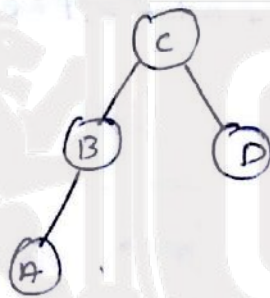
$$= 1.1$$

$$\begin{aligned}
 c[2,4] &= \min \left\{ \begin{array}{l} \overset{k=2}{c[2,1] + c[3,4]}, \overset{k=3}{c[2,2] + c[4,4]}, \\ c[2,3] + c[5,4] \end{array} \right\} + P_2 + P_3 + P_4 \\
 &= \min \left\{ \begin{array}{l} \overset{k=4}{0 + 1.0}, \underline{0.2 + 0.3}, 0.8 + 0 \end{array} \right\} + 0.2 + 0.4 + 0.3 \\
 &= 1.4
 \end{aligned}$$

$$\begin{aligned}
 c[1,4] &= \min \left\{ \begin{array}{l} \overset{k=1}{c[1,0] + c[2,4]}, \overset{k=2}{c[1,1] + c[3,4]}, \overset{k=3}{c[1,2] + c[4,4]}, \\ c[1,3] + c[5,4] \end{array} \right\} + P_1 + P_2 + P_3 + P_4 \\
 &= \min \left\{ \begin{array}{l} 0 + 1.4, 0.1 + 1.0, \underline{0.4 + 0.3}, 1.1 + 0 \end{array} \right\} + 1.0
 \end{aligned}$$

$$= \min \{ 0 + 1.4, 0.1 + 1.0, \underline{0.4 + 0.3}, 1.1 + 0 \} + 1.0$$

1.7



2.6/5/17

2.	A	B	C	D	E
P	0.1	0.3	0.2	0.1	0.3

Average value

value	0	1	2	3	4	5
1	0	0.1	0.5	0.9	1.2	2.0
2		0	0.3	0.7	1.0	1.7
3			0	0.2	0.4	1.0
4				0	0.1	0.5
5					0	0.3
6						0



	0	1	2	3	4	5
1		1	2	2	2	3
2			2	2	2/3	3
3				3	3	5
4					4	5
5						5
6						

$$c[1,2] = \min\{c[1,0] + c[2,1], c[1,1] + c[3,2]\} + P_1 + P_2$$

$$= \min\{0 + 0.3, \underline{0.1 + 0}\} + 0.1 + 0.3 = 0.5$$

$$c[2,3] = \min\{c[2,1] + c[3,3], c[2,2] + c[4,3]\} + P_2 + P_3$$

$$= \min\{0.3 + 0.2, \underline{0.2 + 0}\} + 0.3 + 0.2 = 0.7$$

$$c[3,4] = \min\{c[3,2] + c[4,4], c[3,3] + c[5,4]\} + P_3 + P_4$$

$$= \min\{0 + 0.1, \underline{0.2 + 0}\} + 0.2 + 0.1 = 0.4$$

$$c[4,5] = \min\{c[4,3] + c[5,5], c[4,4] + c[6,5]\} + P_4 + P_5$$

$$= \min\{0 + 0.5, \underline{0.1 + 0}\} + 0.1 + 0.3 = 0.5$$

$$c[1,3] = \min\{c[1,0] + c[2,3], c[1,1] + c[3,3], c[1,2] + c[4,3]\} + P_1 + P_2 + P_3$$

$$= \min\{0 + 0.7, \underline{0.1 + 0.2}, 0.5 + 0.3\} + 0.1 + 0.3 + 0.2 = 0.9$$

$$c[2,4] = \min\{c[2,1] + c[3,4], c[2,2] + c[4,4], c[2,3] + c[5,4]\} + P_2 + P_3 + P_4$$

$$= \min\{0 + 0.7, \underline{0.1 + 0.2}, 0.5 + 0.3 + 0.2\} + 0.3 + 0.2 + 0.1 = 0.9$$

ed

$$lly c[2,4] = 1.0$$

$$c[3,5] = 1.0$$

$$C[1,4] = \min \left\{ \begin{array}{l} C[1,0] + C[2,4], \quad \mu=1 \\ C[1,1] + C[3,4], \quad \mu=2 \\ C[1,2] + C[4,4], \quad \mu=3 \\ C[1,3] + C[5,4], \quad \mu=4 \end{array} \right\} + P_1 + P_2 + P_3 + P_4$$

$$= \min \{ 0 + 1.0, 0.1 + 0.4, 0.5 + 0.1, 0.9 + 0 \} + 0.7$$

$$= 1.2$$

$$C[2,5] = \min \left\{ \begin{array}{l} C[2,1] + C[3,5], \quad \mu=2 \\ C[2,2] + C[4,5], \quad \mu=3 \\ C[2,3] + C[6,5], \quad \mu=4 \\ C[2,4] + C[5,5], \quad \mu=5 \end{array} \right\} + 0.9$$

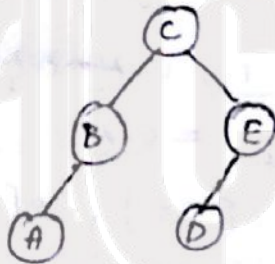
$$= \min \{ 0 + 1.0, 0.3 + 0.5, 0.7 + 0.3, 1.0 + 0 \} + 0.9$$

$$= 1.7$$

$$C[1,5] = \min \left\{ \begin{array}{l} C[1,0] + C[2,5], \quad \mu=1 \\ C[1,1] + C[3,5], \quad \mu=2 \\ C[1,2] + C[4,5], \quad \mu=3 \\ C[1,3] + C[5,5], \quad \mu=4 \\ C[1,4] + C[6,5], \quad \mu=5 \end{array} \right\} + P_1 + P_2 + P_3 + P_4 + P_5$$

$$= \{ 0 + 1.7, 0.1 + 1.0, 0.5 + 0.5, 0.9 + 0.3, 1.2 + 0 \} + 1$$

$$= 2$$



Algorithm : Optimal-BST( $P[1..n]$ )

// Input : An array  $P[1..n]$  of search probability for sorted using a list of  $n$  keys.

// Output : An array average no of comparison in successful search in the optimal BST and Table 'R' of Subtree roots in optimal BST.

for  $i \leftarrow 1$  to  $n$  do

$C[i, i-1] \leftarrow 0$  // diagonal

$C[i, i] \leftarrow P_i$

$R[i, i] \leftarrow i$

end for

$C[n+1, n] \leftarrow 0$

```
for d ← 1 to n-1 do
  for i ← 1 to n-d do
```

```
    j ← i + d // starting with 2
    minval ← ∞
```

```
    for k ← i to j do
```

```
      if ((c[i, k-1] + c[k+1, j]) < minval) then
```

```
        minval ← c[i, k-1] + c[k+1, j]
```

```
        kmin ← k
```

```
      end if
```

```
    end for
```

```
    R[i, j] ← kmin
```

```
    sum ← P[i]
```

```
    for s ← i+1 to j do
```

```
      sum ← sum + P[s]
```

```
    end for
```

```
    C[s, j] ← minval + sum
```

```
  end
```

```
end for
```

```
end for
```

```
return (R, C[1, n])
```

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MODULE :- 5

BACK TRACKING

The principal idea is to construct solutions through one component at a time, and evaluate such partially constructed candidate sol<sup>n</sup>.

If the partially constructed sol<sup>n</sup> can be developed further without violating the problem constraining. It then done through remaining legitimate option for the next component.

If there is no legitimate option for the next component, no alternative for any relative for any remaining component, then algorithm backtracks to ~~reach~~ <sup>replace</sup> the last component solution with the next available option.

It is generally represent by space-state - space - tree.

n-Queens problem

$n \times n$  - chess board / cross-board

$n$  - queens.

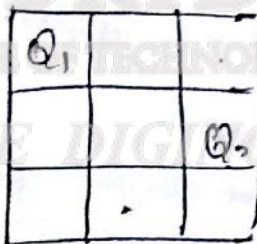
Objective :- place all queens in non-attacking position.



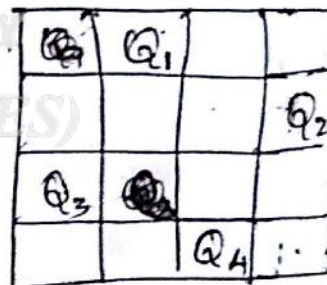
1x1



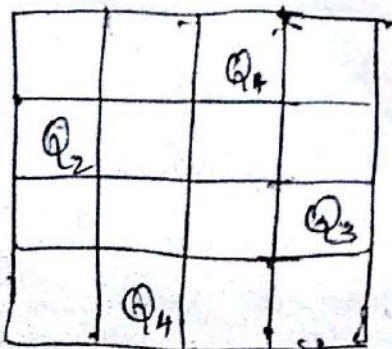
no-solution  
2x2

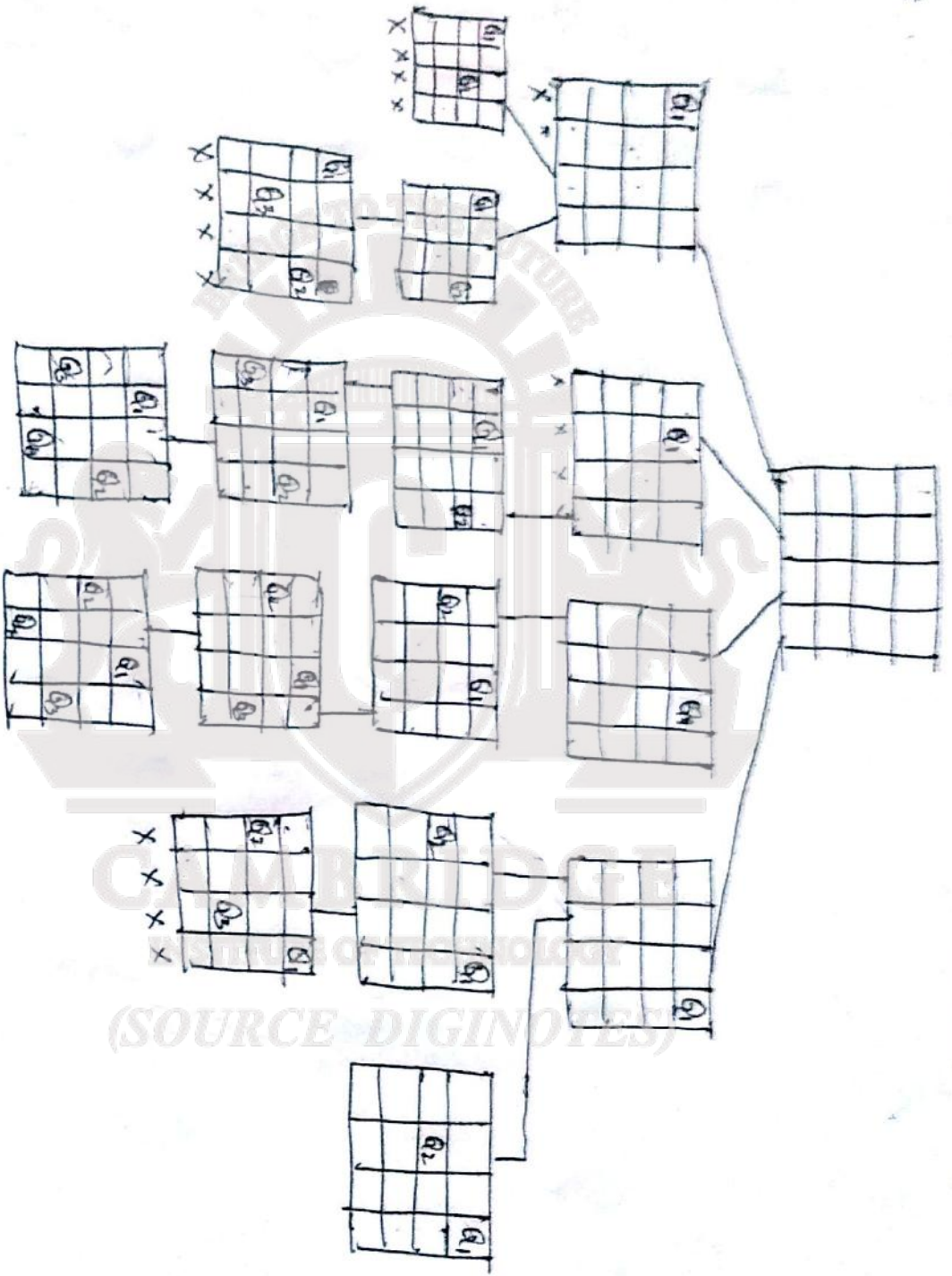


x x x  
No-solution  
for 3x3



x x x x  
No-solution for  
4x4





(SOURCE DIGINOTES)

# Sub-set sum problem

set  $S = \{s_1, s_2, \dots, s_n\}$  where  $s_1 < s_2 < \dots < s_n$   
 $d = \text{sum}^e \text{ of sub set}$

Ex :-  $S = \{1, 2, 3, 5, 7\}$

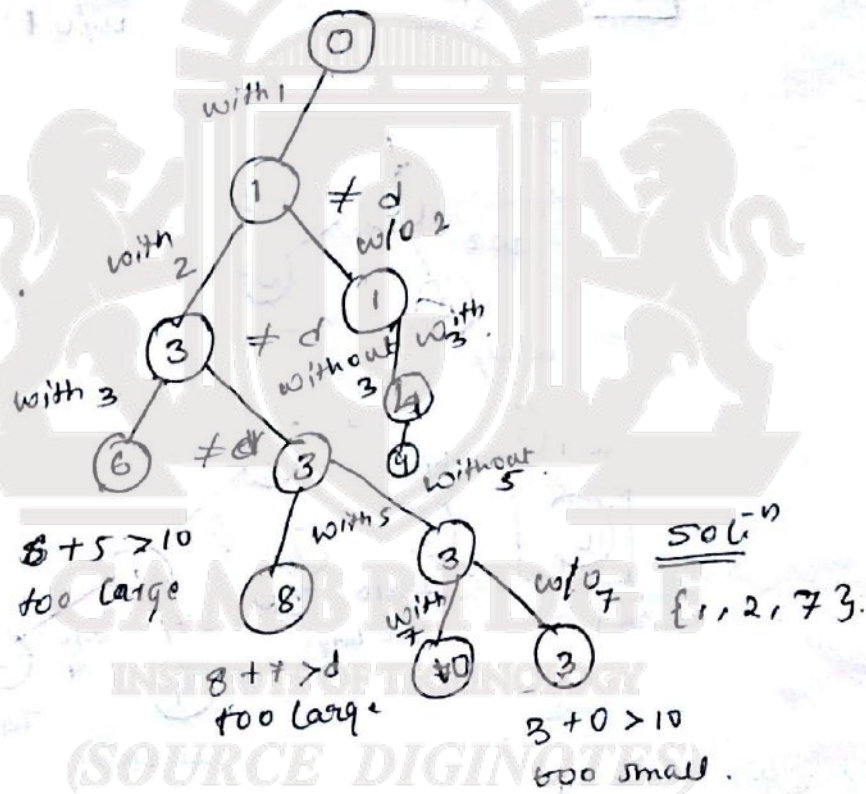
$d = 10$       $\{2, 3, 5\}, \{1, 2, 7\}, \{3, 7\}$

## Branch Terminating Condition.

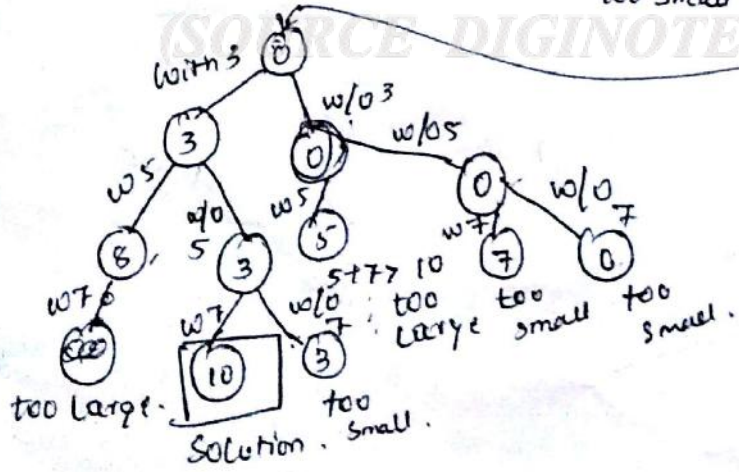
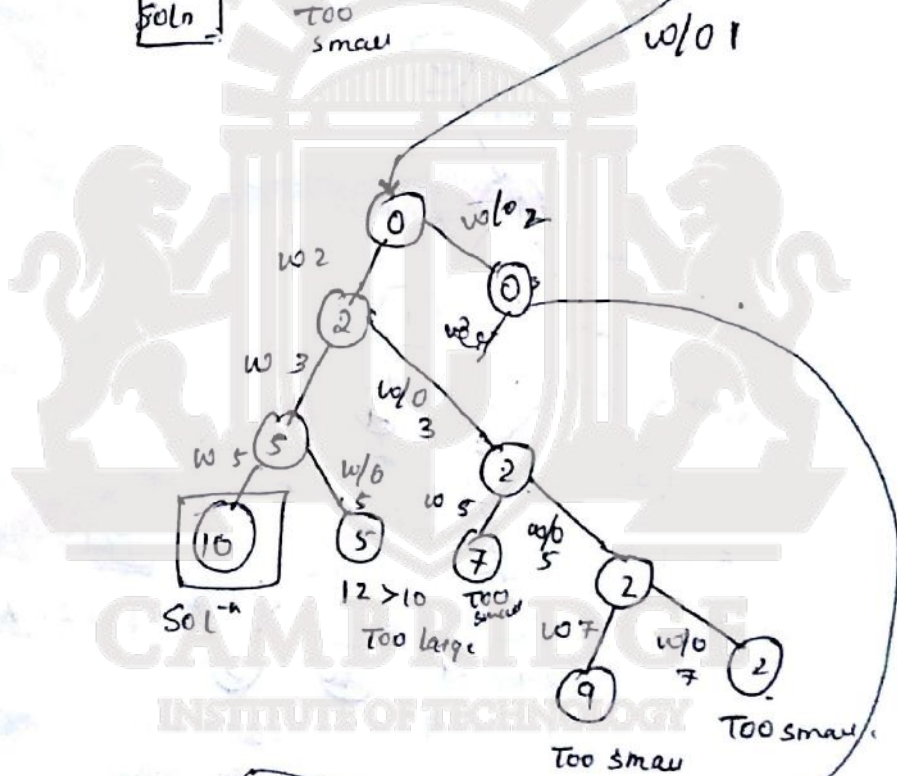
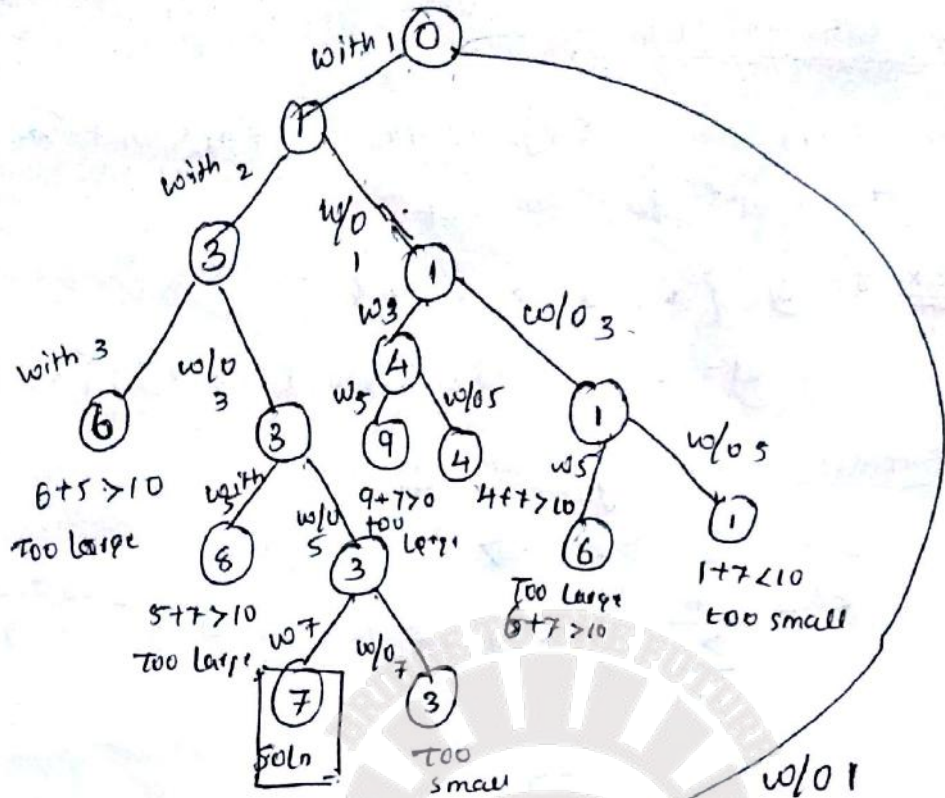
$S' + s_{i+1} > d \Rightarrow \text{too large}$

$S' + \sum_{j=i}^n s_j < d \Rightarrow \text{too small}$

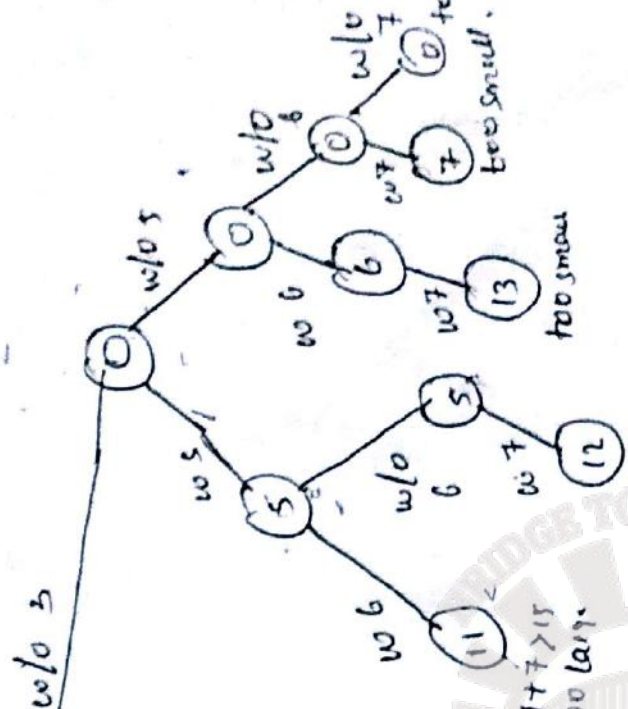
$S' \rightarrow \text{Temporary sum}$



P. T. O

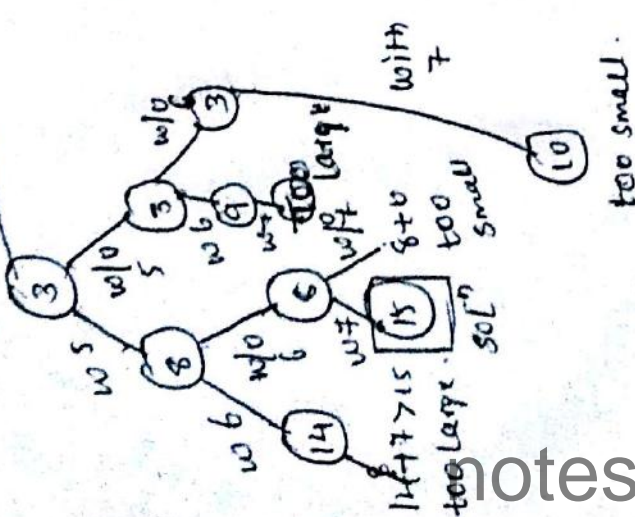


⑧  $S = \{3, 5, 6, 7\}$   
 $d = 15$



Solution:  $S = \{3, 5, 7\}$

with 3



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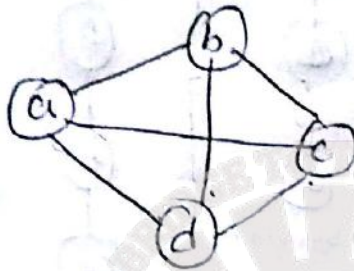


19/10/17 Hamiltonian Circuit Problem.

$G \{V, E\}$

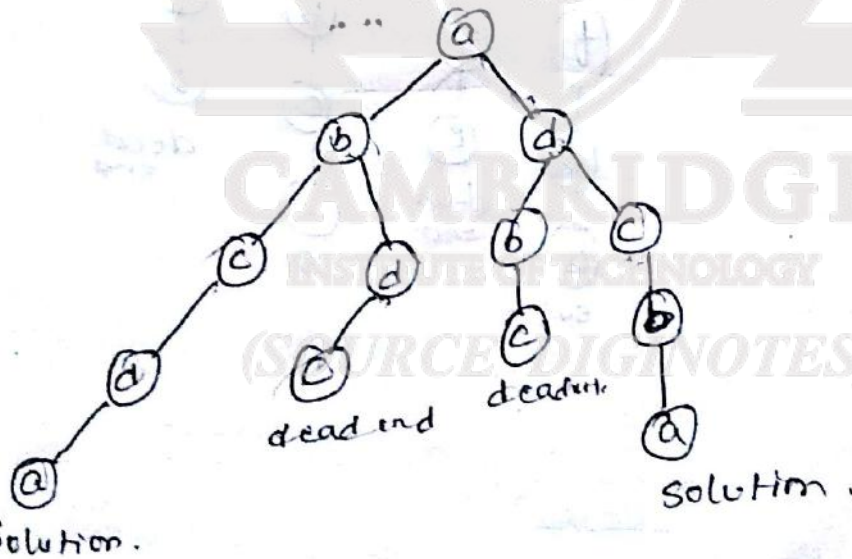
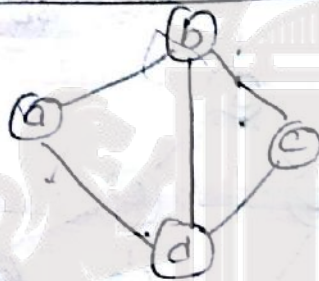
$u \rightarrow v_1 \rightarrow v_2 \dots \rightarrow u \rightarrow$  cyclic path.

reaching all the vertices once and back to source vertex (u).

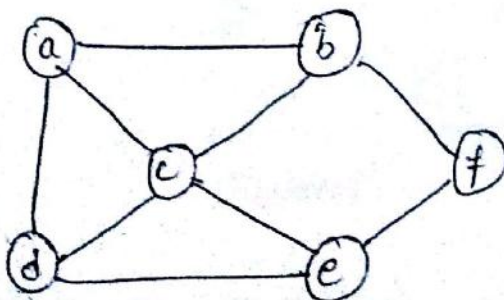


- $a \rightarrow b \rightarrow c \rightarrow d \rightarrow a.$
- $a \rightarrow d \rightarrow c \rightarrow b \rightarrow a$
- $a \rightarrow b \rightarrow d \rightarrow c \rightarrow a.$
- $a \rightarrow d \rightarrow b \rightarrow c \rightarrow a.$
- $a \rightarrow c \rightarrow b \rightarrow d \rightarrow a,$
- $a \rightarrow c \rightarrow d \rightarrow b \rightarrow a.$

1.



3





## Branch and Bound

- Solution for limitations of algorithm
- Applied to optimization problems.
- By calculating upper bound (maximization) / Lower bound (minimization)
- Branch and Bound provides best sol<sup>n</sup> found so far
- It bounds on the best value of the objective function.

→ Job Assignment problem

→ Knapsack problem.

→ TSP

① Job Assignment problem :-

→ n-jobs and n-people

→ Every person can perform all the jobs with different cost.

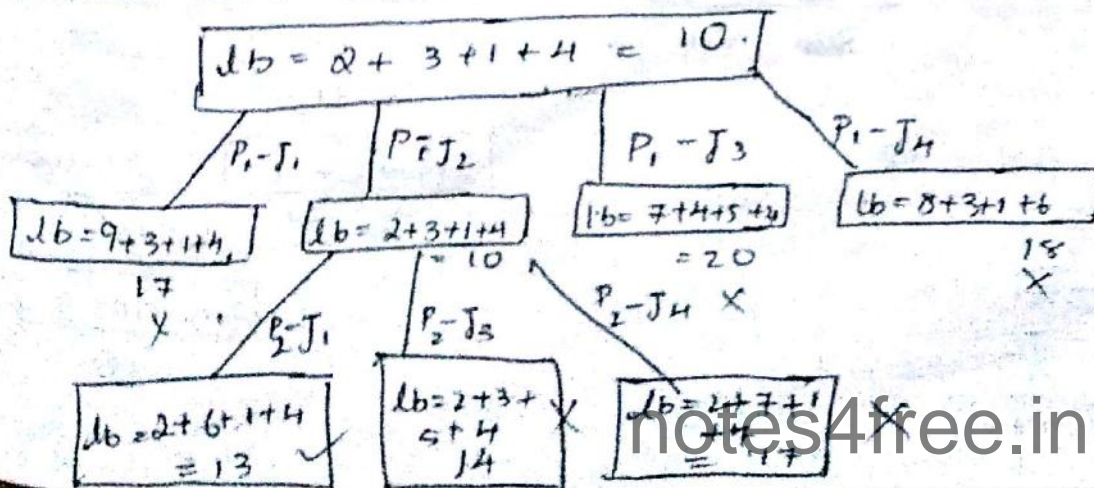
feasible Constraint :- Assign one job to one person.

Optimal solution :- Least cost job assignment.

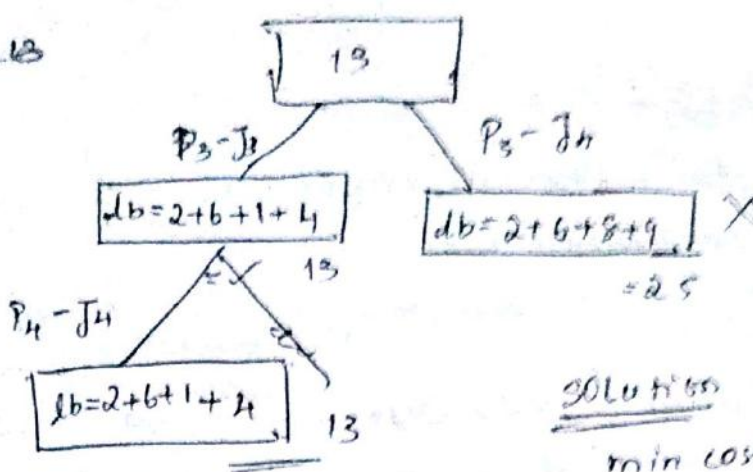
Ex

	J <sub>1</sub>	J <sub>2</sub>	J <sub>3</sub>	J <sub>4</sub>
P <sub>1</sub>	9	2	7	8
P <sub>2</sub>	6	4	3	7
P <sub>3</sub>	5	8	1	8
P <sub>4</sub>	7	6	9	4

$$\text{Lower bound} = \min P_1 + \min P_2 + \min P_3 + \min P_4$$



1. LB



Solution

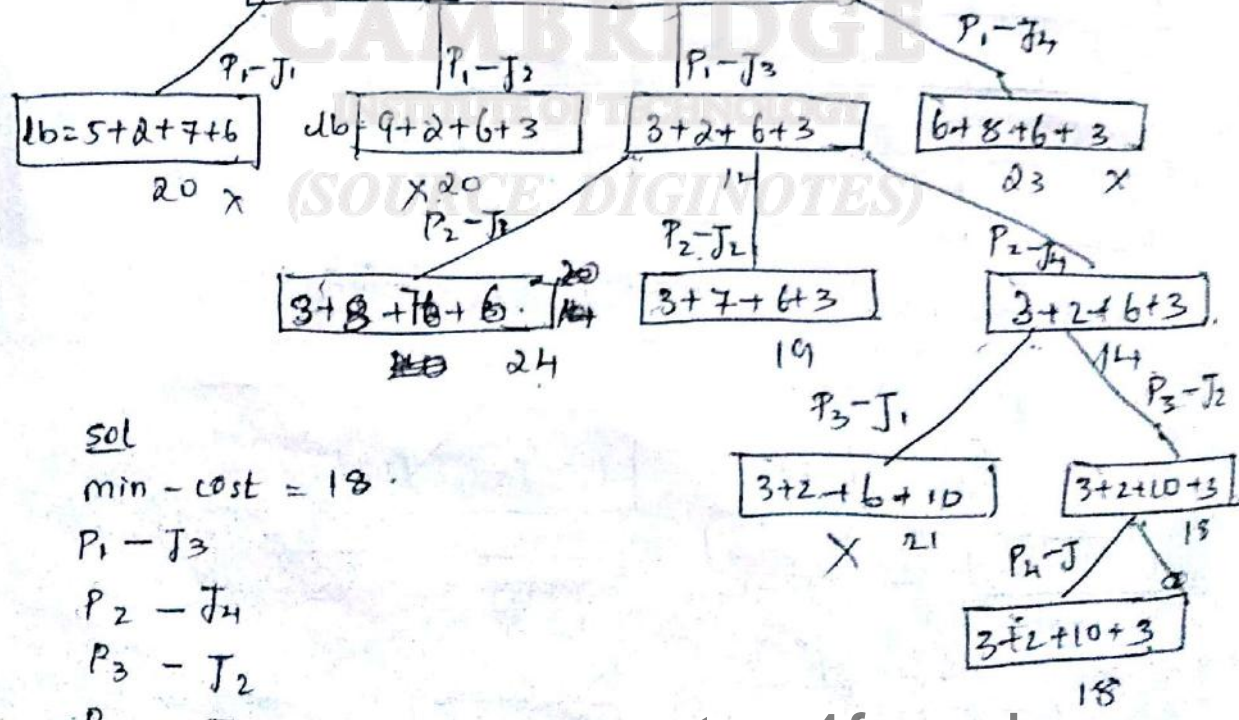
min cost = 13  
 $P_1 - J_2$   
 $P_2 - J_1$   
 $P_3 - J_3$   
 $P_4 - J_4$

Example 2

	$J_1$	$J_2$	$J_3$	$J_4$
$P_1$	5	9	3	6
$P_2$	8	7	8	2
$P_3$	6	10	12	7
$P_4$	3	10	8	6

lower bound =  $\min P_1 + \min P_2 + \min P_3 + \min P_4$

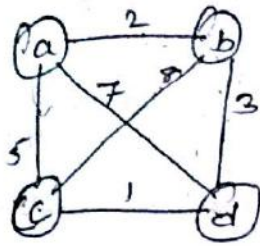
$db = 3 + 2 + 6 + 3 = 14$



Sol  
 min - cost = 18  
 $P_1 - J_3$   
 $P_2 - J_4$   
 $P_3 - J_2$   
 $P_4 - J_1$

23/05/17

Travelling Salesperson problem [using branch & bound]



$$lb = \sum_{i=1}^n \left( \frac{\text{incoming edge of } v_i + \text{outgoing edge of } v_i}{2} \right)$$

divide by 2 because 2 vertices share the same edge.

$$lb = \frac{(2+5) + (2+3) + (1+3) + (1+5)}{2} = 11$$

Branching tree for the TSP problem:

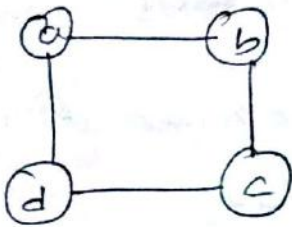
- Root node:  $lb = 11$
- Branch **a-b**:  $lb = \frac{(2+5) + (2+3) + (5+1) + (1+3)}{2} = 11$  (Pruned,  $b-c$ )
- Branch **a-c**:  $lb = \frac{(5+2) + (2+3) + (5+1) + (1+3)}{2} = 11$ 
  - Branch **d-c & c-a**:  $lb = \frac{(2+5) + (2+3) + (1+5) + (3+1)}{2} = 11$  (Soln)
  - Branch **a-d**:  $lb = \frac{(2+5) + (3+2) + (5+1) + (1+3)}{2} = 11$  (Soln)
- Branch **a-d**:  $lb = \frac{(7+2) + (2+3) + (5+1) + (7+1)}{2} = 14$ 
  - Branch **a-c**:  $lb = \frac{(5+2) + (2+3) + (5+1) + (1+3)}{2} = 11$ 
    - Branch **d-c & c-a**:  $lb = \frac{(2+5) + (2+3) + (1+5) + (3+1)}{2} = 11$  (Soln)
    - Branch **a-d**:  $lb = \frac{(7+2) + (2+3) + (5+1) + (7+1)}{2} = 14$  (Pruned)
  - Branch **a-c**:  $lb = \frac{(5+2) + (2+3) + (5+1) + (1+3)}{2} = 11$ 
    - Branch **d-c & c-a**:  $lb = \frac{(2+5) + (2+3) + (1+5) + (3+1)}{2} = 11$  (Soln)
    - Branch **a-d**:  $lb = \frac{(7+2) + (2+3) + (5+1) + (7+1)}{2} = 14$  (Pruned)

# Graph coloring problem (Back tracking method)

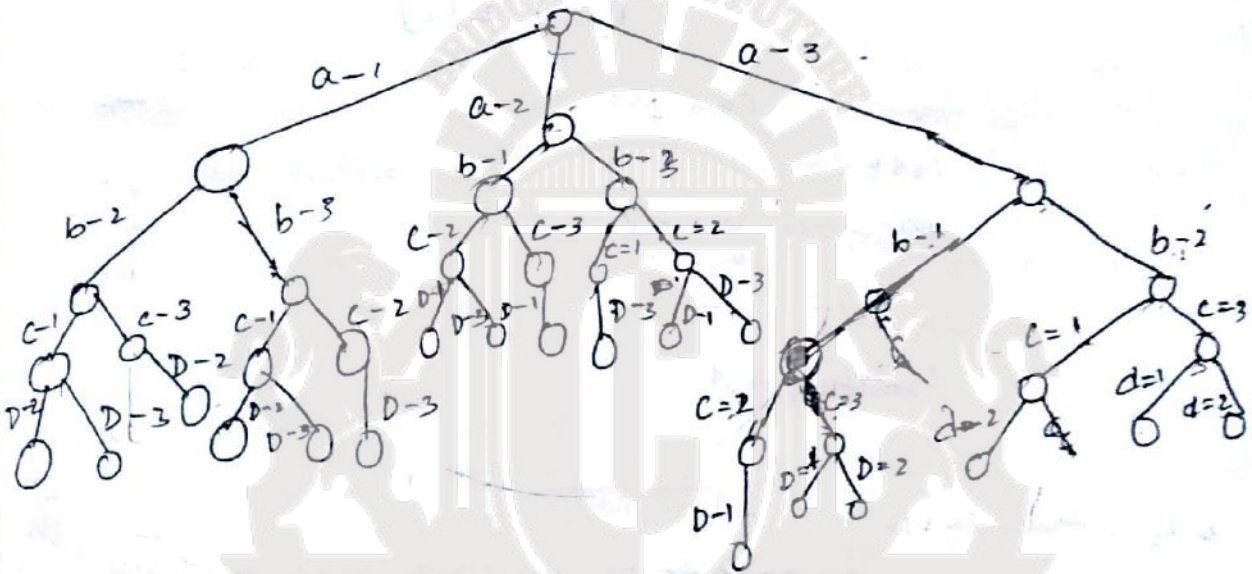
Graph  $G = \{V, E\}$

$m$ -colours.

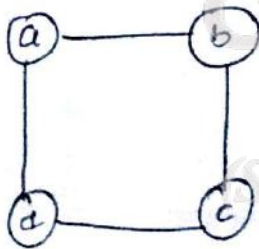
coloring vertices  $\rightarrow$  no two adjacent vertices should have same color.



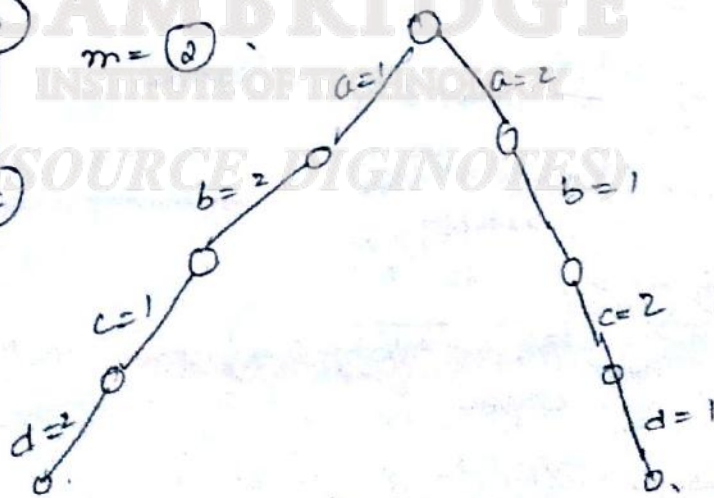
$m = 3$  i.e. 1, 2, 3.



Ex 2



$m = 2$



Algorithm mcoloring(k).

// Input: vertex k - need to be coloured which varies from  $\text{coloured}[1..n]$

// Output: colour assigned to vertex k in  $x[1..n]$

Repeat forever

next value(k)

if ( $x[k] = 0$ ) then // no <sup>new color is</sup> selection possible

break.

if ( $k = n$ ) then // All the nodes are coloured

for  $i \leftarrow 1$  to  $n$  do

print  $x[i]$

end for

else

mcoloring(k+1)

end if

end repeat

Algorithm nextvalue(k)

// Input: vertex k need to be assigned with a color

// Output: Assigned colour for k in  $x[k]$

$m = \text{number of colours}$ .

Repeat

$x[k] \leftarrow (x[k] + 1) \% (m + 1)$

if ( $x[k] = 0$ ) then

return

for  $j \leftarrow 1$  to  $n$

if ( $G[k][j] = 1$  and  $x[k] = x[j]$ ) then

break.

end if

end for

if  $j = n + 1$  then

return

else  
end if

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# Knapsack problem [Branch-and-Bound]

$$Ub = \underbrace{V}_{\substack{\text{current vertex} \\ \text{of knapsack}}} + (m - \underbrace{w}_{\substack{\text{Capacity of} \\ \text{Knapsack.}}}) \times \underbrace{\frac{V_{i+1}}{w_{i+1}}}_{\substack{\text{value to weight ratio} \\ \text{of next item.}}} \text{ occupied. capacity in knapsack.}$$

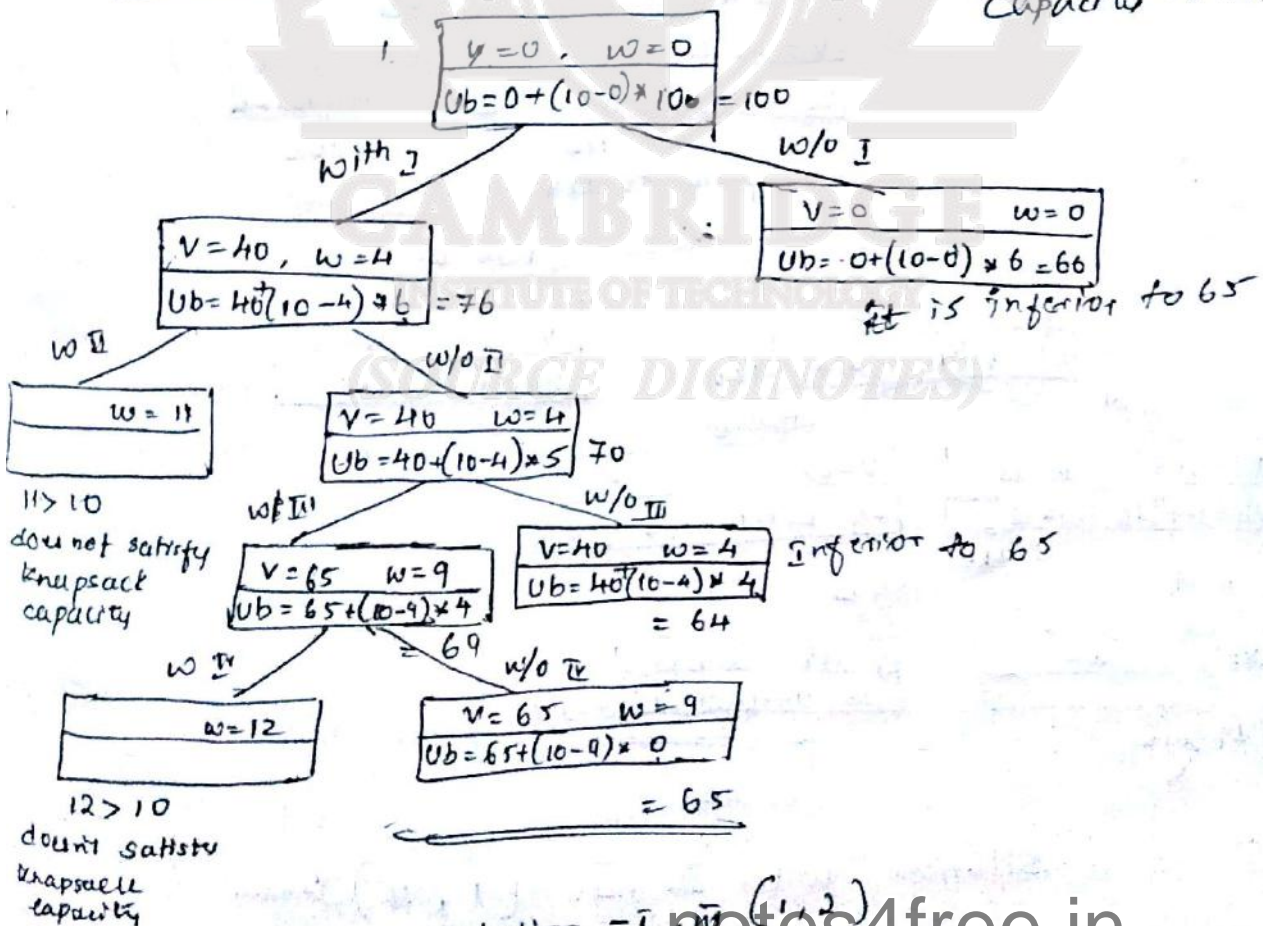
1. Reorder items based value/weight ratio (Descending)
2. Calculate  $Ub$  based on equation.
3. Proceed with the branch which has larger upper bound.

Example 1

$m = 10$

Item	W weight	V value	$V_i/w_i$
1	4	40	10
2	5	25	5
3	7	42	6
4	3	12	4

$V \rightarrow$  current value of knapsack.  
Capacity  $\rightarrow m$ .



Solution - I II (1, 2)



Example 2

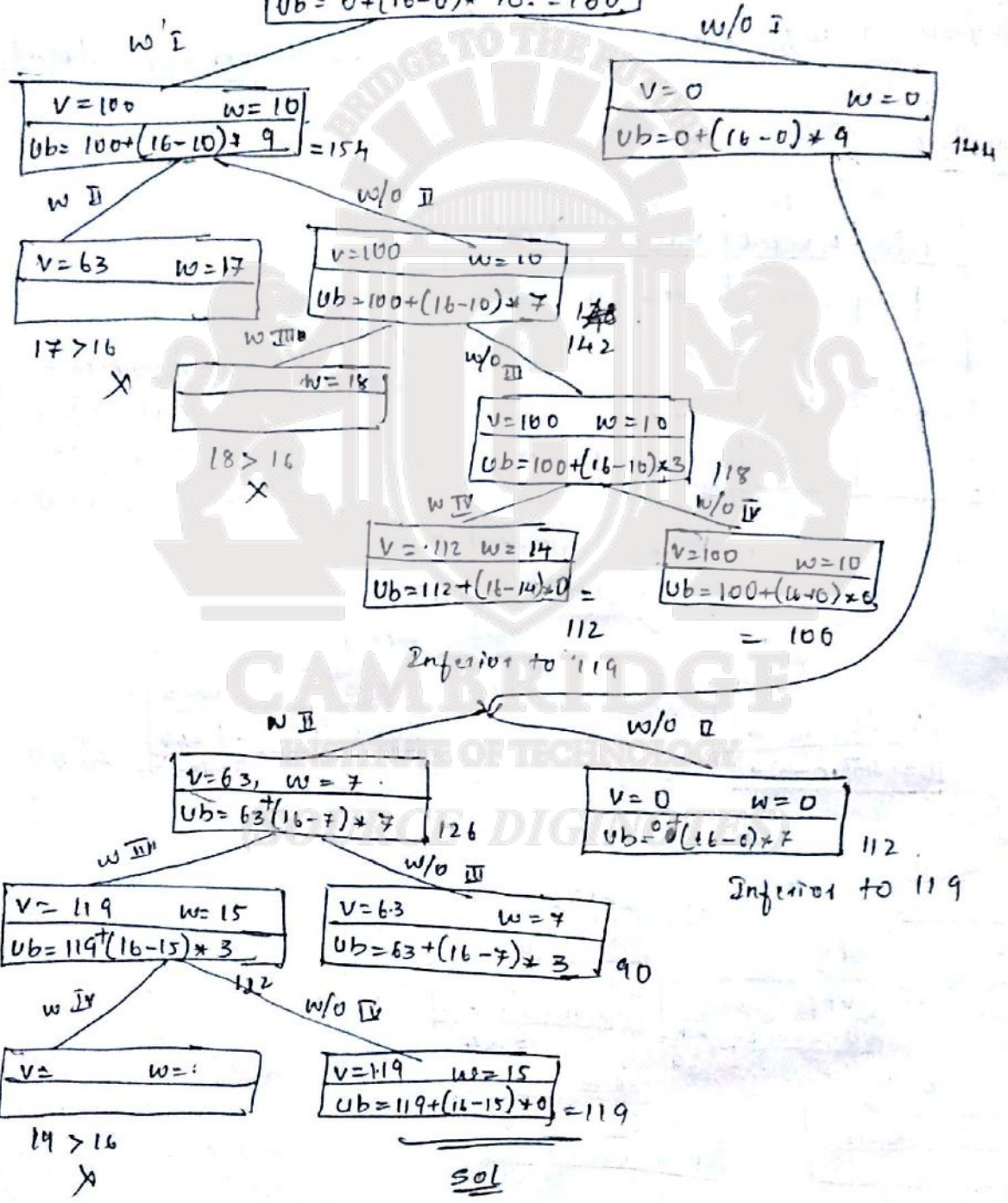
$m = 16$

item	weight	value	$v_i/w_i$
1	8	56	7
2	10	100	10
3	4	12	3
4	7	63	9

III  
II  
IV  
I

$$w = 0 \quad w' = 0$$

$$Ub = 0 + (16 - 0) * 10 = 160$$



solution with III, II (1, 4) items.

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