## Module 1: Set Theory:

- ▲ Sets and Subsets,
- Set Operations and the Laws of Set Theory,
- ▲ Counting and Venn Diagrams,
- ▲ A First Word on Probability,
- Countable and
- Uncountable Sets

# **Fundamentals of Logic:**

- ▲ Basic Connectives and Truth Tables,
- ▲ Logic Equivalence The Laws of Logic,
- ▲ Logical Implication Rules of Inference.

#### Set Theory:

A set is a

Sets and Subsets:

of objects, called elements the set. set b collection of А be y listing can betwee braces:  $A = \{1, 2, 3, 4, 5\}$ . The symbol belongs e is (or to) itselements n a set. 3 e A. Its negation is represented e.g. finite. A. If the set Is its For instance by /e, 7 /e number of elements is represented |A|, e.g. if  $A = \{1, 2, 3, |A| =$ 4, 5} then  $1.N = \{0, 1, 2, 3, \dots\} =$ the set of natural numbers.  $2.Z = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$  = the set of integers. 3.Q =the set of rational numbers. 4.R =the set of real numbers. 5.C = the set of complex numbers. If S is one of then we also use the following those sets notations : 1. S = in S, for element + set of positive s instance Ζ  $= \{1, 2, 3,$ the set of positive + ··· } = integers. of negative = 2. S<sup>-</sup> set elements in S, for instance  $= \{-1, -2, -3,$ set of negative  $Z^{-} \cdots \} =$ the integers. 3. S \* = excluding zero, for of in  $R^*$  = the set of non zero real set elements S instance num bers. way to define a called set-An Set-builder alternative builder notation, is notation: set, by propert (predicat verifie by its elements, for instance P (x) d exactly stating аv e)  $A = \{x \in | 1 \le x \le 5\}$  "set of x such that  $1 \leq$ ≤ 5"— Ζ = integers Х i.e.:  $A = \{1, 2, 3,$ 4, general:  $A = \{x \in U \mid p(x)\},\$ U of univers discourse in which 5}. In where is the e mus be interpreted, or  $A = \{x \mid P(x)\}$  if the universe of the discourse predicate P (x) t for P (x) is understood In set the term universalis often used in

implicitly . theory set place of "universe of discourse" for a given predicate. Princip of Extension: Two sets are equal only if if and they have the same le  $A = \mathbf{O} \forall x (x \in A \leftrightarrow x \in B)$ elements, i.e.: B Subset: We say A is a of set or A is in B, that subset Β, contained and we represent if A = {a, b, c} if all of A it "A  $\subseteq$  B", elements and are in B, e.g.,  $B = \{a, b, c, d, e\}$  then  $A \subseteq$ Β. Proper subset: prope subse of represente t d " $A \subset B$ ", if  $A \subseteq B$ A is a Β, r i.e., there is some element which is A = B, in B not in A. DEPT. OF CSE, Page

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**Empty Set:** A set with no elements is called empty set (or null set, or void set ), and is represented by Ø or {}. Note that preven a set from possibly element of se (whic nothing ts being an another t h is not the same as being a subset!). For i n stance is an elem ent of if A {1, a, {3, t}, {1, 2, 3}} { 3, t}, t obvious ly and B = henВ = Α, i.e., е Α. B **Pow Set:** collectio of A is the power set of subse The n all of a set called er ts Α, P(A). For instance, if  $A = \{1, 2, 3\}$ , is and represented then  $\mathsf{P}(\mathsf{A}) = \{ \emptyset, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\},$ {1}, A} . MultCSE ordinar set identical if they have same Two are the so for ts: y s elements, instance, {a, a, b} {a, b} the set because exactl are and same thev have y the same elements namel application it might Howeve in a and b. r, useful to some S be У , element us multCSEt а w ar allow repeated In that case e which e s in set. е s, mathematical entities similar to possibl repeat elements So sets, but with v ed . as multCSEts, {a, a, b} and {a, b} would be considered since in the first one different. the element a occurs twice in the second one it occurs only and once.

## S et Oper atio ns:

1. Intersection : The common elements of two sets:

 $A \cap B = \{x \mid (x \in A) \land (x \in B)\} .$ 

If  $A \cap B = \emptyset$ , the sets are said to be disjoint.

2. <u>Union</u>: The set of elements that belong to either of two sets:

 $A \cup B = \{x \mid (x \in A) \lor (x \in B)\}.$ 

3.<u>Complement</u> : The set of elements (in the universal set) that do not belong to a given set:  $A = \{x \in U \mid \neg x / e A\}.$ 

4. Difference or Relative Complement : The set of elements that belong to a set but not to another:

 $A - B = \{x \mid (x \in A) + (x / e B)\} = A \cap B .$ 

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5. Symmetric Difference : Given two sets, their symmetric differ- ence is the set of elements that belong to either one or the other set but not both.

 $A \oplus B = \{x \mid (x \in A) \oplus (x \in B)\}.$ 

It can be expressed also in the following way:

$$A \oplus B = A \cup B - A \cap B = (A - B) \cup (B - A) .$$

Counti	wit	Ven	1							
ng	h	n	Diag	r <u>a m</u> s:						
A Venn		with	n	inters	ecting	the m	nost	genera	l way	divides the
diagram		n	sets		in			pla	ne	
into 2 <sup>n</sup> reg	gions	. If we	e have		1	the		of		of some
informatio	n				about	numbe	er o	elemer	nts	portions
of		the	we	find th	е		(	of in ea	ch of	the regions
the diagr	ram,	n	can	numbe	er	ele	ement	ts and		
use that			- h + - : -	for the	e		(	0 <sup>°</sup> 10	the p	ortions of
plane.	n		obtair	iing nu	mber	ele	ement	ts in r	t	ne
Example :	Let	М,	b	e the se	ets of		taki	in	m	atic
Р			and Cst	tudents				g Math	ıe-	s courses,
Physics co	ourses	s and		Science	course	s respe	C-			
Computer	וחו	25		tively				inau	Iniver	sity. Assume
M  = 500	,  P	= 55	0,  C  =							
$ M \otimes P  =$	100	IM 论	C  = 150	) IP 论 (	`l = 75	IM 🏵 F	р <b>ф</b> (	I =		
10. How	100,	lin A		, µ ♥ €	, , ,	101 4 1	v C	I		
man stud	ent a	are ta	king ex	actly o	ne of t	hose				
y s		cours	es?							
We see tha	at  (M	<b>∲</b> P )-	(M �P �	C  = 1	00-10 =	= 90,  (1	М			
�C)-(M �										
P�∂C)  = 1	.50 - 1	0 = 14	10 and  (F	🕈 🗘 C) - (I	M 🏟 P 🏟	► C)  = 7	'5 -			
10 = 65.										
Then the	regi	on co	orrespor	nding to	0	takin		Mathen	natics	only
students			200	60 4 -		g		cours	Ses	has
	(0	0110	$\pm 1401c$	ou. An	alogou	siy we		tho r	umbe	ofstudents
y takin	м /З	01 + 0 00		an	takin		Comi	nuter c	ourse	of students
a Phys	sics	50	only (1	(85)d	a		Sci	ience s	ourse	only (235).
The sum	60 +	185	+ 285 -	= 480 is	the		t	aking		- <b>,</b> ( ,
number	1	140	× 65	$\backslash$		of stud	entse	exactly		one
o f those		T	235	1						
courses.		c								

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#### Ven Dia n grams:

Ven diagrams are graphic			enclosed areas in	
n representa-	tions	of sets as	the plane	<b>!</b> .
For instance, in figure 2.1, the	repre	esents the	universal set (the	
rectangle			set of all	
elements con- sidered in a given		the	region represents se	
problem)	and	shaded	at A.	
The other figures represent various	set op	erations.		



FIGURE 2.1. Venn Diagram.



FIGURE 2.2. Intersection  $A \cap B$ .



FIGURE 2.3. Union  $A \cup B$ .



FIGURE 2.4. Complement  $\overline{A}$ .

Counting with	Ve n	n Diag ms:	gra				
A Venn diagram	wit n	h set s	inters in	ecting t	:h e	most general way plane	v divides the
into 2 <sup>n</sup> regions about of the diagram,	. If w the n	e have	inform we c	ation	th e he	number of elements number of elements in each	of some portions of the regions and
use that information plane. Example : Let P	М,	for obtain and C	ing be the	the number sets	5 (	of elements in other of students taking Mathe-	portions of the matics courses,

Physics courses and Computer Science courses respec- tively in a university. Assume |M| = 300, |P| = 350, |C| = 450,  $|M \diamondsuit P| = 100, |M \And C| = 150, |P \diamondsuit C| = 75, |M \diamondsuit P \And C| =$ 10. How many students are taking exactly one of those courses? (fig. 2.7)

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Page 8 We see that  $|(M \diamondsuit P) - (M \And P \And C)| = 100 - 10 = 90$ ,  $|(M \And C) - (M \And P \And C)| = 150 - 10 = 140$  and  $|(P \And C) - (M \And P \And C)| = 75 - 10 = 65$ .

Then the region corresponding to students taking Mathematics courses only has cardinality 300-(90+10+140) = 60. Analogously we compute the number of students taking Physics courses only (185) and taking Computer Science courses only (235).

1.	Associative Laws:
	$A \cup (B \cup C) = (A \cup B) \cup C$
	$A \cap (B \cap C) = (A \cap B) \cap C$
2.	Commutative Laws:
	$A \cup B = B \cup A$
	$A\cap B=B\cap A$
3.	Distributive Laws:
	$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$
	$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
4.	Identity Laws:
	$A\cup \emptyset = A$
	$A \cap \mathcal{U} = A$
5.	Complement Laws:
	$A\cup \overline{A}=\mathcal{U}$
	$A \cap \overline{A} = \emptyset$
6.	Idempotent Laws:
	$A \cup A = A$
	$A \cap A = A$
7.	Bound Laws:
	$A \cup u = u$
	$A \cap \emptyset = \emptyset$
8.	Absorption Laws:
	$A \cup (A \cap B) = A$
	$A \cap (A \cup B) = A$
9.	Involution Law:
	$\overline{A} = A$

#### Gene ral ized Un ion

and Inters ec ti on: Given a

collec- tion of sets  $A_1$  ,  $A_2$ , . . . ,

 $A_N$ , their union is defined as the set of elements that belong to at least one of the sets (here n represents an integer in the range from 1 to N):

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Analogously, their intersection is the set of elements that the sets simultaneously:  $A_n = A_1 \cap A_2 \cap \cdots \cap A_N = \{x \mid \forall n \ (x \in A_n)\}.$ n=1

These definitions can be applied to infinite collections of sets as well. For instance assume that  $S_m = \{kn \mid k = 2, 3, 4, ...\} = set of$ multiples of n greater than n. Then

$$\bigcup_{n=2}^{\infty} S_n = S_2 \cup S_3 \cup S_4 \cup \dots = \{4, 6, 8, 9, 10, 12, 14, 15, \dots\}$$

= set of composite positive integers.

**P** artitions: A a set X is a collection S of non overlapping non partition of empty the whole X. For instance a partition of  $X = \{1,$ subsets of X whose union is 2, 3, 4, 5, 6, 7, 8, 9, 10} could be  $S = \{\{1, 2, 4, 8\}, \{3, 6\}, \{5, 7, 9, 10\}\}$ . Given a partition S of a set X , every element of X belongs to exactly one member of S.

Example : The division of the integers Z into even and odd numbers is a partition:  $S = \{E, O\}$ , where  $E = \{2n | n \in Z\}$ ,  $O = \{2n + 1 | n \in Z\}$ .

Example : The divisions of Z in negative integers. positive integers and zero is a p art itio n:  $S = \{Z^+, Z^-, \{0\}\}.$ 

Order ed P C ar tes Prod airs, ian uct: ordinar pai {a, se tw element In a set theorder of the b} is a t with o s. An v r el ements is irrelevant, {a, b} elemen {b, a}. If the order of the ts is relevant, SO = the we use a different called represented (a, b). Now (a, b) =ordered object pair, (b, n a) (unless a = b). In general (a, b) = (a<sup>!</sup>, b<sup>!</sup>) iff a = a<sup>!</sup>and  $b = b^!$ .

belong to all

Cartesian product  $A \times B$  set of all Given two sets A, B, their ordered pairs (a, b) is the such that a e A and b e B :  $A \times B = \{(a, b) \mid (a \in A) \land (b \in A)\}$ B)} . Analogously we can define triples or 3-tuples (a, b, c), 4-tuples (a, b, c, d), . . . , n- $(a_1, a_2, \ldots, a_m)$ , the 3-fold, 4-fold, . . . corresponding tuples and , DEPT. OF CSE, Page ACE

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n-fold Cartesian products:  $A_1 \times A_2 \times \cdots \times A_m =$   $\{(a_1, a_2, \dots, a_m) \mid (a_1 \quad e \in A_1) \land (a_2 \quad e \in A_2) \land \cdots \land (a_m \quad e \in A_m)\}.$  $= A \times A \times A, \text{ etc. In}$ 

If all the sets in a Cartesian product the same, then we can use an exponent:  $A^2$ 

are =

A × A, A<sup>3</sup> (m ti mes) m

 $= \mathsf{A} \times \mathsf{A} \times \cdots \times \mathsf{A} .$ 

# A First Word on Probability:

l ntro duction: coi	Assume that	we perform	experi an as	ment such	tossing a
n or rolling a die. The experiment.	se of possi t outcom	ble es	is called the	sample space	of the
An event is a subset three times,	of the	sample sp	ace. instanc For e,	toss	a coin
sample sp the is S T Th event le e "at t	ace — {H H H, H T H, T T T } . east two hea he	HT, HTH ds in a row	l, H T T , T F " would be	I H, T H T , subset	

*Example*: Assume that a die is loaded so that the probability of If all **possible**: gutqomesis propexperiment *n*. Find the probability of getting of an an odd number when rolling thhat we the same likelihood of occurrence,

then Answer: First we must find the probability function P(n) (n = 1, 2, ..., 6). We are told that P(n) is proportional to n, hence P(n) = probability of an event  $A \subseteq S$  is give  $n_f by$  Laplace's rule: i.e.,  $k \cdot 1 + k \cdot 2 + \dots + k \cdot 6 = 21k = 1$ , so k = 1/21 and P(n) = n/21. Next we want to For instance, the probability of getting at least two heads in  $a^{P(4)} + P(6) = row$  in the above experiment is 3/8.

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# Th<u>en: **Prop er ties of probab ili ty:**</u>Let P be a probability

func- tion on a sample

space S.

1. For every event  $E \subseteq S$ ,

$$0 \le P(E) \le 1.$$

- 2.  $P(\emptyset) = 0, P(S) = 1.$
- 3. For every event  $E \subseteq S$ , if  $\overline{E}$  = is the complement of E ("not E") then

$$P(\overline{E}) = 1 - P(E) \,.$$

4. If  $E_1, E_2 \subseteq S$  are two events, then

$$P(E_1 \cup E_2) = P(E_1) + P(E_2) - P(E_1 \cap E_2).$$

In particular, if  $E_1 \cap E_2 = \emptyset$  ( $E_1$  and  $E_2$  are *mutually exclusive*, i.e., they cannot happen at the same time) then

$$P(E_1 \cup E_2) = P(E_1) + P(E_2).$$

# THE CONCEPT OF PROBALITY:

Pr(A)=|A| / |S| where |A| is an event and |S| is sample space Pr(A)=|A| / |S|=(|S|-|A|)/|S|= 1- (|A|/|S|)= 1-Pr(A). Pr(A)=0 if and only if Pr(A)=1 and Pr(A)=1 if and only if Pr(A)=0

# ADDITION THEROM:

Suppose A and B are 2 events is a sample space S then A UB is an event in S consisting of outcomes that are in A or B or both and A  $\cap$  B is an event is S consisting of outcomes thata recommon to A and B. accordingly by the principle of addition we have  $|AUB|=|A|+|B|-|A \cap B|$  and formula 1 gives

$$P r(AUB) = |AUB|/|S| = (|A|+|B|-|A \cap B|)/|S|$$
$$= |A|/|S| + |B|/|S| - |A \cap B| / |S|$$
$$P r(AUB) = Pr(A) + Pr(B) - Pr(A \cap B)$$

FIGURE 2.5. Difference A - B. FIGURE 2.6. Symmetric Difference  $A \oplus B$ .

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#### **MUTUALY EXCLUSIVE EVENTS:**

Two events A and B in a sample space are said to be mutual exclusive if  $A \cap B = \emptyset$  then  $Pr(A \cap B) = 0$  and the addition theorem reduces to Pr(AUB) = Pr(A) + Pr(B)

If A1, A2.....An are mutualy exclusive events, then Pr(A1UA2U.....UAn)= Pr(A1)+Pr(A2)+....+Pr(An)

#### COND ITIONAL PROBABILITY:

If E is an event in a finite sample S with Pr(E)>0 then the probability that an event A in S occurs when E has already occurred is called the probability of A relative to E or the conditional p robability of A, given E

This p robability, denoted by Pr(A|E) is defined by Pr(A|

E)= $|A \cap E| / |E|$  from this  $|A \cap E| = |E|$ . Pr(A|E) Pr(A \cap E) = |

 $A \cap E|/S = |E|/|S| \cdot Pr(A|E) = Pr(E) \cdot Pr(A|E)$ 

Example : Find the probability of obtaining a sum of 10 after rolling two fair dice. Find the probability of that event if we know that at least one of the dice shows 5 points.

**Answer :** We call E — "obtaining sum 10" and F — "at least one of the dice shows 5 points". The number of possible outcomes is  $6 \times 6$  — 36. The event "obtaining a sum 10" is E — {(4, 6), (5, 5), (6, 4)}, so|E| — 3. Hence the probability is P (E) — |E|/|S| — 3/36 — 1/12.Now, if we know that at least one of the dice shows 5 points then the sample space shrinks to

 $F - \{(1, 5), (2, 5), (3, 5), (4, 5), (5, 5), (6, 5), (5, 1), (5, 2), (5, 3), (5, 4), (5, 6)\},\$ 

so |F| - 11, and the ways to obtain a sum 10 are E n F - {(5, 5)}, |E n F|

— 1, so the probability is P (E | F ) — P (E n F )/P (F ) — 1/11.

## **MUTUALLY INDEPENDENT EVENTS:**

The event A and E in a sample space S are said to be mutually independent if the probability of the occurrence of A is independent of the probability of the occurrence of E, So that Pr(A)=Pr(A|E). For such events  $Pr(A \cap E)=Pr(A).Pr(E)$ 

This is known as the product rule or the multiplication theorem for mutually independent events .

A gen eralization of expression is if A1,A2,A3.....An are mutually in dependent events in a sample space S then  $Pr(A1 \cap A2 \cap ... \cap An)=Pr(A1).Pr(A2)...Pr(An)$ Example : Assume that the probability that a shooter hits a target is p — 0.7, and that hitting the target in different shots are independent events. Find: 1. The probability that the shooter does not hit the target in one shot.

2. The probability that the shooter does not hit the target three times in a row.

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Page 13 3. The probability that the shooter hits the target at least once after shooting three times.

#### Answer :

1. P (not hitting the target in one shot) — 1 - 0.7 - 0.3.

2. P (not hitting the target three times in a row)  $-0.3^3 - 0.027$ .

3. P (hitting the target at least once in three shots) -1-0.027 -

0.973.

## COUNTABLE AND UNCOUNTABLE SETS

A set A is said to be the c ountable if A is a finite set. A set which is not countable is called an uncountable set.

## THE ADDITION PRINCIPLE:

•  $|AUB|=|A|+|B|-|A\cap B|$  is the addition principle rule or the principle of inclusion – exclusion.

- $|A-B|=|A|-|A\cap B|$
- $|A \cap B| = |U| |A| |B| + |A \cap B|$
- $|AUBUC|=|A|+|B|+|C|-|A\cap B|-|B\cap C|-|A\cap C|+|A\cap B\cap C| \text{ is extended addition principle}$

• NOTE:  $|A \cap B \cap C| = |AUBUC|$ 

=|U|-| AUBUC|

$$= |\mathbf{U}| \cdot |\mathbf{A}| \cdot |\mathbf{B}| \cdot |\mathbf{C}| + |\mathbf{B} \cap \mathbf{C}| + |\mathbf{A} \cap \mathbf{B}| + |\mathbf{A} \cap \mathbf{C}| \cdot |\mathbf{A} \cap \mathbf{B} \cap \mathbf{C}| + |\mathbf{A} \cap \mathbf{C}| +$$

$$A-B-C|=|A|-|A \cap B|-|A \cap C|+|A \cap B \cap C|$$

## **Fundamentals of Logic:**

## Intr oduction:

## Propositi ons:

A proposition is a declarative sentence that is either true or false (but not both). For instance, the following are propositions: "Paris is in France" (true), "London is in Denmark" (false), "2 < 4" (true), "4 = 7 (false)". However the following are not "what is your name?" (this is a question), "do your homework" (this propositions: "this sentence is false" (neither true nor false), "x is an even is a command). number" (it depends on what x represents), "So crates" (it is not even a sentence). The truth or falsehood of a proposition is called its truth value.

## Basic Connectives and Truth Tables:

Connectives are used for making compound propositions. The main ones are the following (p and q represent given propositions):

Name	Represent	Meaning	
Negation	ed	"not p"	
Conjunction	¬р	"p and q"	
Disjunction	рлq	"p or q (or both)"	
Exclusive Or		"either p or q, but	not both"
Implication	p vq	"if p then q"	
Biconditional		"p if and only if q"	
	p⊕q		

The truth value of a compound proposition depends only on the value of its components. Writing F for "false" and T for "true", we can summarize the meaning of the connectives in the following way:

				-		-	
	q	¬p			р⊕	p →p	⇔q
D	1	-	рла	руа	a		
Ť	Т	F	Ϊ	Τ '	, F	Т	Т
Т	F	F	F	Т	Т	F	F
F	Т	Т	F	Т	Т	Т	F
F	F	Т	F	F	F	Γ.	Г

Note that V represents a non-exclusive or, i.e.,  $p \vee q$  is true when any of p, q is true and also when both are true. On the other hand  $\oplus$  represents an exclusive or, i.e.,  $p \oplus q$  is true only when exactly one of p and q is true.

## T autol ogy, C ontradi cti on, C onti ngenc y:

1. A proposition is said to be a tautology if its truth value is T for any assignment of truth values to its components. Example : The proposition p V  $\neg$ p is a tautology.

2. A proposition is said to be a contradiction if its truth value is F for any assignment of truth values to its components. Example : The proposition p  $\Lambda \neg p$  is a c ontradiction.

3. A proposition that is neither a tautology nor a contradiction is called a contingency

**Conditional Propo siti ons:**A proposition of the form "if p then q" or "p impliesq", represented " $p \rightarrow q$ " is called a conditio nal proposition. For instance: "if John isisfrom Chicago then John is from Illinois". The proposition p is called hypothesis ororantecedent, and the proposition q is the conclusion or consequent.or

Note that  $p \rightarrow q$  is true always except when p is true and q is false. So, the following sentences are true: "if 2 < 4 then Paris is in France" (true  $\rightarrow$  true), "if London is in Denmark t hen 2 < 4" (false  $\rightarrow$  true),

"if 4 = 7 then London is in Denmark" (false  $\rightarrow$  false). However the following one is false: "if 2 < 4 then London is in Denmark" (true  $\rightarrow$  false).

In might seem strange that " $p \rightarrow q$ " is considered true when p is false, regardless of the truth value of q. This will become clearer when we study predicates such as "if x is a multiple of 4 then x is a multiple of 2". That implication is obviously true, although for the particular case x = 3 it becomes "if 3 is a multiple of 4 then 3 is a multiple of 2".

The proposition  $p \leftrightarrow q$ , read "p if and only if q", is called bicon- ditional. It is true precCSEly when p and q have the same truth value, i.e., they are both true or both false.

**Logic al Equival ence:** Note that the compound propositions  $p \rightarrow q$  and  $\neg p \vee q$  have the same truth values:

р	q	¬p	¬p	<u>v q</u> p	$\rightarrow$
Т	Т	F	Т	T	
Т	F	F	F	F	
F	Т	Т	Т	Т	
F	F	Т	Т	Т	

When two compound propositions have the same truth values no matter what truth value their constituent propositions have, they are called logically equivalent. For

inst an ce  $p \rightarrow q$  and  $\neg p \lor q$  are logically equivalent, and we write it:

$$p \rightarrow q \equiv \neg p V q$$

Note that that two propositions A and B are logically equivalent precCSEly when A  $\leftrightarrow$  B is a tautology.

Example : De Morgan's Laws for Logic. The following propositions are logically

equivalent:

 $\neg (p \lor q) \equiv \neg p \land \neg q$  $\neg (p \land q) \equiv \neg p \lor \neg q$ 

р	q	¬p	⊐q	р <u>V</u>	q ¬(	(p <u>V</u> q)	_p <u>∧</u> _q	<u>р Л</u> q	¬(p <u>∧</u> q)	¬p v ⊣o
Т	Т	F	F	Т	F	F	Т	F	F	
Т	F	F	Т	Т	F	F	F	Т	Т	
F	Т	Т	F	Т	F	F	F	Т	Т	
F	F	Т	Т	F	T	Т	F	Т	Т	

Example : The following propositions are logically e quivalent:

 $p \leftrightarrow q \equiv (p \rightarrow q) \land (q \rightarrow p)$ 

Again, this can be checked with the truth tables:

р	q	р	→q	→ (p	→q)∧(qp ↔ q	
Т	Т	Т	Т	Т	T	
Т	F	F	Т	F	F	
F	Т	Т	F	F	F	
F	F	Т	Т	Т	Т	

ExercCSE : Check the following logical equivalences:

 $\neg (p \rightarrow q) \equiv p \land \neg q$  $p \rightarrow q \equiv \neg q \rightarrow \neg p$  $\neg (p \leftrightarrow q) \equiv p \oplus q$ 

**Converse, C ontrapo sitive:** Theconverse of a conditional proposition  $p \rightarrow q$  isthe proposition  $q \rightarrow p$ .As we have seen, thebi- conditionalproposition isequivalent to the conjunction of a conditional propositionan its converse.

 $p \leftrightarrow q \equiv (p \rightarrow q) \land (q \rightarrow p)$ 

So, for instance, saying that "John is married if and only if he has a spouse" is the

same as saying "if John is married then he has a spouse" and "if he has a spouse then he is married".

Note that the converse is not equivalent to the given conditional proposition, for instance "if John is from Chicago then John is from Illinois" is true, but the converse "if John is from Illinois then John is from Chicago" may be false.

The contrapositiveof a conditional proposition $p \rightarrow q$  is the proposition $\neg q \rightarrow$  $\neg p$ . They are logically equivalent. For instancethe con-trapositive of "if John isfrom Chicago thenJohn is from Illinois" is "if

John is not from Illinois then John is not from Chicago".

**LOGICAL CONNECTIVES:** New propositions are obtained with the aid of word or phrases like "not", "and", "if....then", and "if and only if". Such words or phrases are called logical connectives. The new propositions obtained by the use of connectives are called compound propositions. The original propositions from which a compound proposition is obtained are called the components or the primitives of the compound proposition. Propositions which do not contain any logical connective are called simple propositions

**<u>NE GATION</u>**: A Proposition obtained by inserting the word "not" at an appropriate place in a given proposition is called the negation of the given proposition. The negation of a proposition p is denoted by  $\sim p$ (read "not p")

Ex: p: 3 is a prime number ~p: 3 is not a prime number Truth Table: p ~p

0		1
10		

#### **CONJUNCTION:**

A compound proposition obtained by combining two given propositions by inserting the word "and" in between them is called the conjunction of the given proposition. The conjunction of two proposition p and q is denoted by  $p^q$  (read "p and q").

• The conjunction  $p^q$  is true only when p is true and q is true; in all other cases it is false.

•	Ex: p: $\sqrt{2}$ is an irational	l number	q: 9 is a prime number
	p^q: √2 is a	n i rational nun	nber and 9 is a prime number
•	Truth table: p q	p∧q	

	P. A	
0	0	0
0	1	0
1	0	0
1	1	1
	0 0 1 1	$ \begin{array}{ccc} p, q \\ 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{array} $

## **DISJUNCTION:**

A compound proposition obtained by combining two given propositions by inserting the word "or" in between them is called the disjunction of the given proposition. The di sjunction of two proposition p and q is denoted by  $p \bigoplus q$  (read "p or q").

• The di sjunction  $p \clubsuit q$  is false only when p is false and q is false ; in all other cases it is true.

Ex: p: $\sqrt{2}$  is an irational number q: 9 is a prime number  $p \mathbf{\Phi} q : \sqrt{2}$  is an irational number or 9 is a prime number Truth table: р **q** p�q 0 0 0 0 1 1 0 1 1 1 1 1

## **EXCLUSIVE DISJUNCTION:**

• The compound proposition "p or q" to be true only when either p is true or q is true but not both. The exclusive or is denoted by symbol v.

• Ex:  $p:\sqrt{2}$  is an ir rational number q: 2+3=5

Pvq: Either  $\sqrt{2}$  is an i rrational number or 2+3=5 but not both.

• Truth Table:

р	q	p <u>v</u> q
0	0	0
0	1	1
1	0	1
1	1	0

## **COND ITIONAL (or IMP LICATION):**

• A compound proposition obtained by combining two given propositions by using the words "if" and "then" at appropriate places is called a conditional or an implication.. Given two propositions p and q, we can form the conditionals "if p, then q" and "if q, then p:. The conditional "if p, then q" is denoted by  $p \rightarrow q$  and the conditional "if q, then p" is denoted by  $q \rightarrow p$ .

• The conditional  $p \rightarrow q$  is false only when p is true and q is false ;in all other cases it

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#### is true.

• Ex: p: 2 is a prime number q: 3 is a prime number

 $p \rightarrow q$ : If 2 is a prime number then 3 is a prime number; it is true

• Truth Table:

р	q	p → d
0	0	1
0	1	1
1	0	0
1	1	1

#### **BICONDITIONAL:**

• Let p and q be two propositions, then the conjunction of the conditionals  $p \rightarrow q$  and  $q \rightarrow p$  is called bi- conditional of p and q. It is denoted by  $p \leftrightarrow q$ .

•  $p \leftrightarrow q$  is same as  $(p \rightarrow q)$   $(q \rightarrow p)$ . As such  $p \leftrightarrow q$  is read as " if p then q and if q then p".

Ex: p: 2 is a prime number q: 3 is a prime number  $p \leftrightarrow q$  are true.

Truth Table: p	q	$p \rightarrow q$	q → p	p ↔ q
0	0	1	1	1
0	1	1	0	0
1	0	0	1	0
1	1	1	1	1

## COMBINED TRUTH TABLE

Р	q	~p	$\mathbf{p} \mathbf{q}$	p�q	p <u>v</u> q	p → d	p ↔ q
0	0	1	0	0	0	1	1
0	1	1	0	1	1	1	0
1	0	0	0	1	1	0	0

## 1 1 0 1 1 0 1 **TAUTOLOGIES; CONTRADICTIONS:**

A compound proposition which is always true regardless of the truth values of its components is called a tautology.

1

A compound proposition which is always false regardless of the truth values of its components is called a cont radiction or an absurdity.

A compound proposition that can be true or false (depending upon the truth values of its components) is called a contingency I.e contingency is a compound proposition which is neither a tautology nor a contradiction.

## LOGICAL EQUIVALENCE

• Two propositions 'u' and 'v' are said to be logically equivalent whenever u and v have the same truth value, or equivalently .

- Then we write u�v. Here the symbol �stands for "logically equivalent to".
- When the propositions u and v are not logically equivalent we write  $u \mathbf{\hat{v}} v$ .

# LAWS OF LOGIC:

To denote a tautology and To denotes a contradiction.

- Law of Double negation: For any proposition p,(~~p)�p
- Idempotent laws: For any propositions p, 1)  $(p \diamondsuit p) \diamondsuit p$  2)  $(p \And p) \diamondsuit p$
- Identity laws: For any proposition p, 1)(p�Fo) �p 2)(p�To) �p
- Inverse laws: For any proposition p, 1) (p � � p) � To 2)(p � ~p) � Fo
- Commutative Laws: For any proposition p and q, 1) $(p \hat{\phi} q) \hat{\phi}(q \hat{\phi} p) 2)(p \hat{\phi} q) \hat{\phi}(q \hat{\phi} p)$
- Domination Laws: For any proposition p, 1) (p�To) �To 2) (p�Fo) �Fo
- Absorption Laws: For any proposition p and q,1)  $[p \hat{\Phi} (p \hat{\Phi} q)] \hat{\Phi} p 2) [p \hat{\Phi} (p \hat{\Phi} q)] \hat{\Phi} p$
- De-Morgan Laws: For any proposition p and q, 1)~  $(p \diamondsuit q) \diamondsuit \diamondsuit p \diamondsuit \diamondsuit q$

# 

• Law for the negation of a conditional : Given a conditional  $p \rightarrow q$ , its negation is obtained by using the following law:  $(p \rightarrow q)$  ( $p \rightarrow q$ )

**TRANSITIVE AND SUBSTITUTION RULES** If u, v, w are propositions such that  $u \diamondsuit v$  and  $v \diamondsuit w$ , then  $u \diamondsuit w$ . (this is transitive rule)

• Suppose that a compound proposition u is a tautology and p is a component of u, we replace each occurrence of p in u by a proposition q, then the resulting compound proposition v is also a tautology(This is called a substitution rule).

• Suppose that u is a compound proposition which contains a proposition p. Let q be a proposition such that  $q \spadesuit p$ , suppose we replace one or more occurrences of p by q and obtain a compound proposition v. Then u  $\spadesuit v$  (This is also substitution rule)

## APPLICATION TO SWITCHING NETWORKS

• If a switch p is open, we assign the symbol o to it and if p is closed we assign the symbol 1 to it.

• Ex: current flows from the terminal A to the terminal B if the switch is closed i.e if p is assigned the symbol 1. This network is represented by the s ymbol p

A P B

Ex: parallel network consists of 2 switches p and q in which the current flows from the terminal A to the terminal B, if p or q or both are closed i.e if p or q (or both) are assigned the symbol 1. This network is represent by  $p \diamondsuit q$ 

Ex: Series network consists of 2 switches p and q in which the current flows from the terminal A to the terminal B if both of p and q are closed; that is if both p and q are assigned the symbol 1. This network is repr esented by  $p \diamondsuit q$ 

# **DUALITY:**

Suppose u is a compound proposition that contains the connectives  $\diamondsuit$  and  $\diamondsuit$ . Suppose we replace each occurrence of  $\diamondsuit$  and  $\diamondsuit$  in u by  $\diamondsuit$  and  $\diamondsuit$  re spectively.

Also if u contains To and Fo as components, suppose we replace each occurrence of To and Fo by Fo and To respectively, then the resulting compound proposition is called the dual of u and is denoted by u<sup>d</sup>.

Ex: u:  $p \diamondsuit (q \And \bigstar r) \diamondsuit (s \And To)$   $u^d: p \And (q \And \And r) \And (s \And Fo)$ 

#### NOTE:

•  $(u^d)^d$  **\textcircled{}***u*. The dual of the dual of u is logically equ ivalent to u.

• For any two propositions u and v if u  $\mathbf{O}$ v, then u<sup>d</sup>  $\mathbf{O}$ v<sup>d</sup>. This is known as the pr inciple of duality.

#### The connectives NAND and NOR

 $(p \uparrow q) = \textcircled{p}(p \textcircled{q}) \qquad \textcircled{p} \xleftarrow{p} \swarrow q$ 

 $(p \downarrow q) = \mathbf{O}(p \mathbf{O} q) \mathbf{O} \mathbf{O} \mathbf{O} \mathbf{O}$ 

#### **CONVERSE, INVERSE AND CONTRAPOSITIVE**

Consider a conditional  $(p \rightarrow q)$ , Then :

- 1)  $q \rightarrow p$  is called the converse of  $p \rightarrow q$
- 2)  $(p \rightarrow p q \text{ is called the inverse of } p \rightarrow q)$
- 3)  $(\mathbf{\hat{q}} \rightarrow \mathbf{\hat{q}})$  is called the cont rapositive of  $\mathbf{p} \rightarrow \mathbf{q}$

#### **RULES OF INFERENCE:**

There exist rules of logic which can be employed for establishing the validity of a rguments . These rules are called the Rules of Inference.

1) Rule of conjunctive simpli fication: This rule states that for any two propositions p

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and q if  $p \mathbf{\hat{v}} q$  is true, then p is true i.e ( $p \mathbf{\hat{v}} q$ )  $\mathbf{\hat{v}} p$ .

2) Rule of Disjunctive amplification: This rule states that for any two proposition p and q if p is true then  $p \diamondsuit q$  is true i.e  $p \diamondsuit (p \diamondsuit q)$ 

3) 3) Rule of Syllogism: This rule states that for any three propositions p,q r if  $p \rightarrow q$  is true and  $q \rightarrow r$  is true then  $p \rightarrow r$  is true. i.e { $(p \rightarrow q) \diamondsuit (q \rightarrow)$ }  $\diamondsuit (p \rightarrow r)$  In tabular form:  $p \rightarrow q \ q \rightarrow r$   $\diamondsuit (p \rightarrow r)$ 

4) 4) Modus pones(Rule of Detachment): This rule states that if p is true and  $p \rightarrow q$  is true, then q is true, ie {p  $(p \rightarrow q)$ }  $(q \rightarrow q)$ . Tabular form

 $p \qquad p \to q \qquad \qquad \clubsuit q$ 

5) Modus Tollens: This rule states that if  $p \rightarrow q$  is true and q is false, then p is false.  $\{(p \rightarrow q) \diamondsuit q \} \diamondsuit q$  Tabular form:  $p \rightarrow q$  $\diamondsuit q$ 

6) Rule of Disjunctive Syllogism: This rule states that if  $p \, \hat{\phi} q$  is true and p is false, then q is true i.e.  $\{(p \, \hat{\phi} q) \, \hat{\phi} \, \hat{\phi} p\} \, \hat{\phi} q$  Tabular Form  $p \, \hat{\phi} q$ 

## **QUANTIFIERS:**

1. The words "ALL", "EVERY", "SOME", "THERE EXISTS" are called quantifiers in the proposition

2. The symbol � is used to denote the phrases "FOR ALL", "FOR EVE RY", "FOR EACH" and "FOR ANY".this is called as universal quantifier.

A proposition involving the universal or the existential quantifier is called a quantified statement

## **LOGICAL EQUIVALENCE:**

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- 1.  $\mathbf{\hat{v}} x, [p(x)\mathbf{\hat{v}}q(x)]\mathbf{\hat{v}}(\mathbf{\hat{v}} x p(x))\mathbf{\hat{v}}(\mathbf{\hat{v}} x, q(x))$
- 2.  $\mathbf{\hat{\Phi}}$  x,  $[\mathbf{p}(\mathbf{x})\mathbf{\hat{\Phi}}\mathbf{q}(\mathbf{x})]\mathbf{\hat{\Phi}}(\mathbf{\hat{\Phi}}\mathbf{x} \mathbf{p}(\mathbf{x}))\mathbf{\hat{\Phi}}(\mathbf{\hat{\Phi}}\mathbf{x},\mathbf{q}(\mathbf{x}))$
- 3.  $\mathbf{\hat{v}}$  x,  $[\mathbf{p}(\mathbf{x}) \rightarrow \mathbf{q}(\mathbf{x})]$   $\mathbf{\hat{v}}$   $\mathbf{\hat{v}}$  x,  $[\mathbf{\hat{v}}\mathbf{p}(\mathbf{x})\mathbf{\hat{v}}\mathbf{q}(\mathbf{x})]$

RULE FOR NEGATION OF A QUANTIFIED STATEMENT:

 $\widehat{\boldsymbol{\Diamond}} \{ \widehat{\boldsymbol{\diamond}} x, p(x) \} = \widehat{\boldsymbol{\diamond}} x \{ \widehat{\boldsymbol{\diamond}} p(x) \}$ 

## **RULES OF INTERFERENCE:**

1. RULE OF UNIVERSAL SPECIFICATION

2. RULE OF UNIVERSAL GENERALIZATION

If an open statement p(x) is proved to be true for any (arbitrary)x chosen from a set S, then the quantified statement  $rac{1}{2}x \in S$ , p(x) is true.

## ME THODS OF PROOF AND DIS PROOF:

#### **1.DIRECT PROOF:**

The direct method of proving a conditional  $p \rightarrow q$  has the following lines of argument:

a) hypothesis : First assume that p is true

b) Analysis: starting with the hypothesis an	rules	/laws	of	
logic and other known facts , infer	that q is true			

c) Conclusion: $p \rightarrow q$  is true.

#### 2. INDIRECT PROOF:

Condition  $p \rightarrow q$  and its contrapositive  $\mathbf{O}q \rightarrow \mathbf{O}p$  are logically equivalent. On basis of this proof, we infer that the conditional  $p \rightarrow q$  is true. This method of proving a conditional is

called an indirect method of proof.

#### **3 .PROOF BY CONTRADICTION**

The indirect method of proof is equivalent to what is known as the proof by contradiction. The lines of argument in this method of proof of the statement  $p \rightarrow q$  are as follows:

1) Hypothesis: Assume that  $p \rightarrow q$  is false i.e assume that p is true and q is false.

2)Analysis: starting with the hypothesis that q is false and employing the rules of logic and other known facts , infer that p is false. This contradicts the assumption that p is true

3) Conculsion: because of the contradiction arrived in the analysis , we infer that  $\mathbf{p} \rightarrow \mathbf{q}$  is true

#### 4 .PROOF BY E XHAUSTION:

"𝔅x €S,p(x)" is true if p(x) is true for every (each) x in S.If S consists of only a limited number of elements , we can prove that the statement "𝔅x €S,p(x)" is true by considering p(a) for each a in S and verifying that p(a) is true .such a method of prove is called method of exhaustion.

#### 5 .PROOF OF EXISTENCE:

"�x €*S*,p(x)" is true if any one element a € S such that p(a) is true is exhibited. Hence, the best way of proving a proposition of the form "�x €*S*,p(x)" is to exhibit the existence of one a€S such that p(a) is true. This method of proof is called proof of existence.

#### 6.DI SPROOF BY CONTRADICTION :

Suppose we wish to disprove a conditional  $p \rightarrow q$ . for this propose we start with the hypothesis that p is true and q is true, and end up with a contradiction. In view of the contradiction , we conclude that the conditional  $p \rightarrow q$  is false.this method of disproving  $p \rightarrow q$  is called DISPROOF BY CONTRADICTION

# Module 2:

# Fundamentals of Logic *contd*.:

- ▲ The Use of Quantifiers, Quantifiers,
- Definitions and the Proofs of Theorems,
   Properties of the Integers:
- ▲ Mathematical Induction,
- ▲ The Well Ordering Principle
- ▲ Mathematical Induction,
- ▲ Recursive Definitions.

## Fundamentals of Logic *contd*.: Qua ntifiers:

Given a predicate P (x), the statement "for some x, P (x)" (or "there is some x such that p(x)"), represented " $\exists x P (x)$ ", has a definite truth value, so it is a proposition in the usual sense. For instance if P (x) is "x + 2 = 7" with the integers as

universe of discourse, then  $\exists x P(x)$  is true, since there is indeed an integer, namely 5, such that P(5) is a true statement. However, if

Q(x) is "2x = 7" and the universe of discourse is still the integers, then  $\exists x Q(x)$  is false. On the other hand,  $\exists x Q(x)$  would be true if we extend the universe of discourse to the rational numbers. The symbol

 $\exists$  is called the existential quantifier.

Analogously, the sentence "for all x, P (x)"—also "for any x, P (x)", "for every x, P (x)",

"for each x, P (x)"—, represented " $\forall x P (x)$ ", has a definite truth value. For instance, if P (x) is "x + 2 = 7" and the

universe of discourse is the integers, then  $\forall x P(x)$  is false. However if Q(x) represents "(x + 1)<sup>2</sup> = x<sup>2</sup> + 2x + 1" then  $\forall x Q(x)$  is true. The symbol  $\forall$  is called the universal quantifier.

In predicates with more than one variable it is possible to use several quantifiers at the same time, for instance  $\forall x \forall y \exists z P(x, y, z)$ , meaning "for all x and all y there is some z such that P(x, y, z)".

Note that in general the existential and universal quantifiers cannot be swapped, i.e., in general  $\forall x \exists y P(x, y)$  means something different from  $\exists y \forall x P(x, y)$ . For instance if x and y represent human beings and P(x, y) represents "x is a friend of y", then  $\forall x \exists y P(x, y)$  means that everybody is a friend of someone, but  $\exists y \forall x P(x, y)$  means that there is someone such that everybody is his or her friend.

A predicate can be partially quantified, e.g.  $\forall x \exists y P(x, y, z, t)$ . The variables quan tified (x and y in the example) are called bound variables, and the rest (z and t in the example) are called free variables. A

partially quantified predicate is still a predicate, but depending on fewer variables.

#### Proofs

## M ath ematic al Sy st ems, Proofs:

A Mathematical Sys- tem consists of:
# DISCRETE MATHEMATICAL STRUCTURES

1. Axioms : propositions that are assumed true.

2. Definitions : used to create new concepts from old ones.

3. Undefined terms : corresponding to the primitive concepts of the system (for instance in set theory the term "set" is undefined).

A theorem is a proposition that can be proved to be true. An argument that establishes the truth of a proposition is called a proof. Example : Prove that if x > 2 and y > 3 then x + y > 5. Answer : Assuming x > 2 and y > 3 and adding the inequalities term by term we get: x + y > 2 + 3 = 5.

That is an example of direct proof. In a direct proof we assume the hypothesis together with axioms and other theorems previously proved and we derive the conclusion from them.

An indirect proof or proof by contrapositive consists of proving the contrapositive of the desi red impli cation, i.e., instead of proving  $p \rightarrow q$  we prove  $\neg q \rightarrow \neg p$ . Example : Prove that if x + y > 5 then x > 2 or y > 3.

Answer : We must prove that  $x + y > 5 \rightarrow (x > 2)$  (y > 3). An indirect proof consists of proving  $\neg((x > 2)$  (y > 3))  $\rightarrow \neg(x + y > 5$ ). In fact:  $\neg((x > 2)$  (y > 3)) is the same as  $(x \le 2)$  ( $y \le 3$ ), so adding both inequalities we get  $x + y \le 5$ , which is the same as  $\neg(x + y > 5)$ .

Proof by Contradiction. In a proof by contradiction or (Reductio ad Absurdum) we assume the hypotheses and the negation of the conclusion, and try to derive a contradiction, i.e., a proposition of the form r  $\diamondsuit$   $\neg$ r.

Example : Prove by contradiction that if x + y > 5 then either x > 2 or y > 3.

Answer : We assume the hypothesis x + y > 5. From here we must conclude that x > 2 or y > 3. Assume to the contrary that "x > 2 or y > 3" is false, so  $x \le 2$  and  $y \le 3$ . Adding those inequalities we get  $x \le 2 + 3 = 5$ , which contradicts the hypothesis x + y > 5. From here we conclude that the assumption " $x \le 2$  and  $y \le 3$ " cannot be right, so "x > 2 or y > 3" must be true.

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Remark : Sometimes it is difficult to distinguish between an indirect proof and a proof bv contradiction. In an indirect proof we prove an implication of the form p → q by proving the contrapositive  $\neg q$ → ¬p. In an an proof bv c ontr adi ction we pro ve s (which may or may not be an implication) by assuming  $\neg s$ and In fact proofs by contradiction are more general contradiction. than indirect proofs. contradiction that 2 is not <del>a r</del>ational number, i.e., there are no Exerc CSE : Prove by integers a, b such that 2 = a/b. Answer : Assume that 2 is rational, i.e., 2 = a/b, where a and b are integers and the fraction is written in least terms. Squaring both sides we have  $2 = a^2 / b^2$ , hence  $2 b^2$  $= a^{2}$ . Since the left hand side is even, then  $a^{2}$  is even, but this implies that a itself is even, so  $a = 2 a^{!}$ . Hence:  $2 b^{2} = 4 a^{!2}$ , and simplifying:  $b^{2} = 2 a^{!2}$ . This implies that  $b^2$  is even, so b is even:  $b = 2b^!$ . Consequently  $a/b = 2a^!/2b^! = a^!/b^!$ , contradicting the hypothesis that a/b was in least terms.

#### Arguments, Ru les of I nfe rence:

An argument is a se- quence of propositions  $p_1, p_2, \ldots, p_n$  called hypotheses (or premCSEs) followed by a proposition q called conclusion. An argument is usually written:



The argument is called valid if q is true whenever  $p_1$ ,  $p_2$ , ...,  $p_n$  are true; otherwCSE it is called invalid.

Rules of inference are<br/>a proof step by step.certain simple arguments known to be valid and used to make<br/>for instance the following argument is called modus ponens or<br/>rule of detachment :

 $p \rightarrow q p$ 

## �q

In order to check whether it is valid we must examine the following truth table:

р	q	р	→ p	q	
Т	Т	Т	Т	Т	
Т	F	F	Т	F	
F	Т	Т	F	Т	
F	F	Т	F	F	

If we look now at the rows in w hich both  $p \rightarrow q$  and p are true (just the first row) we see that also q is true, so the argument is val id.

Other rules of inference are the following:

1.	Modus Ponens or Rule of	f De	tachment:
			$p \rightarrow q$
			p
			q
2.	Modus Tollens:		
			$p \to q$
		·	$\neg q$ $\neg p$
3.	Addition:		
			p
			$p \vee q$
4.	Simplification:		
		_	$p \wedge q$
		1.	p
5.	Conjunction:		

Arguments are usually written using three columns. Each row con- tains a label, a statement and the reason that justifies the introduction of that statement in the argument. That justification can be one of the following:

1. The statement is a prem CSE.

2. The statement can be derived from statements occurring earlier in the argument by using a rule of inference.

Example :Consider the following statements: "I take thebus or I walk. If I walkIget tired.I do not get tired.Therefore I take the bus."We can formalize thisbycalling B= "I take the bus",W = "I walk" and T = "I get tired". The premCSEsareB

 $\mathbf{O} W$ ,  $W \rightarrow T$  and

 $\neg T$  , and the conclusion is B. The argument can be described in the following steps:

step	state t	eme	enreason	l	
1)	W →	Т			
2)	¬Τ		P remC	SE	
3)	¬W		1,2, Mc	dus Tolle	ens
4)			Prem C	SE	
5)	В 🏟	W	4,3, Dis	junctive	Syllogism
	Ŷ	В			

#### Qua ntifi ed Sta tements:

We state the rules for predicates with one variable, but they can be gener- alized to pre dicates with two or more variables.

1. Universal Instantiation. If  $\mathbf{\Phi} x p(x)$  is true, then p(a) is true for each specific element a in the universe of discourse; i.e.:

 $\mathbf{\hat{v}}_{x p(x)}$ 

🏶 p(a)

For instance, from  $\mathbf{O} x (x + 1 = 1 + x)$  we can derive 7 + 1 = 1 + 7.

2. Existential Inst antia tion. If  $\oint x p(x)$  is true, then p(a) is true for some specific element a in the universe of discourse; i.e.:



🏶 p(a)

The difference respect to the previous rule is the restriction in the meaning of a, which now represents some (not any) element of the universe of discourse. So, for instance, from  $\mathbf{O} \times (x^2 = 2)$  (the universe of discourse is the real numbers) we derive

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the existence of some element, which we may represent  $\pm 2$ , such that  $(\pm 2)^2 = 2$ . 3. Universal Generalization. If p(x) is proved to be true for a generic

element in the universe of discourse, then

 $\mathbf{P}$  x p(x) is true; i.e.:

p(x)

# $\mathbf{\hat{v}} \ \mathbf{\hat{v}}_{x \ p(x)}$

By "generic" we mean an element for which we do not make any assumption other than

its b elonging to the universe of discourse. So, for instance, we can prove  $\mathbf{\hat{v}}x[(x + 1)^2]$ 

 $x^{2} + 2x + 1$ ] (say, for real numbers) by assuming that x is a generic real number and + 2xusing algebra to prove  $(x + 1)^{2} = x^{2}$  + 1.

4. E xistential Generalization. If p(a) is true for some specific ele- ment a in the universe of discourse, then  $\oint x p(x)$  is true; i.e.:

p(a)

x p(x)

For instance: from 7 + 1 = 8 we can derive  $\oint x (x + 1 = 8)$ .

Example : Show that a counterexample can be used to disprove a universal statement, i.e., if a is an element in the universe of discourse,

then from  $\neg p(a)$  we can derive  $\neg \mathbf{P} x p(x)$ . An swer : The argument is as follows:

ster	o statement	reason	
1)	¬p(a)		Prem CSE
2)	$\mathbf{O}_{\mathbf{X} \neg \mathbf{p}(\mathbf{X})}$		Existential Generalization
3)	$\neg \mathbf{O} x p(x)$		Negation Universal Statement

# <u>Properties of the Integers</u> <u>MATHEMA TICAL INDUCTION:</u>

The method of mathematical induction is based on a principle called the induction principle .

#### **I NDUCTION PRINCIPLE:**

The induction principle states as follows : let S(n) denote an open statement that involves a positive integer n .suppose that the following conditions hold ;

- 1. S(1) is true
- 2. If whenever S(k) is true for some particular, but arbitrarily chosen  $k \in \mathbb{Z}^+$ , then S(k+1) is true. Then S(n) is true for all  $n \in \mathbb{Z}^+$ . Z+ denotes the set of all positive integers.

Suppose we wish to prove that a certain statement S(n) is true for all integers  $n \ge 1$ , the

method of proving such a statement on the basis of the induction principle is calledd the method of mathematical induction. This method consist of the following two steps, re spectively called the basis step and the induction step

- 1) Basis step: verify that the statement S(1) is true ; i.e. verify that S(n) is true for n=1.
- 2) Induction step: assuming that S(k) is true , where k is an integer≥1, show that S(k+1) is true.

Many properties of positive integers can be proved by mathematical induction.

## **Principle of Mathematical Induction:**

Let P be a prop- erty of positive integers such that:

- 1. Basis Step: P (1) is true, and
- 2. Inductive Step: if P(n) is true, then P(n + 1) is true. Then

P (n) is true for all positive integers.

Remark : The prem CSE P (n) in the inductive step is called Induction Hypothesis.

The validity of the Principle of Mathematical Induction is obvious. The basis step states that P(1) is true. Then the inductive step implies that P(2) is also true. By the inductive step again we see that P(3) is true, and so on. Consequently the property is true for all positive integers.

Remark : In the basis step we may replace 1 with some other integer m. Then the

conclusion is that the property is truefor every integer n greaterthan or equal tom.Example : Prove that the sum of then first odd positive integers is

 $n^2$ , i.e.,  $1 + 3 + 5 + \dots + (2n - 1)$  '  $n^2$ .

Answer : Let S(n) ' $1 + 3 + 5 + \cdots + (2n - 1)$ . We want to prove by induction that for every positive integer n, S(n) ' $n^2$ .

- 1. Basis Step: If n '1 we have S(1) '1 '1<sup>2</sup>, so the property is true for 1.
- 2. Inductive Step: Assume (Induction Hypothesis ) that the prop- erty is true for some positive integer n, i.e.: S(n) '  $n^2$ . We must prove that it is also true for n + 1, i.e., S(n + 1) '  $(n + 1)^2$  . In fact:

S(n + 1) '  $1 + 3 + 5 + \dots + (2n + 1)$  ' S(n) + 2n + 1.

But by induction hypothesis, S(n) '  $n^2$ , hence:

S(n + 1) '  $n^2$  + 2n + 1 '  $(n + 1)^2$ .

This completes the induction, and shows that the property is true for all positive integers.

Example : Prove that  $2n + 1 \le 2^m$  for  $n \ge 3$ .

Answer : This is an example in which the property is not true for all positive integers but only for integers greater than or equal to 3.

1. Basis Step: If n '3 we have 2n + 1'  $2 \cdot 3 + 1$ '7 and  $2^{m}$  ' $2^{3}$ '8, so the property is true in this case.

2. Inductive Step: Assume (Induction Hypothesis ) that the prop- erty is true for some positive integer n, i.e.:  $2n + 1 \le 2^m$ . We must prove that it is also true for n + 1, i.e.,  $2(n + 1) + 1 \le 2^{m+1}$ . By the induction hypothesis we know that  $2n \le 2^m$ , and we also have that  $3 \le 2^m$  if  $n \ge 3$ , hence

2(n + 1) + 1 '  $2n + 3 \le 2^m + 2^m$  '  $2^{m+1}$ .

This completes the induction, and shows that the property is true for all  $n \ge 3$ .

Exerc CSE : Prove the following identi ties by induction:

n (n + 1)• 1 + 2 + 3 + ••• + n '
2n (n + 1) (2n + 1)
• 1<sup>2</sup> + 2<sup>2</sup> + 3<sup>2</sup> + ••• + n<sup>2</sup> '
6

•  $1^3 + 2^3$  +  $3^3 + \cdots + n^3$  '  $(1 + 2 + 3 + \cdots + n)^2$ .

StrongForm of M athemati cal Induct ion:Let P be a propertyof positive integers such that:

1. Basis Step: P (1) is true, and

2. Inductive Step: if P (k) is true for all  $1 \le k \le n$  then P (n + 1) is true.

Then P (n) is true for all positive integers.

```
Example : Prove that every integer n \ge 2 is prime or a product of primes. Answer :
```

1. Basis Step: 2 is a prime number, so the property holds for

n'2.

2. Inductive Step: Assume that if  $2 \le k \le n$ , then k is a prime number or a product of primes. Now, either n + 1 is a prime number or it is not. If it is a prime number

then it verifies the property. If it is not a prime number, then it can be written as the 1 < 1,

k k < n + 1. By

induction hypothesis  $e_{\text{product of primes.}}$  of  $k_1$  and  $k_2$  must be a prime or a product of primes, hence n + 1 is a

This completes the proof.

The W ell-Orde ring Pr inci pleEvery nonempty set of positive integers has a smallest element. $\sqrt{}$ --a quotient ofExample : Prove that2 is irrational (i.e., 2 cannot be written aspositive integers)using the well-ordering principle. $\sqrt{}$ -- $\sqrt{}$ - $\sqrt{}$ ---

Hence starti	ng with a	fractional	representation	of 2'a/	b we end	up with	anothe
fractional repre	esentation	2' b/a <sup>!</sup> w	vith a smaller	numerator	b < a. F	Repeating	the
same argumen	t with	the fraction	b/a <sup>!</sup> we getano	ther fracti	on with	an even s	maller
numerator, and cann ha ot e	so on. av si a	So the set of malle st	possible nume contra dict	rators of a ing the	fraction well- ordering	representi prin	√ ng iciple
Conseque ly, <b>Reccurence re</b>	nt our Iations	assumptio	n that 2 is	rational	has	to be t	false.

Here we look at recursive definitions under a d ifferent point of view. Rather than will be considered as equations that we must definitions they solve. The point is that a recursive definition is actually a def-inition when there is one and only one object satisfying it, i.e., when involved in that definition have a the equations unique solution. Also, the solution to those quations may provide a closed-form (explicit) formula for the object defined.

The recursive step in a recursive definition is also called a recurrence relation. We will focus on kth-order linear recurrence relations, which are of the form

 $C_0 x_m + C_1 x_{m-1} + C_2 x_{m-2} + \cdots + C_k x_{m-k}$  ' bm,

where C<sub>0</sub> ' O. If b<sub>m</sub> ' O the recurrence relation is called homogeneous. OtherwCSE it is called non-homogeneous.

The basis of the recursive definition is also called initial conditions of the recurrence. So, for instance, in the recursive definition of the Fibonacci sequence, the recurrence is

 $F_m + F_{m-1} + F_{m-2}$ or  $F_m - F_{m-1} - F_{m-2} + O$ ,

and the initial conditions are

F<sub>0</sub> 'O, F<sub>1</sub> '1.

One way to solve some recurrence relations is by iteration, i.e., by using the recurrence repeatedly until obtaining a explicit close-form formula. For instance consider the following recurrence relation:

Xm 'r Xm-1	(n > O);	x0 · A.	
By using the recurrence	repeatedly we get:	( (	
Xm 'r Xm-1 'r	2 ' r	Xm-3 ľm	x 'A <sub>m</sub> o r ,

hence the solution is  $x_m$  'A  $r^m$ .

Example : Assume that a country with currently

population growth rate (birth rate minus death rate) of 1% per year, and it also receives 100 thousand immigrants per year (which are quickly assimilated and reproduce at the same rate as the native population). Find its population in 10 years from now. (Assume that all the immigrants arrive in a single batch at the end of the year.)

ī.01 —

Answer : If we call  $x_n$  'population in year n from now, we have:

 $x_n$  '1.01  $x_{n-1}$  + 100,000 (n > 0);  $x_0$  '100,000,000.

This is the equation above with r (1.01, c, 100, 000 and A)100, 000, 00, hence:  $1.01^{n} -$ 

$$x_{n}$$
, 100, 000, 000 • 1.01<sup>n</sup> + 100, 000  
+ 1000 (1.01<sup>n</sup> - 1).  

$$x_{n}$$
, 100, 000, 000 • 1.01<sup>n</sup> + 100, 000  
+ 1000 (1.01<sup>n</sup> - 1).  

$$x_{n}$$
, 100, 000, 000 • 1.01<sup>n</sup> + 100, 000

The second particular case is for r  $\cdot$  1 and cm  $\cdot$  c + d n, where c and d are constant (so cm is an arithmetic sequence):

 $x_{m}$  ' $x_{m-1}$  + c + d n (n > O);  $x_{0}$  'A.

The solution is now

$$\begin{array}{c} m & d & n (n + 1) \\ X & & 1 \end{pmatrix} \\ x_{m} & A + (c + d k) & A + c n + \\ k = 1 & 2 \end{array}$$

Second Order Recurrence Relations. relation

$$C_0 x_m + C_1 x_{m-1} + C_2 x_{m-2} + O$$
.

First we will look for solutions of the form  $x_m$  '  $c r^m$ . By plugging in the equation we get:

$$C_0 c r^m + C_1 c r^{m-1} + C_2 c r^{m-2}$$
 ' O ,

Page 38 hence r must be a solution of the following equation, called the characteristic equation of the recurrence:

$$C_0 r_2 + C_1 r + C_2$$
 ' O .

Let r<sub>1</sub> , r<sub>2</sub> be the two (in general complex) roots of the above equation. They are called characteristic roots. We distinguish three cases:

1. Distinct Real Roots. In this case the general solution of the recurrence relation is

$$x_{m}$$
 '  $c_{1} r^{m} + c_{2} r^{m}$ ,  
1 2

w here  $c_1$ ,  $c_2$  are ar bitrary constants.

2. Double Real Root. If r1 ' r2 ' r, the general solution of the recurrence relation is

$$x_{m}$$
 '  $c_{1} r^{m} + c_{2} n r^{m}$ ,

w here c1, c2 are ar bitrary constants.

3. Complex Roots. In this case the solution could be expressed in the same way as in the case of distinct real roots, but in

order to avoid the use of complex numbers we write  $r_i - r e^{\alpha i}$ ,

r<sub>2</sub> - r e<sup>$$-\alpha i$$</sup>, k<sub>i</sub> - c<sub>i</sub> + c<sub>2</sub>, k<sub>2</sub> - (c<sub>i</sub> - c<sub>2</sub>) i, which yields:<sup>i</sup>  
x<sub>m</sub> - k<sub>i</sub> r<sup>m</sup> cos n\alpha + k<sub>2</sub> r<sup>m</sup> sin n\alpha .

#### **RE CURSIVE DEFINITIONS:**

RECURRENCE RELAT IONS:- The important methods to express the recurrance formula in explict form are

- 1) BACKTRACKING METHOD
- 2) CHARACTERISTIC EQUATION METHOD

#### **BACKTRACKING METHOD:**

This is suitable method for linear non-homogenous recurrence relation of the type

 $x_n = r \ge n-1 + s$ 

The general method to find explicit formula

 $xn = r^{n-1}x_1 + s(r^{n-1}-1)/(r-1)$  where  $r \neq 1$  is the general explicit

## CHARACTERISTIC EQUATION METHOD:

This is suitable method to find an explicit formula for a linear homogenous recurrance relation

#### LINEAR HOMOGENOUS RELATION :

A recurrence relation of the type  $a_n = r_1 a_{n-1} + r_2$   $a_{n-2} + \dots + r_k a_{n-k}$  where  $r_i$  's' are constants is a linear ho mogenous recurrence relation (LHRR) of degree k

- 1) A relation  $c_n = -2 c_{n-1}$  is a LHRR of degree 1.
- 2) A relation  $x_n = 4 x + 5$  is a linear non HRR because  $2^{nd}$  term in RHS is a constant. It doesn't contain  $x_{n-2}$  factor.

3) A relation  $x_n = x_{n-1} + 2x_{n-2}$  is a LHRR of degree 2

4) A relation  $x_n = x + x_{n-2}$  is a non linear , non HRR because the 1 term in RHS is a second degree term.

#### CHARACTERISTIC EQUATION:

a  $n = r_1 a_{n-1} + r_2 a_{n-2} + \dots + r_k a_{n-k}$ ..(1) is a LHRR of degree K .  $x^k = r_1 x^{k-1} + r_2 x^{k-2} + \dots + r_k$  is called characteristic equation.

- Let  $a_n = r_1 a_{n-1} + r_2 a_{n-2}$  be LHRR of degree 2. its characteristic equation is  $x^2 = r_1 x + r_2$  or  $x^2 r_1 x r_2 = 0$ . if the characteristic equation has 2 distinct roots  $e_1$ ,  $e_2$  then the explicit formula of the recurrence relation in  $a_n = u e_{n-1} + v e_n$  2where u and v depends on the initial values.
- Let  $a_n = r_1 a_{n-1} + r_2 a_{n-2}$  be a LHRR of degree 2. Its characteristic equation is  $x^2 r_1 x r_2 = 0$  if the characteristic equation has repeated roots e, then the explicit formula is  $a_n = u e^n + v n e^n$  where u and v depends on the initial values.

## Module 3:

## **Relations and Functions:**

- ▲ Cartesian Products and Relations,
- Functions Plain and One-to-One, Onto Functions
- Stirling Numbers of the Second Kind, Special Functions,
- ▲ The Pigeon-hole Principle,
- Function Composition and Inverse Functions.

# <u>Relations</u>

# <u>Introduction</u>

**Product set:** If A and B are any 2 non-empty sets then the product set of A and B are the Cartesian product or product of A and B.

$$A X B = \{(a, b) / (a \in A, b \in B)\}$$

$$A X B \neq B X A$$

<u>Example</u>: (a) Let,  $A = \{1, 2, 3\}B = \{a, b\}$ 

Then,  $A \times B = \{(1, a), (1, b), (2, a), (2, b), (3, a), (3, b)\}$ 

```
BXA = \{(a, 1), (a, 2), (a, 3), (b, 1), (b, 3)\}
```

2), (b, 3)} A X B  $\neq$  B x A

(b) Let,  $A = \{1, 2\}$  B =  $\{a, b\}$  C= $\{x, y\}$ 

 $B X C = \{(a, x), (a, y), (b, x), (b, y)\}\$ 

 $A X (B X C) = \{(1, (a, x)), (1, (a, y)), (1, (b, x)), (1, (b, y)), ($ 

(2, (a, x)) (2, (a, y)), (2, (b, x)), (2, (b, y))}

A X B = {(1, a), (1, b), (2, a), (2, b)}

 $(A \times B) \times C = \{((1, a), x), ((1, a), y), ((1, b), x), ((1, b), y), \}$ 

\*Remarks:

a. A X (B X C) = (A X B) X C

b. A X A = 
$$A^2$$

c. If R is the set of all real numbers then  $R \times R = R^2$ , set of all points in plane.

d. (a, b) = (c, d) if a = c and b = d

**Partition set:** Let 'A' be a non-empty set. A partition of 'A' or quotient set of 'A' is a collection P of subsets of

'A' such that.

(a) Every element of A belongs to some set in P

(b) If  $A_1$  and  $A_2$  are any two distinct members of P, then  $A_1 n A_2 = \phi$ .

(c) The members of P are called 'blocks' or 'cells'.

Example:

Let,

A = {1, 2, 3, 4, 5} then,

 $\mathsf{P}_1 = \{\{1, 2, 3\}, \{4\}, \{5\}\}$ 

 $P_2 = \{\{1, 5\}, \{4, 3\}, \{2\}\}$ 

 $\mathsf{P}_3 = \{\{1\}, \{2\}, \{3\}, \{4\}, \{5\}\}$ 

**<u>Relations</u>**: Let A and B be any two non-empty sets. A relation R from a set A to the set B is a subset of A  $\times$  B.

If (a, b)  $\in$  R then we write a R b, otherwCSE we write a R b (ie. a not related to b). –

Example:

Let,

A = {1, 2, 3, 4, 5}, Let R be a relation on A defined as a R b if a<br/>b. R = {(1, 2), (1, 3), (1, 4), (1, 5) (2, 3), (2, 4), (2, 5), (3, 4), (3, 5), (4, 5)}

=> R � A X A.

Domain of R: Dom (R) = {1, 2, 3, 4} � A

Range of R: Ran (R) =  $\{2, 3, 4, 5\}$  B

Dom (R) = { $x \in A / x R y$  for some  $x \in A$ }

Ran (R) =  $\{y \in B / x R y \text{ for some } y \in B\}$ 

**<u>R</u>** - **<u>Relative set:</u>** If R is a relation from A to B and if  $x \in A$  then the R relative set of x is defined as

$$R(x) = \{y \in B / x R y\}$$

If  $A_1 \clubsuit A$  then the R relative set of  $A_1$  is defined as,

 $R (A_1) = \{y \in B/x R y \text{ for some } x \in A_1\}$ 

= U R(x) for  $x \in A_1$ 

Example:

Let,

 $A = \{a, b, c, d\}$   $R = \{(a, a), (a, b), (b, c), (c, a) (c, b) (d, a)\}$   $R(a) = \{a, b\}$   $R(b) = \{c\}$ 

R (c) = {a, b} R (d) = {a} Let,  $A_1 = \{a, c\}$  be a subset of A, Then, R (A<sub>1</sub>) = R (a) U R (c)  $= \{a, b\} U \{a, b\}$ 

= {a, b}

Matrix of a relation / Relation Matrix: Let  $A = \{a_1, a_2, a_3 \dots a_m\}$  and B =  $\{b_1, b_2, b_3\dots b_n\}$  be any two finite sets.

Let R be relation from A to B then the matrix of the relation R is defined as the m x n matrix,

$$M_R = [M_{ij}]$$

Where  $M_{ij} = 1$ , if  $(a_i, b_j) \in R$ 

Example:

(a)Let,

$$A = \{1, 2, 3\}$$
 and  $B = \{x, 4\}$ 

$$\mathsf{R} = \{(1, x) \ (1, 4), \ (2, 4) \ (3, x)\}$$



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 $R = \{(a_1, b_2) (a_1, b_4) (a_2, b_2) (a_2, b_3) (a_3, b_1) (a_3, b_3)\}$ 

**Digraph of a relation:** Let A be a finite set and R be a relation on A. Then R can be represented pictorially as follows,

(a)Draw a small circle for each element of A and label the circles with the corresponding e lement of A. These circles are called "Vertices".

(b)Draw an arrow from  $a_i$  to  $a_j$  if  $a_i R a_j$ . These arrows are called "edges".

(c)The resulting picture representing the relation R is called the "directed graph of R" or "digraph of R".

Example:

(a)Let, A be equal to the set

 $A = \{1, 2, 3, 4\}$ R = {(1, 1), (1, 3), (2, 1), (2, 3), (3, 2), (4, 3)}

Diagram:



The "indegree" of a EA is the number of elements b E A such

that b R a. The "outdegree" of a  $\in$  A is the number of

elements b E A such that a R b

Elements	Indegree	Outdegree
1	2	2
2	1	2
3	3	1
4	0	1

(b) If A = {1, 2, 3, 4} and B = {1, 4, 6, 8, 9} and R: A  $\rightarrow$ B defined by

a R b if  $b = a^2$ . Find the domain, Range, and  $M_R$ 

 $A = \{1, 2, 3, 4\}$   $B = \{1, 4, 6, 8, 9\}$ 

$$R = \{(x, y)/x A, y B and y = X^2\}$$

 $R = \{(1, 1), (2, 4), (3, 9)\}$ 

Domain: Dom (R) = {1, 2, 3}

Range: Ran (R) =  $\{1, 4, 9\}$ 

$$10 000$$

$$M_r = 00 001$$

$$00 001$$

## Properties of a relation:

1. **Reflexive:** Let R be a relatio n on a set A.

The "R is reflexive" if (a, a)  $\in R \forall$  a  $\in A$  or a R a, V a  $\in A$ .

Example:  $A = \{1, 2, 3\}$ 

 $\mathsf{R} = \{(1, 1), (2, 2), (1, 2), (3, 2), (3, 3)\}\$ 

Therefore, R is reflexive.

A relation R on a set A is "non-reflexive" if 'a' is not relation to 'a' for some a  $\in$ A or (a,

a)  $\mathbf{O}$ R for some a  $\in$  A

A = {1, 2, 3}

 $R = \{(1, 1), (2, 1), (3, 2), (3, 3)\}$ 

=> (2, 2) **\textcircled{R}** Therefore, R is *not-reflexive*.

2. Irreflexive: A relation R on a set A is irreflexive if a

R a, ∀ a ∈ A. Example: R = {(1, 2) (2, 1) (3, 2) (3, 1)}

(1, 1), (2, 2) (3, 3)

Page

A relation R on a set A is "not irreflexive" if 'a' is not Relation to 'a' for some a  $\in$  A.

Example:  $R = \{(1, 1) (1, 2) (2, 1) (3, 2) (3, 1)\}$ 

(1, 1)  $\in$  R hence R is "not irreflexive". 3. **Symmetric Relation:** Let R be a relation on a set A, then R is "symmetric" if whenever a R b, then b R a; V a  $\in$  A, b  $\in$  A.

Example: Let  $A = \{1, 2, 3\}$  and  $R = \{(1, 1) (1, 2) (2, 1) (3, 2) (2, 3)\}$ 

Therefore, R is symmetric.

A relation R on a set A is said to be "not symmetric" if a R b and b R a for some a, b  $\in$  A. -

Example:  $A = \{1, 2, 3\}$  and  $R = \{(1, 2), (3, 2), (1, 3), (2, 1), (2, 3)\}$ 

Therefore, R is not symmetric.

4. **A symmetric:** Let R be a relation on a set A then R is "Asymmetric", if whenever a R b then b R a,  $\forall$  a, b  $\in$  A.

$$R = \{(1, 2), (1, 3) (3, 2)\}$$

Therefore, R is asymmetric.

A relation R on a set A is said to be "not Asymmetric" if a R b and b R a for some a, b  $\in$  A R = {(1, 1) (1, 2) (1, 3) (3, 2)}

R is not symmetric.

5.**Anti - symmetric:** Let R be a relation on a set A, then R is anti symmetric if whenever a R b and b R a then a = b (for some a,  $b \in A$ )

Example: Let,  $A = \{1, 2, 3\}$  and  $R = \{(1, 1), (1, 2), (3, 2)\}$ 

R is anti-symmetric  $\in$  1R1 and 1 = 1.

Exampl e:  $R = \{(1, 2) (2, 1)\}$ 

1R2, 2R1 but 2 = 1 hence R is not anti symmetric.

6. **T ransitive Property:** Let R be a relation on a set A, then R is transitive if whenever a R b and b R c, then a R c V a, b, c  $\in$  A.

<u>Example</u>: Let,  $A = \{1, 2, 3\}$  and  $R = \{(1, 1), (1, 3), (2, 3), (3, 1), (2, 1), (3, 3)\}$  (all should satisfy)

**Equivalence relation:** A Relation R is said to be an equivalence relation if it is, Reflexive (b) Symmetric and

(c) Transitive.

Therefore, R is an equivalence Relation.

# Symmetric: Let a R b

=> € 1R2

2 is not Related to 1 and also b is not

Related to a Hence, R is not symmetric

T ransitive: Let a R b and b R c

=> 1 R 2 and 2 R 3 but, 1 is not Related to 3 and also a is not Related to c

Hence, R is not transitive.

Therefore, R is not an equivalence Relation.

b.  $R = \{(1, 2), (2, 1), (1, 3), (3, 1), (2, 3), (3, 2)\}$ 

**Reflexive:**  $a \neq a \lor a \in A$ 

=> 1 R1, 2 R 2, 3 R 3 not true,

Hence, R is not reflexive

Symmetric: Let a R b

=> 1 R 3 => 3 R 1 => b R a

Hence, R is symmetric.

T ransitive: Let a R b and b R c

=> 1 R 2 and 2 R 3 => 1 R 3

=> a R c

Hence, R is transitive

Therefore, R is not an equivalence Relation. c. A =  $\{1, 2, 3\}$ 

 $R = A \times A = \{(1, 1)(1, 2)(1, 3)(2, 1)(2, 2)(2, 3)(3, 1)(3, 2)(3, 3)\}$ 

It is reflexive, symmetric and transitive and hence R is an equivalence

Relation.

**Theorem:** "Let R be an equivalence relation on a set A, and P be the collection of all distinct R - relative set of A. Then P is a partition of A, and R is the equivalence relation

determined by P"

OR

"Show that an e quivalence relation R in a set S which is non-empty, determine a partition of S"

*Proof:* Given,  $P = \{R(a) | V a \in A\}$ 

We know that  $\forall$  a  $\in$  A, we have, a R a

=> (a, a) E R

=> a E R (a)

Therefore, for every e lement of A belongs to one of the sets of P.

If R (a) and R (b) are 2 distinct relative sets R(a) n R(b) =  $\Phi$ 

If possible, let  $x \in R$  (a) n R (b)

 $=>x \in R$  (a) and  $x \in R$  (b)

= a R x and b R x

This partition determines the relation R in the sense that a R b if a and b belong to the same block of the partition.

Hence proved.....

**\*NOTE:** The partition of a set A determined by an equivalence relation R is called the partition induced by R and is denoted by A/R.

# Manipulation of relations:

1. **Complement:** Let R be a relation from A to B. The complement of R is a relation
defined as a R b if a  $R^{\sim}$  b, where  $R^{\sim}$  is the complement of R.

= (a, b) R<sup>~</sup> if (a, b) R<sup>~</sup>

2. **Union:** Let R and S be 2 relations from A to B. The union R U S is a relation from A to B defined as,

a (R U S) b if either a R b or a S b

That is  $(a, b) \in R \cup S$  if either  $(a, b) \in R$  or  $(a, b) \in S$ .

3. **Intersection:** Let Rand S be relations from A to B. The intersection R n S is a relation from A to B defined as,

a (R n S) b if a R b and a S b

That is  $(a, b) \in R n S$  if  $(a, b) \in R$  and  $(a, b) \in S$ .

4. **Inverse:** Let R be a relation from A to B. The inverse  $R^{-1}$  is a relation from B to A defined as, a R b if b  $R^{-1}$  a

i.e., (a, b)  $\in \mathbb{R}$  if (b, a)  $\in \mathbb{R}^{-1}$ 

**Composition of relations:** Let Rand S be relations from A to Band B to C respectively. The composition of Rand S is the

relation S o R from A to C defined as,

a(S o R) c if there-exist b E B/a R b and b S c.

 $R^2 = R \circ R = \{(a, a), (a, c) (a, b) (b, a) (b, c) (b, b) (c, a) (c, b) (c, c)\} S^2 = S \circ S = \{(a, a) (b, b) (b, c) (b, a) (c, a) (c, c)\}$ 

**Reflexive closure:** Let R be a relation on a set' A'. Suppose R lacks a particular property, the smallest relation that contain R and which, processes the desired property is called the closure of R with respective a property in question.

Given a relation R on a set' A' the relation R1 = (A U R) is the "reflexive closure of R".  $\hfill \Delta$ 

#### <u>Example</u>: $A = \{1, 2, 3\}$

 $R = \{(1, 1)(1,2)(2, 1)(1,3)(3, 2)\}$  find the reflexive closure of R.

Solution: We know that, R is not reflexive because  $(2, 2) \in \text{Rand} (3, 3) \in \text{R}$ .

Now,  $A = \{(1, 1) (2, 2) (3, 3)\}$ 

Therefore,  $R_1 = R \cup A = \{(1, 1) (1, 2) (2, 1) (2, 2) (1, 3) (3, 2) (3, 3)\}$ 

 $R_1$  is the reflexive closure of R.

**Symmetric closure :** If R is not symmetric then there exists (x, y) A such that  $(x, y) \in R$ , but  $(y, x) \in R$ . To make R symmetric we need to add the ordere d pairs of  $R^{-1}$ .

 $R_1 = R U R^{-1}$  is the "symmetric closure of R".

 $A = \{1, 2, 3\}$ 

 $R = \{(1, 1) (1, 2) (2, 1) (1, 3) (3, 2)\}$  find the symmetric closure of R.

Solution: We know that, R is not symmetric because  $(1, 3) \in R$  but  $(3, 1) \in R$  and (3, 2)

 $\in R$  but (2, 3)  $\in R$ .

*Example:*  $R^{-1} = \{(1, 1) (2, 1) (1, 2) (3, 1) (2, 3)\}$ Therefore,  $R_1 = R \cup R^{-1} = \{(1, 1) (1, 2) (2, 1) (1, 3) (3, 1) (3, 2) (2, 3)\}$ 

 $R_1$  is called the symmetric closure of R.

**Transitive closure:** Let R be a relation on a set A the smallest transition relation containing R is called the "Transitive closure of R".

# <u>Functions</u>

# Introduction

A person counting students present in a class assigns a number to each student under consideration. In this case a correspondence between two sets is established: between students understand whole numbers. Such correspondence is called functions. Functions

are central to the study of physics and enumeration, but they occur in many other situations as well. For instance, the correspondence between the data stored in computer memory and the standard symbols a, b, c... z, 0, 1,...9,?,!, +... into strings of O's and I's for digital processing and the subsequent decoding of the strings obtained: these are functions. Thus, to understand the general use of functions, we must study their properties in the general terms of set theory, which is will be we do in this chapter.

**Definition:** Let A and B be two sets. A function f from A to B is a rule that assigned to each element x in A exactly one element y in B. It is denoted by f:  $A \rightarrow B$ 

#### Note:

- 1. The set A is called domain of f.
- 2. The set B is called domain of f.

**Value of f:** If x is an element of A and y is an element of B assigned to x, written y = f(x) and call function value of f at x. The element y is called the image of x under f.

Example:  $A = \{1, 2, 3, 4\}$  and  $B = \{a, b, c, d\}$ 

 $R = \{(1, a), (2, b), (3, c), \}$ 

 $\{4, d\} = \{(I, b), (I, d),$ 

(2, d)}

Therefore, R is a function and S is not a function. Since the element 1has two images

band d, S is not a function.

Example: Let  $A = \{1, 2, 3, 4\}$  determine whether or not the following relations on A are



functions.



1. f= {(2, 3), (1, 4), (2, 1), (312), (4, 4)}

(Since e lement 2 has 2 images 3 and 1, f is not a function.)

2.g={(3,1),(4,2),

(1,1)} g is a

function

 $3.h = \{(2,1), (3,4), (1,4), (2,1),$ 

(4,4)} h is a function

4. Let A= {0, ±1, ±2, 3}. Consider the function F: A→ R, where R is the set of all real numbers, defined by  $f(x) = x^3 - 2x^2 + 3x + 1$  for x A. Find the range of f.

f(0)=1

- f(1) = 1 2 + 3 + 1 = 3
- f(-1) = -1 2 3 + 1 = -5
- f(2) = 8-8-6+1=7

f(-2) =-8-8-6+1= -21

f(3) = 27 - 18 + 9 + 1 = 19

Range = {1, 3,-5, 7,-21, 19}

5. If A= {0,  $\pm 1$ ,  $\pm 2$ } and f: A  $\rightarrow$  R is defined by f(x) =x<sup>2</sup>-x+1,

 $x \diamondsuit A$  find the range. f (0) =1

f(1)=1-

- 1+1=1 f (-1)
- =1+1+1=3 f
- (2) =4-
- 2+1=3 f (-2)
- =4+2+1=7

 $Range = \{1, 3, 7\}$ 

#### Types of functions:

#### 1. Everywhere defined -2

A function f: A  $\sim$  B is everywhere defined if domain of f equal to A  $\,$  (dom f

= A)



A function f: A  $\rightarrow$ B is onto or surjection if Range of f = B. In other words, a function f is surjection or onto if for any value *l* in B, there is at least one element x in A for which f(x) = y.

#### 3. Many to one function

A function F is said to be a many-to-one function if a :f=b, f(a) = f(b), where (a, b) E A.

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Example:



Here, 1: f=2 but f (1) = f (2), where 1,2 E A

# 4. One-to-one function or injection

A function f: A  $\rightarrow$ B is one-to-one or injection if (a) =f (b) then a = b,

where a, b E A. In other words if a: f=b then f (a): f=f (b).

### 5. Bijection function

A function f: A  $\rightarrow$ B is Bijection if it is both onto and one-to-one.

### 6. Invertible function



A function f: A ---+ B is said to be an invertible function if its inverse relation, f-I is a function from  $B \rightarrow A$ .

If f: A  $\rightarrow$ B is Bijection, then [-I: B ---+A exists, f is said to be invertible.

Example: Y = f(x) = x+1

Here , dom f=A

### 2. Onto or surjection function



 $f^{-1} B \rightarrow A$ 

 $B = \{b_1, b_2, b_3\} C = \{ c_1, c_2\} D = \{d_1, d_2, d_3, d_4\}$ 

Let  $f_1: A \rightarrow B$ ,  $f_2: A \rightarrow D$ ,  $f_3: B \rightarrow C$ ,  $f_4: D \rightarrow B$  be functions defined as follows,

1.  $f1 = \{(a1, b2) (a2, b3) (a3, b1)\}$ 

2.  $f_2 = \{(a_1, d_2) (a_2, d_1) (a_3, d_4)\}$ 

3. 
$$f_3 = \{(b_1, c_2)(b_2, c_2) (b_3, c_1)\}$$

4.  $f_4 = \{ (d_1, b_1) (d_2, b_2) (d_3, b_1) \}$  **Identity function** A function f: A~ A such that f (a) = a, 'if a  $\in$  A is called the identity function or identity mapping on A. Dom (t) = Ran (t) = A

### **Constant function**

A function **f**: A  $\rightarrow$  B such that f (a) =c, aa b dom (f) where c is a fixed element of B, is called a constant function.

$$\begin{array}{c} A \\ \hline \\ 1 \\ 2 \\ 3 \\ 4 \end{array}$$

# Into function

A function f: A  $\rightarrow$  B is said to be an into function if there exist some b in B which is not the image of any a in A under f.



3 is not the image of any element.

#### **One-to-one correspondence**

If f: A  $\rightarrow$  B is everywhere defined and is Bijective, then corresponding to every a A there is an unique b B such that b=f(a) and corresponding to every b B there is an unique a A such that f(a)=b. for this reason a everywhere defined bijection function from A  $\rightarrow$  B is called as one-one correspondence from A  $\rightarrow$  B

# **Composition of function**

Let f: A (B and g: B (C is any 2 functions, and then the composition of f and g is a function g o f: A (C defined as, g of (a) =g [f (a)] (C, (a (dom f).

#### Inverse function

Consider a function f: A (B. Then f is a relation from A to B with Dom (f) (A and Ran (f) (B. Its inverse, f -1, is a relation from B to A which is such that if whenever (a, b) (f then (b, a) (f -1)

Also, Dom (f - 1) = Ran (f)Ran (f - 1) = Dom (f) and

(f - 1) - 1 = f

#### Definition

A function f: A (B is invertible if it is inverse relation f -1 is a function from B to A. Then, f -1 is called the inverse function of f.

Ex: let  $A = \{a, b, c, d\}$  and  $B = \{e, f, g, h\}$  and f: A (B be a function defined by

f(a) = =e, f(b) = e, f(c) = h, f(d) = g

Then, as a relation from A to B, f reads

 $f = \{(a, e), (b, e), (c, h), (d, g)\}$ 

And  $f^{-1}$  is a relation from B to A, given by

 $f^{-1} = \{(e, a), (e, b), (h, c), (g, d)\}$ 

Now, Dom  $(f^{-1}) = [e, h, g] = Ran(f)$  and

Ran  $(f^{-1}) = \{a, b, c, d\} = A = Dom (f)$ 

Also,  $(f^{-1})^{-1} = f$ 

Although f<sup>-1</sup> is a relation from B to A, it is not function from B to A, because e is related to two elements 'a' and 'b' under f -1.

Let  $A = \{1,2,3,4\}$  and  $B = \{5,6,7,8\}$  and the function f: A ( B defined by

f(1) = 6, f(2) = -8, f(3) = 5, f(4) = 7

Then,  $f = \{(1, 6), (2, 8), (3, 5), (4, 7)\}$ 

f -1 = {(6, 1), (8, 2), (3, 5), (7, 4)}

In this case, f -1 is not only a relation from B to A but a function as well.

#### Characteristic function Introduction

Characteristic function is a special type of function. It is very useful in the field of computer science. Through this function one can tell whether an element present in the set or not. If the function has the value 1 then the particular element belongs to the set and if it has value 0 then the element is not present in the set.

### Definition

Associated with the subset a of  $\mathbf{\hat{v}}$  we can define a characteristic function of A over  $\mathbf{\hat{v}}$  as f:  $\mathbf{\hat{v}} \rightarrow \{0, 1\}$  where

$$f_{A}(x) = \begin{cases} & \text{if } x \clubsuit A \\ & 0 & \text{if } x \clubsuit A \end{cases}$$

# Properties of the characteristics function

 $f_{A n B}(x) = (x).$ 1.  $f_{A}f_{A}(x) = (x)$ Proof:

i. if x � AnB then x � A and x � B

$$(x) =_A$$

$$\mathbf{\hat{v}}$$
 f 1 and f<sub>B</sub>(x) = 1

• f  $(x)_{AnB} = 1 = f(x)$ . Af  $(x)_B$ x • AnB then  $(x)_{AnB} = 0$ . but if x • AnB then x • A and ii. if f x • B  $(x) =_A$ • f 0 and f  $_B(x) = 0$ 

 $f(x)_{AnB} = 0 = f(x). Af(x) B$ From case 1 and 2

 $(Ax_n)_B = f$ f (x).**A** f (x) <sub>B</sub>  $f_{AUB}(x)$  (x) f(x) - Bf(x).  $f_A$ = f +A (X) B

### **Proof:**

Ŷ

i. Let  $x \diamondsuit$  AUB then f<sub>AUB</sub> (x) = 1. But if x \diamondsuit AUB then there are three cases **case1:** let x � A but not in B then  $f_A(x) = 1$  and  $f_B(x)=0$  � f  $(x) =_{AU_{1B}} = f_A$ (x)

```
+ f_{A}(x) - f_{A}(x) . f
```

<sub>B</sub> (x) [Because

1+0+0]

#### case2:

x � B but not in A let Then  $f_B(x) = 1$   $f_A(x) =$ and 0  $(x_{AUB}) = 1 = f_A(x) + f_B(x) - f_{..}f_B$ <sub>A</sub> (x) Ŷ (x) f [Because 0+1-0] case3: let x � A and x � B Then  $f_A(x) = 1$   $f_B(x) =$ 1 and  $(x_{AUB}) = 1 = f_A(x) + f_B(x) - f_{..}f_B$ Ŷ  $f_{A}(x)$ (x) [Because 1+1-1] (X  $f_{A}(x) = f_{A}(x) + f_{B}(x) - f_{A}(x) \cdot f_{B}(x)$ f

Let  $x \spadesuit A \cup B$  then  $f_{A\cup B}(x) = 0$ 

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### DISCRETE MATHEMATICAL STRUCTURES

x � A U B then x � A and x � B then

 $f_A(x) = 0$  and  $f_B(x) = 0$ 

 $\begin{array}{l} \bullet & f_{AUB}(x) = 0 = f_A(x) + f_B(x) - f_A(x) \cdot f_B(x) \\ \mbox{[because 0+0-1]} \\ \bullet & From case i and ii. \\ \bullet & f_{AUB}(x) = f_A(x) + f_B(x) - f_A(x) \cdot f_B(x) \\ \mbox{A summarized if for a new is constant.} \end{array}$ 

A symmetric difference is associative on sets

To prove (A � B) � C = A � (B � C) we have to prove

```
f(A \otimes B) \otimes C(X) = f A \otimes (B \otimes C)(X)
                                                 � x
LHS = f(A \Leftrightarrow B) \Leftrightarrow C
= f (D \diamond C) where D = A \diamond B
= f_{D} + f_{c} - 2 f_{D} f_{c}
= f_{c} + f_{D} (1-2 f_{c})
= f_D + f_A \oplus B (1-2 f_c)
= f_{c} + (f_{A} + f_{B} - 2 f_{A} f_{B}) (1 - 2 f_{c})
= f_{c} + f_{A} + f_{B} - 2 f_{A} f_{B} - 2 f_{A} f_{C} - 2 f_{B} f_{C} + 4 f_{A} f_{B} f_{C}
= f_A + (f_B + f_C - 2f_B f_C) - 2f_A (f_B + f_C - 2f_B f_C)
= f_A + f_B + f_C - 2 f_B f_C (1 - 2 f_A)
= f_A + f_B \otimes_C (1 - 2 f_A)
= f_A + f_B \otimes c - 2 f_A f_B \otimes c
= f A �(B�C)
= RHS
```

 $(A \diamondsuit B) \diamondsuit C = A \diamondsuit (B \And C)$ 

#### **Permutation function**

A permutation on 'A' is a bijection of 'A' onto itself. Let 'A' =  $\{a1, a2, a3, \dots, a_n\}$ . Where A is a finite set, if P is a permutation on A then P can be represented as ,

P = a1 a a3-----an2 P(a1 P(a2 P(a3)-----P(an)))

This is called as two line notation of a pe rmutation of A.

**NOTE:** (a) if |A| = n, then there n! Permutation on A

(b) The composition of two permutations is again a permutation called Product of permutation.

#### Cycle

Consider a permutation P of a set  $A = \{a1, a2, a3, -----an\}$ 

In this permutation suppose r e lements of A say {b1, b2, b3, ------ br} are such that P (b1) =b2, P (b2) =b3, .....P(b<sub>r-1</sub>) =b<sub>r</sub>, P(b<sub>r</sub>) =b<sub>1</sub>, and the re maining el ements of A are images of themselves, Then P is called a cycle of length 'r', and is denoted by (b1, b2, b3 ...... br).

Example 1:

P(1) = 3; P(3) = 4; P(4) = 1

(1, 3, 4) forms a cycle of length 3.

 $\bullet$ In P the elements (1, 3, 4) forms a cycle and '2' remains unchanged.

P is a cycle of

length 3. Example 2:

A = {1, 2, 3, 4, 5, 6} and P = 1 2 3 4 5 63 2 4 6 5 1P(1) = 3; P(3) = 4; P(4) = 6; P(6) = 1

(1, 3, 4, 6) forms a cycle (2 and 5 remain unchanged)

P is a cycle of length 4.

#### **T** ransposition

A cycle of length 2 is called a "transposition" if  $A = \{a1, a2, a3, \dots an\}$ then  $P = (ai, aj), i \neq j$  is a transposition of A.

Example:

 $A = \{1, 2, 3, 4, 5, 6\}$  compute

1.(4, 1, 3, 5) o (5, 6, 3) and

2.(5, 6, 3) o (4, 1, 3, 5)

$$P_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 2 & 5 & 1 & 4 & 6 \end{pmatrix}$$

$$P_2 = (5, 6, 3) = \begin{array}{c} 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 2 & 5 & 4 & 6 & 3 \end{array}$$

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#### Even and odd permutations

Example:

A = {1, 2, 3, 4, 5, 6, 7, 8} find whether the following pe rmutation are even or odd 1. P =

 $P = (1, 3) \circ (1, 8) \circ (1, 6) \circ (1, 4)$ 

P is an even permutation.

a. P = (4, 8) o (3, 5, 2, 1) o (2, 4, 7, 1)
 P = (4, 8) o (3, 1) o (3, 5) o (2, 1) o (2, 7) o (2, 4)
 ♥ P is an odd permutation because gn per is expressed as a composition of odd number of transportation.

Note: Product of even-even permutation is even

Product of even-odd permutation is odd

### Product of odd-odd permutation is odd

Even pe rmutation cannot be expressed in terms of odd

Odd permutation cannot be expressed in terms of even.

#### Hashing function Introduction

Suppose we want to store the mail address of all voters of a large city in n number of files, numbered from 0 to n-1, in such a way that the file containing the address any chosen voter can be located almost instantly. The following is one way of doing this task First, to each voter let us assign a unique positive integer as an identification number. Next, to each identification number, let us assign a unique positive integer called a key. The keys can be such that two identification numbers can have the same key but two different keys are not assigned to the same identification number.

Therefore the number of identification number will be equal to the number of voters , but the number, of keys can be less than the no. of identification number.

#### Definition

Let A denote the set of all keys and  $B = \{0, 1, 2, \dots, (n-1)\}$ , the set of all files. Consider an everywhere defined function ' $h_n$ ;  $h_n : A \rightarrow B$  specified by  $h_n$ (a) = r, where r is the remainder, r= a/n and a  $\clubsuit A$ . This function determines a unique r. for any specified a  $\clubsuit A$ , this r will be one and only one of the numbers from 0 to n-1, (both inclusive).

The function  $h_n$  is called hashing function. For this function a set of all keys is domain.

**NOTE:** The key need not be different from the identification number. If the keys are identical with the identification number, then the domain of the hashing function is the set of all identification number.

# Module 4:

#### **Relations** *contd*.:

- ▲ Properties of Relations,
- ▲ Computer Recognition Zero-One Matrices and Directed Graphs,
- ▲ Partial Orders Hasse Diagrams,
- Equivalence Relations and Partitions.

**Definition and Properties** 

A binary relation R from set x to y (written as xRy or R(x,y)) is a subset of the Cartesian product  $x \times y$ . If the ordered pair of G is reversed, the relation also changes.

Generally an n-ary relation R between sets  $A_1$ , ... , and  $A_n$  is a subset of the n-ary product  $A_1 \times ...$ 

 $\times$  A<sub>n</sub>. The minimum cardinality of a relation R is Zero and maximum is n<sup>2</sup> in this case.

A binary relation R on a single set A is a subset of  $A \times A$ .

For two distinct sets, A and B, having cardinalities *m* and *n* respectively, the maximum cardinality of a relation R from A to B is *mn*.

Domain and Range

If there are two sets A and B, and relation R have order pair (x, y), then –

- x The **domain** of R is the set {  $x | (x, y) \diamondsuit R$  for some y in B }
- x The **range** of R is the set  $\{ y | (x, y) \diamondsuit R \text{ for some } x \text{ in } A \}$

#### Examples

Let,  $A = \{1, 2, 9\}$  and  $B = \{1, 3, 7\}$ 

- x Case 1 If relation R is 'equal to' then  $R = \{(1, 1), (3, 3)\}$
- x Case 2 If relation R is 'less than' then  $R = \{(1, 3), (1, 7), (2, 3), (2, 7)\}$
- x Case 3 If relation R is 'greater than' then  $R = \{(2, 1), (9, 1), (9, 3), (9, 7)\}$

A relation can be represented using a directed graph.

The number of vertices in the graph is equal to the number of elements in the set from which the relation has been defined. For each ordered pair (x, y) in the relation R, there will be a directed edge from the vertex 'x' to vertex 'y'. If there is an ordered pair (x, x), there will be self-loop on vertex 'x'.

Suppose, there is a relation R = {(1, 1), (1,2), (3, 2)} on set S = {1, 2, 3}, it can be represented by the following graph –



Types of Relations

- x The **Empty Relation** between sets X and Y, or on E, is the empty set  $\boldsymbol{\diamond}$
- x The **Full Relation** between sets X and Y is the set  $X \times Y$
- x The **Identity Relation** on set X is the set  $\{(x, x) | x \diamondsuit X\}$
- x The Inverse Relation R' of a relation R is defined as − R' = {(b, a) | (a, b) 
  R} Example − If R = {(1, 2), (2, 3)} then R' will be {(2, 1), (3, 2)}
- x A relation R on set A is called **Reflexive** if a A is related to a (aRa holds). **Example** – The relation R = {(a, a), (b, b)} on set X = {a, b} is reflexive
- x A relation R on set A is called **Irreflexive** if no a **\textcircled{}** A is related to a (aRa does not hold). **Example** The relation R = {(a, b), (b, a)} on set X = {a, b} is irreflexive

x A relation R on set A is called **Symmetric** if xRy implies yRx,  $\mathbf{\hat{\psi}} \times \mathbf{\hat{\psi}} A$  and  $\mathbf{\hat{\psi}} \times \mathbf{\hat{\psi}} A$ .

**Example** – The relation R =  $\{(1, 2), (2, 1), (3, 2), (2, 3)\}$  on set A =  $\{1, 2, 3\}$  is symmetric.

x A relation R on set A is called **Anti-Symmetric** if xRy and yRx implies  $x = y \diamondsuit x \diamondsuit A$  and  $\diamondsuit y \diamondsuit A$ .

**Example** – The relation  $R = \{(1, 2), (3, 2)\}$  on set  $A = \{1, 2, 3\}$  is antisymmetric.

- x A relation R on set A is called **Transitive** if xRy and yRz implies xRz,  $\clubsuit$ x,y,z  $\diamondsuit$  A. **Example** – The relation R = {(1, 2), (2, 3), (1, 3)} on set A = {1, 2, 3} is transitive
- x A relation is an **Equivalence Relation** if it is reflexive, symmetric, and transitive.

**Example** – The relation R = {(1, 1), (2, 2), (3, 3), (1, 2), (2, 1), (2, 3), (3, 2), (1, 3), (3, 1)} on set A = {1, 2, 3} is an equivalence relation since it is reflexive, symmetric, and transitive.

#### COMPUTER RECOGNITION-ZERO-ONE MATRICES AND DIRECTED GRAPHS

In this section, we will discuss two alternative methods for representing relation, one method used (ZERO-ONE) matrices, the other method uses directed graphs. These methods are recognised in Computer Science.

#### (i) Method (Using Zero-one Matrices)

Suppose A and B are both finite sets and R is a relation from A to B, then R may be represented as a matrix called the relation matrix of R.

Definition: (Relation Matrix)

m

 $A = \{a_1, a_2, ..., a_m\}$  and  $B = \{b_1, b_2, ..., b_n\}$ If

are finite sets containing m and n elements respectively and R is a relation from A to B, then we can represent the relation R by an  $m \times n$  matrix, called relation matrix, denoted by

where

$$M_{R} = [m_{ij}]_{m \times n};$$
  
$$m_{ij} = \begin{cases} 1, \text{ if } (a_{i} \ b_{j}) \in R\\ 0, \text{ if } (a_{i} \ b_{j}) \notin R \end{cases}$$

where  $m_{ij}$  is the element in the i<sup>th</sup> now j<sup>th</sup> column. The matrix representing R has a '1' as its  $i \equiv j$  entry when a, is related to b, and a '0' in this position if a, is not related to b<sub>r</sub>

(Such a representation depends on the orderings used for A and B).

#### **Definition:**

Let  $A = (a_n)$  and  $B = (b_n)$  be  $m \times n$  (zero-one) matrices. Then the join of A are B is the zero-one matrix  $(i, j)^{\text{th}}$  entry  $a_{ij} \vee b_{ij}$ .

The join of A and B is denoted by  $A \vee B$ . The meet of A and B is the zero matrix with (i, j)th entry.

 $a_{ij} \wedge b_{ij}$  The meet of A and B is denoted by  $A \wedge B$ .

For example,

If 
$$A = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$
 and  $B = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$ 

Then the joint of A and B is

$$(A \lor B) = \begin{bmatrix} 1 \lor 0 & 0 \lor 1 & 1 \lor 0 \\ 0 \lor 1 & 1 \lor 1 & 0 \lor 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

and meet of A and B is  $(A \land B) = \begin{bmatrix} 1 \land 0 & 0 \land 1 & 1 \land 0 \\ 0 \land 1 & 1 \land 1 & 0 \land 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$ 

Note: A relation matrix reflects some of the properties of a relation: .

- (a) The matrix of a reflexive relation has a '1' on all its principal diagonal elements.
- (b) R is symmetric iff m<sub>ij</sub> = 1 whenever m<sub>ij</sub> = 1, This also means m<sub>ji</sub> = 0 whenever m<sub>ij</sub> = 0. Consequently R is symmetric if and only if m<sub>ij</sub> = m<sub>ji</sub> for all pairs of integers i and j with i = 1 to n and j = 1 to n. R is symmetric iff M<sub>R</sub> = (M<sub>R</sub>)<sup>T</sup>
- (c) R is antisymmetric of  $m_{ij} = 1$  with  $i \neq j$ , then  $m_{ij} = 0$ . In other words, either  $m_{ij} = 0$  or  $m_{ji} = 0$ when  $i \neq j$

#### **Working Rule**

To write the relation matrix for a given relation:

"From a rectangular array whose rows are labelled by the elements of A and whose columns are labelled by the elements of B. Then put the integer '1' in each position of the array where  $a \in A$  is related to  $b \in B$  i.e., when  $(a, b) \in R$  and put 0 in the remaining positions i.e., where  $(a, b) \notin R$ . This final array, is the matrix  $M_R$  of the relation R".

7. Let $A = \{1$	, 2, 3	and	$R = \begin{cases} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0$	(x, y)	/	} fin	d M	1 <sub>0</sub> .					
Solution			l	/	<i>x</i> <	y J		~					
Solution. $R = 1$	(1.2)	(1 3)	(2 3)3										
The table an	d.com	(1, 5), espon	ding r	elatio	n m	atrix for	the	rel	ation	Rare	give	n helou	<i>,</i> .
The table an	CON	copon	umbri	ciucio	1	au ix ioi	un		ation	n are	Bive	in below	*
		1	2	3			-		-				
	1	0	1	1			0	1	1				
	2	0	0	1	;	$M_R =$	0	0	1				
	3	0	0	1			0	0	0				
	(a)					(b)							
Define matri Solution: Given: R = { The table and	(1, y),	(1, z),	(3, y),	f R. (4, x) elatio	, (4, n m	z)} atrix for	the	R	ziven	below			
		<i>x</i>	v	z									
	1	0	1	1			Г	) 1	1]				
	2	0	ò	0		M	- 6	) (					
	3	0	1	0		MR -							
×	4	1	0	1			L	) (	0]				
	(a)					(b)							

#### 5.5 HASSE DIAGRAM

A Hasse diagram is a pictorial representation of a finite partial order on a set. In this representation, the objects i.e., the elements are shown as vertices (or dots).

Two related vertices in the Hasse diagram of a partial order are connected by a line if and only if they are related.

*Example 1* Let  $A = \{3, 4, 12, 24, 48, 72\}$  and the relation  $\leq$  be such that  $a \leq b$  if a divides b. The Hasse diagram of  $(A, \leq)$  is shown in Fig. 5.1.



#### 12.2.2 Equivalence Relations and Partitions

Equivalence relations merit additional exposition. One notable application of equivalence relations occurs when the chain of familiar number systems,  $\mathbb{N} \subseteq \mathbb{Z} \subseteq \mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$ , is carefully constructed. In particular, when moving from the integers,  $\mathbb{Z}$ , to the set of rational numbers,  $\mathbb{Q}$ , it is common to define the elements of  $\mathbb{Q}$  by starting with ordered pairs of integers such that the second coordinate is not 0. The first coordinate represents the numerator and the second coordinate represents the denominator. One complication is that the ordered pairs (1, 2) and (2, 4) really represent the same rational number (the fraction  $\frac{1}{2}$ ). Equivalence relations provide a mechanism for combining the infinitely many representations for the same number into a single element of the new set,  $\mathbb{Q}$ . Additional details will be presented later in this section.

A previous example from a Quick Check will motivate the major new idea.

# EXAMPLE 12.9 Congruence Classes mod 5

Quick Check 12.3 on page 739 introduced the equivalence relation

 $\mathcal{R}_4 = \{(x, y) \in \mathbb{N} \times \mathbb{N} \mid (x \mod 5) = (y \mod 5)\}$ 

It is easy to see that if  $(x, y) \in \mathcal{R}_4$ , then x - y = 5n, for some integer, n. Definition 3.19 on page 98 provides an alternate description:  $(x \mod 5) = (y \mod 5)$  if and only if  $x \equiv y \pmod{5}$ .

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There is a natural partitioning<sup>15</sup> of ℕ into the *congruence classes* mod 5:

Remainder 0 {0, 5, 10, 15, 20, 25, ... } Remainder 1 {1, 6, 11, 16, 21, 26, ... } Remainder 2 {2, 7, 12, 17, 22, 27, ... } Remainder 3 {3, 8, 13, 18, 23, 28, ... } Remainder 4 {4, 9, 14, 19, 24, 29, ... }

Every pair of natural numbers from the same congruence class is in the relation,  $\mathcal{R}_4$ . Any two numbers from different congruence classes are not in the relation.

The phenomenon of being able to partition the elements of a set into natural subsets defined by an equivalence relation is not unique to congruences in  $\mathbb{N}$ . It happens with every equivalence relation.

**DEFINITION 12.17** Equivalence Class

Let  $\mathcal{R}$  be an equivalence relation on a set,  $\mathcal{A}$ , and let  $x \in \mathcal{A}$ . The equivalence class of x is denoted by [x] and is defined as

 $[x] = \{a \in \mathcal{A} \mid (x, a) \in \mathcal{R}\}$ 

The set  $\{[x] \mid x \in A\}$  is referred to as the set of equivalence classes *induced by* R on A. The element, x, that appears in the notation "[x]" is called a *class representative*.

#### ✔ Quick Check 12.5

Let  $\mathcal{A}$  be the set of all students who reside on campus at a particular college or university. Let  $\mathcal{R} \subseteq \mathcal{A} \times \mathcal{A}$  be the relation defined by  $(x, y) \in \mathcal{R}$  if and only if x and y live in the same residence hall (dorm).

Show that R is an equivalence relation.
 Determine the equivalence classes of R.

#### PROPOSITION 12.18 Equivalence Classes Are Disjoint

Let  $\mathcal{R}$  be an equivalence relation on a set,  $\mathcal{A}$ . If x and y are two elements in  $\mathcal{A}$ , then either [x] = [y] or else  $[x] \cap [y] = \emptyset$ .

#### **Proof:**

#### Case 1: $(x, y) \in \mathcal{R}$

If  $(x, y) \in \mathcal{R}$ , then  $y \in [x]$  (by Definition 12.17). Since  $\mathcal{R}$  is symmetric, it is also true that  $(y, x) \in \mathcal{R}$ , so  $x \in [y]$ .  $\mathcal{R}$  is reflexive, so  $x \in [x]$ . Thus,  $[x] \cap [y] \neq \emptyset$ .

Suppose that  $a \in [x]$ . Then  $(x, a) \in \mathcal{R}$ . Since  $\mathcal{R}$  is symmetric,  $(a, x) \in \mathcal{R}$  is also true. But then the transitivity of  $\mathcal{R}$ , combined with  $(a, x) \in \mathcal{R}$  and  $(x, y) \in \mathcal{R}$  implies that  $(a, y) \in \mathcal{R}$  and (by symmetry)  $(y, a) \in \mathcal{R}$ . Consequently,  $a \in [y]$  and  $[x] \subseteq [y]$ . A similar argument shows that  $[y] \subseteq [x]$ . The conclusion is that [x] = [y] whenever  $x\mathcal{R}y$ .

#### Case 2: $(x, y) \notin \mathcal{R}$

Suppose that  $(x, y) \notin \mathcal{R}$  but that  $a \in [x]$  and  $a \in [y]$ . Then  $(x, a) \in \mathcal{R}$  and  $(y, a) \in \mathcal{R}$ . Using the symmetry and transitivity of  $\mathcal{R}$ , it is then true that  $(x, y) \in \mathcal{R}$ , a contradiction. The contradiction arose by assuming that [x] and [y] had a common element. The conclusion is that  $[x] \cap [y] = \emptyset$ .

<sup>15</sup> See Defintion 2.13 on page 24.

Since an equivalence relation is reflexive,  $(x, x) \in \mathcal{R}$  for every  $x \in \mathcal{A}$ . Consequently,  $x \in [x]$ . The partitioning phenomenon that was observed in Example 12.9 is fully developed in the next theorem.

One consequence of Proposition 12.18 is that any one of the elements in an equivalence class may be unambiguously used as the class representative.

#### THEOREM 12.19 Equivalence Relations and Partitions

Let A be a set.

- If R is an equivalence relation on A, then the equivalence classes of R form a
  partition of A.
- · Every partition of A determines an equivalence relation on A.

#### **Proof:**

#### Equivalence Relation Implies Partition

Let  $\mathcal{R}$  be an equivalence relation on  $\mathcal{A}$ . Proposition 12.18 implies that the equivalence classes induced by  $\mathcal{R}$  are disjoint. Since every element, x, in  $\mathcal{A}$  is in an equivalence class, [x], every element of  $\mathcal{A}$  is in some equivalence class. Therefore, the equivalence classes form a partition of  $\mathcal{A}$ ; they are disjoint and their union is all of  $\mathcal{A}$ .

#### Partition Implies Equivalence Relation

Let  $P_{\mathcal{A}} = \{\mathcal{A}_i \subseteq \mathcal{A} \mid i \in \Upsilon\}$  for some set of indices,  $\Upsilon$ .<sup>16</sup> Assume also that  $\mathcal{A}_i \cap \mathcal{A}_j = \emptyset$  if  $i \neq j$  and  $\mathcal{A} = \bigcup_{i \in \Upsilon} A_i$ .  $P_{\mathcal{A}}$  is a partition of  $\mathcal{A}$ .

Define a relation, R, on A by

 $(x, y) \in \mathcal{R}$  if and only if  $x \in \mathcal{A}_i$  and  $y \in \mathcal{A}_i$ , for a common  $i \in \Upsilon$ 

It is an easy exercise to show that  $\mathcal{R}$  is an equivalence relation whose equivalence classes are the members of  $P_{\mathcal{A}}$ .

It is now time to provide the missing details for deriving the rational numbers from the integers.
# Module 5:

#### Groups:

- ▲ Definitions, properties,
- ▲ Homomrphisms,
- ▲ Isomorphisms,
- Cyclic Groups,
- Cosets, and Lagrange's Theorem.

# **Coding Theory and Rings:**

- ▲ Elements of CodingTheory,
- ▲ The Hamming Metric,
- The Parity Check, and Generator Matrices.

# **Group Codes:**

- ▲ Decoding with Coset Leaders,
- ▲ Hamming Matrices.

# **Rings and Modular Arithmetic:**

- ▲ The Ring Structure Definition and Examples,
- ▲ Ring Properties and Substructures, The Integer modulo n

#### **GROUPS**

#### **Introduction:**

#### **Definitions, Examples, and Elementary Properties:**

In <u>m athematics</u>, a **discrete group** is a group *G* equipped with the <u>discrete topology</u>. With this topology *G* becomes a <u>topological group</u>. A **discrete subgroup** of a topological group *G* is a <u>subgroup</u> *H* whose <u>relative topology</u> is the discrete one. For example, the <u>integers</u>, **Z**, form a discrete subgroup of the <u>reals</u>, **R**, but the rational numbers, **Q**, do not.

Any group can be given the discrete topology. Since every map from a discrete space is <u>continuous</u>, the topological homomorphisms between discrete groups are exactly the <u>group</u> <u>homomorphisms</u> between the underlying groups. Hence, there is an <u>isomorphism</u> between the category <u>of groups and the category</u> of discrete groups. Discrete groups can therefore be identified with their underlying (non-topological) groups. With this in mind, the term **discrete group theory** is used to refer to the study of groups without topological structure, in contradistinction to topological or Lie group theory. It is divided, logically but also technically, into finite group theory, and infinite group theory.

There are some occasions when a <u>topological group</u> or <u>Lie group</u> is usefully endowed with the discrete topology, 'against nature'. This happens for example in the theory of the <u>Bohr</u> <u>com pactification</u>, and in <u>group cohomology</u> theory of Lie groups.

#### Properties:

Since topological groups are <u>homogeneous</u>, one need only look at a single point to determine if the group is discrete. In particular, a topological group is discrete if and only if the single<u>ton containing the identity is an open set</u>.

A discrete group is the same thing as a zero-dimensional <u>Lie group</u> (<u>uncountable</u> discrete groups are not <u>second-co untable</u> so authors who require Lie groups to satisfy this axiom do not regard these groups as Lie groups). The identity <u>component of a discrete group</u> is just the <u>trivial subgroup</u> while the <u>group of components</u> is isomorphic to the group itself.

Since the only <u>Hausdorff topology</u> on a finite set is the discrete one, a finite Hausdorff topological group must necessarily be discrete. It follows that every finite subgroup of a Hausdorff group is discrete.

A discrete subgroup *H* of *G* is co compact if there is a <u>compact subset</u> *K* of *G* such that HK = G.

Discrete <u>normal subgroups</u> play an important role in the theory of <u>covering groups</u> and locally isomorphic groups. A discrete normal subgroup of a <u>connected</u> group *G* necessarily lies in the <u>center</u> of *G* and is therefore abelian.

Other *p* roperties:

- every discrete group is totally di sconnected
- every subgroup of a discrete group is discrete.
- every <u>quotient</u> of a discrete group is discrete.
- the product of a finite number of discrete groups is discrete.
- a discrete group is compact if and only if it is finite.
- every discrete group is locally compact.
- every discrete subgroup of a Hausdorff group is closed.
- every discrete subgroup of a compact Hausdorff group is finite.

#### Examples:

- <u>Frieze groups and wallpaper groups are discrete subgroups of the i sometry group of</u> the Euclidean plane. Wallpaper groups are cocompact, but Frieze groups are not.
- A space group is a discrete subgroup of the i sometry group of Euclidean space of some dimension.
- A <u>crystallographic group</u> usually means a cocompact, discrete subgroup of the isometries of some Euclidean space. Sometimes, however, a <u>crystallographic</u> <u>group</u> can be a cocompact discrete subgroup of a n ilpotent or solvable Lie group.
- Every <u>triangle group</u> *T* is a discrete subgroup of the isometry group of the sphere (when *T* is finite), the Euclidean plane (when *T* has a **Z** + **Z** subgroup of finite index), <u>or the</u> hyperbolic plane.
- <u>Fuchsian groups</u> are, by definition, discrete subgroups of the isometry group of the hyp<u>erbolic plane.</u>
  - o A Fuchsian group that preserves orientation and acts on the upper half-plane model of the hyperbolic plane is a discrete subgroup of the Lie

group PSL(2,**R**), the group of orientation preserving isometries of the <u>upper</u> <u>half-plane</u> model of the hyperbolic plane.

o A Fuchsian group is sometimes considered as a s pecial case of a <u>Kleinian</u> <u>group</u>, by embedding the hyperbolic plane isometrically into three dimensional hyperbolic space and ex tending the group action on the plane

to the whole space.

- o The <u>modular group</u> is PSL(2,**Z**), thought of as a discrete subgroup of PSL(2,**R**). The modular group is a lattice in PSL(2,**R**), but it is not cocompact.
- <u>Kleinian groups</u> are, by definition, discrete subgroups of the isometry group of <u>hyperbolic 3-space</u>. These include <u>quasi- Fuchsian groups</u>.
  - o A Kleinian group that preserves orientation and acts on the upper half space model of h yperbolic 3-space is a discrete s ubgroup of the Lie group PSL(2,**C**), the group of o rientation preserving isometries of the <u>upper half-space</u> model of h yperbolic 3-space.
- A <u>lattice</u> in a <u>Lie group</u> is a discrete subgroup such that the <u>Haar measure</u> of the quotient space is finite.

# Group homomorphism:



Image of a Group homomorphism(**h**) from **G**(left) to **H**(right). The smaller oval inside **H** is the image of **h**. **N** is the kernel of **h** and **aN** is a <u>coset</u> of **h**.

In <u>mathematics</u>, given two <u>groups</u> (*G*, \*) and (*H*, ·), a **group homomorphism** from (*G*, \*) to (*H*, ·) is a <u>function</u>  $h : G \to H$  such that for all *u* and *v* in *G* it holds that

$$h(u * v) = h(u) \cdot h(v)$$

where the group operation on the left hand side of the equation is that of G and on the right hand side that of H.

From this property, one can deduce that h maps the <u>identity element</u>  $e_G$  of G to the identity element  $e_H$  of H, and it also maps inverses to inverses in the sense that

 $h(u^{-1}) = h(u)^{-1}$ .

Hence one can say that *h* "is compatible with the group s tructure".

Older notations for the homomorphism h(x) may be  $x_h$ , though this may be confused as an index or a general subscript. A more recent trend is to write group homomorphisms on the right of their arguments, omitting brackets, so that h(x) becomes simply x h. This approach is especially prevalent in areas of group theory where <u>automata</u> play a role, since it accords better with the convention that automata read words from left to right.

In areas of mathematics where one considers groups endowed with additional structure, a *homomorphism* sometimes means a map which respects not only the group structure (as above) but also the extra structure. For example, a homomorphism of to<u>pological groups is</u> often required to be continuous.

#### The category of groups

If  $h : G \to H$  and  $k : H \to K$  are group homomorphisms, then so is  $k \circ h : G \to K$ . This shows that the <u>class</u> of all groups, together with group homomorphisms as morphisms, forms a category.

#### **Types of homomorphic maps**

If the homomorphism h is a <u>bijection</u>, then one can show that its inverse is also a group homomorphism, and h is called a *group isomorphism*; in this case, the groups G and H are called *is omorphic*: they differ only in the notation of their elements and are identical for all p ractical purposes.

If  $h: G \to G$  is a group h omomorphism, we call it an *endomorphism* of *G*. If furthermore it is bijective and hence an isomorphism, it is called an *automorphism*. The set of all automorphisms of a group *G*, with functional composition as operation, group, the *automorphism group* of *G*. It is denoted by Aut(*G*). As automorphism group of (**Z**, +) contains only two elements, the identity and mu ltiplication with -1; it is isomorphic to **Z**/2**Z**. An **epimorphism** is a <u>surjective</u> homomorphism, that is, a homomorphism which is *onto* as a function. A **monomorphism** is an <u>injective</u> homomorphism, that is, a homomorphism which is *one-to-one* as a function.

#### Homomorphisms of abelian groups

If *G* and *H* are <u>abelian</u> (i.e. commutative) groups, then the set Hom(G, H) of all group homomorphisms from *G* to *H* is itself an abelian group: the sum h + k of two homomorphisms is defined by

$$(h + k)(u) = h(u) + k(u)$$
 for all  $u$  in  $G$ .

The commutativity of *H* is needed to prove that h + k is again a group homomorphism. The addition of homomorphisms is compatible with the composition of homomorphisms in the following sense: if *f* is in Hom(*K*, *G*), *h*, *k* are elements of Hom(*G*, *H*), and *g* is in Hom(*H*,*L*), then

 $(h + k) \circ f = (h \circ f) + (k \circ f)$  and  $g \circ (h + k) = (g \circ h) + (g \circ k)$ .

This shows that the set End(G) of all endomorphisms of an abelian group forms a <u>ring</u>, the *endomorphism ring* of *G*. For example, the endomorphism ring of the abelian group consisting of the <u>direct sum</u> of *m* copies of  $\mathbf{Z}/\mathbf{nZ}$  is isomorphic to the ring of m-by-m <u>matrices</u> with entries in  $\mathbf{Z}/\mathbf{nZ}$ . The above compatibility also shows that the category of all abelian groups with group ho momorphisms forms a <u>preadditive category</u>; the existence of direct sums and well-behaved kernels makes this category the prototypical example of an <u>abelian category</u>.

# Cyclic group

In group theory, a **cyclic group** is a group that can be generated by a single element, in the sense that the group has an element g (called a "generator" of the group) such that, when written multiplicatively, every element of the group is a power of g (a multiple of g when the notation is additive).



The six 6th complex roots of unity form a cyclic group under multiplication. *z* is a primitive element, but  $z^2$  is not, because the odd powers of *z* are not a power of  $z^2$ .

A group *G* is called cyclic if there exists an element *g* in *G* such that  $G = \langle g \rangle = \{ g^n | n \text{ is an integer } \}$ . Since any group generated by an element in a group is a subgroup of that group, showing that the only <u>subgroup</u> of a group *G* that contains *g* is *G* itself suffices to show that *G* is cyclic.

For example, if  $G = \{ g^0, g^1, g^2, g^3, g^4, g^5 \}$  is a group, then  $g^6 = g^0$ , and G is cyclic. In fact, G is essentially the same as (that is, <u>isomorphic</u> to) the set  $\{ 0, 1, 2, 3, 4, 5 \}$  with addition <u>modulo</u> 6. For example,  $1 + 2 = 3 \pmod{6}$  corresponds to  $g^1 \cdot g^2 = g^3$ , and  $2 + 5 = 1 \pmod{6}$  corresponds to  $g^2 \cdot g^5 = g^7 = g^1$ , and so on. One can use the isomorphism  $\varphi$  defined by  $\varphi(g^i) = i$ .

For every positive integer n there is exactly one cyclic group (up to isomorphism) whose <u>order</u> is n, and there is exactly one infinite cyclic group (the integers under addition). Hence, the cyclic groups are the simplest groups and they are completely classified.

The name "cyclic" may be m isleading: it is possible to generate infinitely many elements and not form any literal cycles; that is, every  $g^n$  is distinct. (It can be said that it has one infinitely long cycle.) A group generated in this way is called an **infinite cyclic group**, and is isomorphic to the additive group of <u>integers</u> **Z**.

Furthermore, the <u>circle group</u> (whose elements are <u>uncountable</u>) is *not* a cyclic group—a cyclic group always has c<u>ountable e</u>lements.

Since the cyclic groups are <u>abelian</u>, they are often written additively and denoted  $Z_n$ . However, this notation can be problematic for <u>number theorists</u> because it conflicts with the usual notation for <u>*p*-adic number</u> rings or <u>localization</u> at a <u>prime ideal</u>. The <u>quotient</u> notations Z/nZ, Z/n, and Z/(n) are standard alternatives. We adopt the first of these here to avoid the collision of notation. See also the section <u>Subgroups and notation</u> below.

One may write the group multiplicatively, and denote it by  $C_n$ , where n is the order (which can be  $\infty$ ). For example,  $g^3g^4 = g^2$  in  $C_5$ , whereas 3 + 4 = 2 in  $\mathbb{Z}/5\mathbb{Z}$ .

#### **Properties**

The <u>fundamental theorem of cyclic groups</u> states that if *G* is a cyclic group of order *n* then every <u>subgroup</u> of *G* is cyclic. Moreover, the order of any subgroup of *G* is a divisor of *n* and for each positive divisor *k* of *n* the group *G* has exactly one subgroup of order *k*. This property characterizes finite cyclic groups: a group of order *n* is cyclic if and only if for every divisor *d* of *n* the group has at most one subgroup of order *d*. Sometimes the e quivalent s tatement is used: a group of order *n* is cyclic if and only if for every divisor *d* of *n* the group has exactly one subgroup of order *d*.

Every finite cyclic group is <u>i</u> somorphic to the group { [0], [1], [2], ..., [n - 1] } of integers modulo *n* under addition, and any infinite cyclic group is isomorphic to **Z** (the set of all integers) under addition. Thus, one only needs to look at such groups to understand the properties of cyclic groups in general. Hence, cyclic groups are one of the simplest groups to study and a number of nice p roperties are known.

Given a cyclic group G of order n (n may be infinity) and for every g in G,

- G is <u>abelian</u>; that is, their group operation is commutative: gh = hg (for all h in G). This is so since  $g + h \mod n = h + g \mod n$ .
- If *n* is finite, then  $g^n = g^0$  is the identity element of the group, since *kn* mod *n* = 0 for any integer *k*.
- If *n* = ∞, then there are exactly two elements that generate the group on their own: namely 1 and -1 for Z
- If *n* is finite, then there are exactly *φ*(n) elements that generate the group on their own, where *φ* is the <u>Euler totient function</u>
- Every subgroup of *G* is cyclic. Indeed, each finite subgroup of *G* is a group of { 0,

1, 2, 3, ... m - 1} with addition m odulo m. And each infinite subgroup of G is  $m\mathbb{Z}$  for some m, which is bijective to (so is omorphic to)  $\mathbb{Z}$ .

•  $G_n$  is isomorphic to  $\mathbb{Z}/n\mathbb{Z}$  (factor group of  $\mathbb{Z}$  over  $n\mathbb{Z}$ ) since  $\mathbb{Z}/n\mathbb{Z} = \{0 + n\mathbb{Z}, 1 + n\mathbb{Z}, 2 + n\mathbb{Z}, 3 + n\mathbb{Z}, 4 + n\mathbb{Z}, ..., n - 1 + n\mathbb{Z}\}$  addition modulo n.

More generally, if *d* is a <u>divisor</u> of *n*, then the number of elements in  $\mathbb{Z}/n$  which have order *d* is  $\varphi(d)$ . The order of the residue class of *m* is  $n / \underline{\text{gcd}}(n,m)$ .

If *p* is a <u>prime number</u>, then the only group (<u>up to isomorphism</u>) with *p* elements is the cyclic group  $C_p$  or  $\mathbb{Z}/p\mathbb{Z}$ .

The <u>direct product</u> of two cyclic groups Z / nZ and Z/mZ is cyclic if and only if *n* and *m* are cop<u>rime. Th</u>us e.g. Z/12Z is the direct product of Z/3Z and Z/4Z, but not the direct product of Z/6Z and Z/2Z.

The definition immediately implies that cyclic groups have very simple group presentation  $C_{\infty} = \langle x | \rangle$  and  $C_n = \langle x | x^n \rangle$  for finite *n*.

A <u>primary cyclic group</u> is a group of the form  $\mathbb{Z}/p^k$  where *p* is a <u>prime number</u>. The <u>fun</u> <u>damental theorem of abelian groups</u> states that every finitely generated abelian group is the direct p roduct of finitely many finite primary cyclic and infinite cyclic groups.

Z/nZ and Z are also <u>commutative rings</u>. If p is a prime, then Z/pZ is a <u>finite field</u>, also denoted by  $F_p$  or GF(p). Every field with p elements is <u>isomorphic</u> to this one.

The <u>units</u> of the ring  $\mathbf{Z}/n\mathbf{Z}$  are the numbers <u>coprime</u> to *n*. They form a <u>group under</u> <u>multiplication modulo</u> *n* with  $\varphi(n)$  elements (see above). It is written as  $(\mathbf{Z}/n\mathbf{Z})^{\times}$ . For example, when *n* = 6, we get  $(\mathbf{Z}/n\mathbf{Z})^{\times} = \{1,5\}$ . When *n* = 8, we get  $(\mathbf{Z}/n\mathbf{Z})^{\times} = \{1,3,5,7\}$ .

In fact, it is known that  $(\mathbf{Z}/n\mathbf{Z})^{\times}$  is cyclic if and only if *n* is 1 or 2 or 4 or  $p^k$  or 2  $p^k$  for an <u>odd</u> <u>prime number</u> *p* and  $k \ge 1$ , in which case every generator of  $(\mathbf{Z}/n\mathbf{Z})^{\times}$  is called a <u>primitive</u> <u>root modulo</u> *n*. Thus,  $(\mathbf{Z}/n\mathbf{Z})^{\times}$  is cyclic for n = 6, but not for n = 8, where it is instead i somorphic to the <u>Klein four-group</u>.

The group  $(\mathbf{Z}/p\mathbf{Z})^{\times}$  is cyclic with p - 1 elements for every prime p, and is also written  $(\mathbf{Z}/p\mathbf{Z})^{*}$  because it consists of the non-zero elements. More generally, every *finite* 

<u>s ubgroup</u> of the mu ltiplicative group of any <u>field</u> is cyclic.

# <u>Examples</u>

In 2D and 3D the <u>symmetry group</u> for *n*-fold rotational symmetry is  $C_n$ , of abstract group type Z<sub>n</sub>. In 3D there are also other symmetry groups which are algebraically the same, see *Symmetry groups in 3D that are cyclic as abstract group*.

Note that the group  $S^1$  of all rotations of a <u>circle</u> (the <u>circle group</u>) is *not* cyclic, since it is not even countable.

The  $n^{\text{th}}$  <u>roots of unity</u> form a cyclic group of order n under multiplication. e.g.,  $0 = z^3 - 1$ =  $(z - s^0)(z - s^1)(z - s^2)$  where  $s^i = e^{2\pi i/3}$  and a group of  $\{s^0, s^1, s^2\}$  under multiplication is cyclic.

The <u>Galois group</u> of every finite <u>field extension</u> of a <u>finite field</u> is finite and cyclic; conversely, given a finite field F and a finite cyclic group G, there is a finite field extension of F whose Galois group is G.

#### **Representation**

The <u>cycle graphs</u> of finite cyclic groups are all *n*-sided polygons with the elements at the vertices. The dark vertex in the cycle graphs below stand for the identity element, and the other vertices are the other elements of the group. A cycle consists of successive powers of either of the elements connected to the identity element.



The <u>rep resentation theory</u> of the cyclic group is a critical base case for the representation theory of more general finite groups. In the <u>complex case</u>, a representation of a cyclic group d ecomposes into a direct sum of linear c haracters, making the connection between

character theory and repre sentation theory transparent. In the <u>positive ch aracteristic case</u>, the indecomposable repre sentations of the cyclic group form a model and inductive basis for the rep resentation theory of groups with cyclic <u>Sylow subgroups</u> and more generally the representation theory of blocks of cyclic defect.

#### **Subgroups and notation**

All <u>subgroups</u> and <u>quotient groups of</u> cyclic groups are cyclic. Specifically, all subgroups of **Z** are of the form *m***Z**, with *m* an integer  $\geq 0$ . All of these subgroups are different, and apart from the trivial group (for *m*=0) all are <u>isomorphic</u> to **Z**. The <u>lattice of subgroups</u> of **Z** is isomorphic to the <u>dual</u> of the lattice of natural numbers ordered by <u>divisibility</u>. All factor groups of **Z** are finite, except for the trivial exception  $Z/{0} = Z/0Z$ . For every positive divisor *d* of *n*, the quotient group Z/nZ has precCSEly one subgroup of order *d*, the one generated by the residue class of *n/d*. There are no other subgroups. The lattice of subgroups is thus isomorphic to the set of divisors of *n*, ordered by divisibility. In particular, a cyclic group is <u>simple</u> if and only if its order (the number of its elements) is prime.

Using the quotient group formalism, Z/nZ is a standard notation for the additive cyclic group with *n* elements. In <u>ring</u> terminology, the s ubgroup *n***Z** is also the <u>ideal</u> (*n*), so the quotient can also be written Z/(n) or Z/n without abuse of notation. These alternatives do not conflict with the notation for the *p*-adic integers. The last form is very common in informal calculations; it has the additional advantage that it reads the same way that the group or ring is often described verbally, "Zee mod en".

As a p ractical problem, one may be given a finite subgroup *C* of order *n*, generated by an element *g*, and asked to find the size *m* of the subgroup generated by  $g^k$  for some integer *k*. Here *m* will be the smallest integer > 0 such that *mk* is divisible by *n*. It is therefore *n/m* where m = (k, n) is the greatest common divisor of *k* and *n*. Put another way, the ind<u>ex of</u> the subgroup generated by  $g^k$  is *m*. This reasoning is known as the **index calculus algorithm**, in number theory.

# **Endomorphisms**

The <u>endomorphism ring</u> of the abelian group  $\mathbf{Z}/n\mathbf{Z}$  is <u>isomorphic</u> to  $\mathbf{Z}/n\mathbf{Z}$  itself as a <u>ring</u>. Under this isomorphism, the number *r* corresponds to the endomorphism of  $\mathbf{Z}/n\mathbf{Z}$  that maps each element to the sum of *r* copies of it. This is a bijection if and only if *r* is coprime with *n*, so the <u>automorphism group</u> of  $\mathbf{Z}/n\mathbf{Z}$  is isomorphic to the unit group  $(\mathbf{Z}/n\mathbf{Z})^{\times}$  (see above).

Similarly, the endomorphism ring of the additive group **Z** is isomorphic to the ring **Z**. Its automorphism group is iso morphic to the group of units of the ring **Z**, i.e. to  $\{-1, +1\} \cong C_2$ .

#### Virtually cyclic groups

A group is called **virtually cyclic** if it contains a cyclic subgroup of finite <u>index</u> (the number of cosets that the subgroup has). In other words, any element in a virtually cyclic group can be arrived at by applying a member of the cyclic subgroup to a member in a certain finite set. Every cyclic group is virtually cyclic, as is every finite group. It is known that a finitely generated <u>discrete group</u> with exactly two <u>ends</u> is virtually cyclic

(for instance the <u>product</u> of Z/n and Z). Every abelian subgroup of a <u>Gromov hyperbolic</u> <u>group</u> is virtually cyclic.

#### **Group isomorphism**

In <u>abstract algebra</u>, a **group isomorphism** is a <u>function</u> between two <u>groups</u> that sets up a one-to-one corre spondence between the elements of the groups in a way that respects the given group operations. If there exists an isomorphism between two groups, then the groups are called **isomorphic**. From the standpoint of group theory, isomorphic groups have the same p roperties and need not be distinguished.

# **Definition and notation**

Given two groups (G, \*) and (H, ) a group isomorphism from (G, \*) to (H, ) is a <u>a group isomorphism from</u> is a <u>bijective function</u> is a bijective function  $f: G \to H$  is that  $f: G \to H$  is a the formula of  $f: G \to H$  is the formula of f:

$$f(u * v) = f(u) \odot f(v)$$

The two groups (G, \*) and (H, ) are isomorphic if an isomorphism exists. This is

written:

$$(G,*) \cong (H,\odot)$$

Often shorter and more simple notations can be used. Often there is no ambiguity about the group operation, and it can be omitted:

$$G \cong H$$

Sometimes one can even simply write G = H. Whether such a notation is possible without confusion or ambiguity depends on context. For example, the equals sign is not very suitable when the groups are both subgroups of the same group. See also the examples.

Conversely, given a group (*G*, \*), a set *H*, and a bijection  $f: G \to H$ , we can make *H* a group (*H*, ) by defining

$$f(u) \odot f(v) = f(u * v)$$

If H = G and = S then the bijection is an automorphism (*q.v.*)

In tuitively, group theorists view two iso morphic groups as follows: For every element g of a group G, there exists an element h of H such that h 'behaves in the same way' as g (operates with other elements of the group in the same way as g). For instance, if g generates G, then so does h. This implies in particular that G and H are in bijective cor respondence. So the definition of an isomorphism is quite natural.

An i somorphism of groups may equivalently be defined as an <u>i nvertible morphism</u> in the <u>category of groups</u>.

#### **Examples**

• The group of all real numbers with addition, ( all positive real numbers with multiplication ( $(\mathbb{R},+) \cong (\mathbb{R}^+,\times)$  DEPT. OF CSE, ACE 89 via the isomorphism

$$f(x) = e^x$$

(see <u>ex ponential function</u>).

• The group  $f_{size}$  integers (with addition) is a subgroup of  $f_{size}$  and the factor group  $/ \mathbb{R}$  is a subgroup of absolute value 1 (with multiplication):

$$\mathbb{R}/\mathbb{Z}\cong S^1$$

An isomorphism is given by

$$f(x + \mathbb{Z}) = e^{2\pi x i}$$

for every x in .

- The Klein fo<u>ur-group is isomorphic</u> to the direct <u>product of two copies</u> of  $\mathbb{Z}_2 = \mathbb{Z}/(\mathbb{Z}_2 + \mathbb{Z}_2)$  and can therefore be written  $\mathbb{Z}_2 \times \mathbb{Z}_2$  Another notation is Dih<sub>2</sub>, because it is a dihedral group.
- Generalizing this, for all odd *n*, Dih<sub>2n</sub> is isomorphic with the <u>direct product</u> of Dih<sub>n</sub> and Z<sub>2</sub>.
- If (*G*, \*) is an <u>infinite cyclic group</u>, then (*G*, \*) is isomorphic to the integers (with the addition operation). From an algebraic point of view, this means that the set of all integers (with the addition operation) is the 'only' infinite cyclic group.

Some groups can be proven to be isomorphic, relying on the <u>axiom of choice</u>, while it is even theoretically impossible to construct concrete isomorphisms. Examples:

- The group ( , +) is isomorphic to the group ( , +) of all <u>complex numbers</u> with addition.
- The group  $(, \cdot)^*$  of non-zero complex numbers with multiplication as operation is isomorphic to the group  $S^1$  mentioned above.

#### **Properties**

- The <u>kernel</u> of an isomorphism from (*G*, \*) to (*H*, ), is always {e<sub>G</sub>} where e<sub>G</sub> is the identity of the group (*G*, \*)
- If (G, \*) is isomorphic to (H, ), and if *G* is <u>abelian</u> then so is *H*.
- If (G, \*) is a group that is isomorphic to (R) ) [where *f* is the isomorphism], then if *a* belongs to *G* and has order *n*, then so does *f*(*a*).
- If (G, \*) is a locally finite group that is isomorphic to  $(H, \bigcirc)$ , then  $(H, \bigcirc)$  is also locally finite.
- The previous examples illustrate that 'group properties' are always preserved by i somorphisms.

#### **Cyclic groups**

 $\mathbb{Z}_n, +_n$ 

All cyclic groups of a given order are i somorphic to  $\therefore$ . Let *G* be a cyclic group and *n* be the order of *G*. *G* is then the group generated by  $\langle x \rangle =$ 

 $\{e,x,...,x^{n-1}\}$ . We will show that

$$G \cong \mathbb{Z}_n, +_n$$

Define

 $\varphi: G \to \mathbb{Z}_n = \{0, 1, ..., n-, 1_{s}\}$  that  $\varphi(x^a) = \mathfrak{a}_{\text{learly}}$ , is bijective.

Then

$$\varphi(x^a \cdot x^b) = \varphi(x^{a+b}) = a + b = \varphi(x^a) +_n \varphi(x^b)$$
  
which proves that  
$$G \cong \mathbb{Z}_n, \pm_n$$

#### Consequences

From the definition, it follows that any isomorphism element of G to the identity element of H,

$$f: G \to H$$
 will map the identity

that it will map inverses to inverses,

 $f(u^{-1}) = [f(u)]^{-1}$ 

and more generally, *n*th powers to *n*th powers,

$$f(u^n) = \left[f(u)\right]^n$$

for all *u* in *G*, and that the inverse map

 $f^{-1}: H \to G$  is also a group isomorphism.

The relation "being isomorphic" satisfies all the axioms of an equivalence relation. If f is an isomorphism between two groups G and H, then everything that is true about G that is only related to the group s tructure can be translated via f into a true ditto s tatement about H, and vice versa.

#### **Automorphisms**

An isomorphism from a group (*G*,\*) to itself is called an <u>automorphism</u> of this group. Thus it is a bijection  $f: G \to sGch$  that

$$f(u) * f(v) = f(u * v).$$

An au tomorphism always maps the identity to itself. The image under an au tomorphism of a <u>conjugacy class</u> is always a conjugacy class (the same or another). The image of an element has the same order as that element.

The composition of two automorphisms is again an automorphism, and with this operation the set of all automorphisms of a group G, denoted by Aut(G), forms itself a group, the *au tomorphism group* of *G*.

For all Abelian groups there is at least the automorphism that replaces the group elements by their inverses. However, in groups where all elements are equal to their inverse this is the trivial automorphism, e.g. in the <u>Klein four-group</u>. For that group all permutations of the three non-identity elements are automorphisms, so the automorphism group is i somorphic to  $S_3$  and Dih<sub>3</sub>.

In  $Z_p$  for a prime number p, one non-identity element can be replaced by any other, with cor responding changes in the other elements. The automorphism group is isomorphic to  $Z_p - 1$ . For example, for n = 7, multiplying all elements of  $Z_7$  by 3, modulo 7, is an automorphism of order 6 in the automorphism group, because  $3^6 = 1$  (modulo 7), while lower powers do not give 1. Thus this automorphism generates Z<sub>6</sub>. There is one more automorphism with this property: multiplying all elements of Z<sub>7</sub> by 5, modulo 7. Therefore, these two correspond to the elements 1 and 5 of Z<sub>6</sub>, in that order or conversely.

The automorphism group of Z<sub>6</sub> is isomorphic to Z<sub>2</sub>, because only each of the two elements 1 and 5 generate Z<sub>6</sub>, so apart from the identity we can only interchange these.

The automorphism group of  $Z_2 \times Z_2 \times Z_2 = Dih_2 \times Z_2$  has order 168, as can be found as follows. All 7 non-identity elements play the same role, so we can choose which plays the role of (1,0,0). Any of the remaining 6 can be chosen to play the role of (0,1,0). This determines which corresponds to (1,1,0). For (0,0,1) we can choose from 4, which determines the rest. Thus we have  $7 \times 6 \times 4 = 168$  automorphisms. They correspond to those of the Fano plane, of which the 7 points correspond to the 7 non-identity elements

The lines connecting three points correspond to the group operation: a, b, and c on one line means a+b=c, a+c=b, and b+c=a. See also <u>general linear group over finite fields</u>.

For Abelian groups all automorphisms except the trivial one are called <u>outer</u> automorphisms.

Non-Abelian groups have a non-trivial <u>inner automorphism</u> group, and possibly also outer automorphisms.

#### **Coding Theory and Rings**

#### **Elements of Coding Theory**

**Coding theory** is studied by various scientific di sciplines — such as <u>info rmation theory</u>, elec<u>trical engineering, mathematics</u>, and co<u>mputer science</u> — for the purpose of designing efficient and reliable data transmission methods. This typically involves the removal of r edundancy and the correction (or detection) of errors in the transmitted data. It also includes the study of the properties of <u>codes</u> and their fitness for a specific application.

Thus, there are es sentially two aspects to Coding theory:

- 1. <u>Data compression</u> (or, *source coding*)
- 2. <u>Error correction</u> (or, <u>channel coding</u>')

These two aspects may be <u>studied in combination</u>.

The first, source encoding, attempts to compress the data from a source in order to transmit it more efficiently. This practice is found every day on the Internet where the common "Zip" data compression is used to reduce the network load and make files smaller. The second, channel encoding, adds extra data bits to make the transmission of

data more robust to dis turbances present on the transmission channel. The ordinary user may not be aware of many applications using channel coding. A typical music CD uses the <u>Reed-Solomon</u> code to correct for scratches and dust. In this application the transmission channel is the CD itself. Cell phones also use coding techniques to correct for the fading and noCSE of high frequency radio transmission. Data modems, t elephone transmissions, and <u>NASA</u> all employ channel coding techniques to get the bits through, for example the turb<u>o code and LDPC codes</u>.

The hamming metric:



3-bit binary cube for<br/>Hamming distancefinding Two example distances: 100->011 has distance 3 (red<br/>path); 010->111 has distance 2 (blue path)



5

4-bit binary <u>h ypercube</u> for finding Hamming distance



Two example dis tances: 0100->1001 has distance 3 (red path); 0110->1110 has distance 1 (blue path)

In <u>information theory</u>, the **Hamming distance** between two <u>strings</u> of equal length is the number of positions at which the corresponding symbols are different. Put another way, it

#### Parity-check matrix

In <u>coding theory</u>, a **parity-check matrix** of a <u>linear block code</u> **C** is a <u>generator matrix</u> of the <u>dual code</u>. As such, a codeword c is in **C** <u>if and only if</u> the matrix-vector product  $\mathbf{H}^{\mathrm{T}}$ c=**0**.

The rows of a parity check matrix are <u>parity checks</u> on the <u>codewords</u> of a code. That is, they show how linear combinations of certain digits of each codeword equal zero. For example, the parity check matrix



specifies that for each codeword, digits 1 and 2 should sum to zero and digits 3 and 4 should sum to zero.

# Creating a parity check matrix

The parity check matrix for a given code can be derived from its <u>generator matrix</u> (and vice-versa). If the generator matrix for an [n,k]-code is in standard form

$$G = \begin{bmatrix} I_k | P \end{bmatrix},$$

then the parity check matrix is given by

$$H = \left[-P^T | I_{n-k}\right],$$

because

 $GH^T = P - P = 0.$ 

Negation is performed in the finite field mod q. Note that if the <u>characteristic</u> of the underlying field is 2 (i.e., 1 + 1 = 0 in that field), as in <u>binary codes</u>, then -P = P, so the negation is unnecessary.

For example, if a binary code has the generator matrix

$$G = \begin{bmatrix} 10|101\\01|110 \end{bmatrix}$$

The parity check matrix becomes

$$H = \begin{bmatrix} 11|100\\01|010\\10|001 \end{bmatrix}$$

For any valid codeword *x*, Hx = 0. For any invalid codeword  $L_{x}$  the syndrome *S* satisfies  $H\tilde{x} = S$ 

#### Parity check

If no error occurs during transmission, then the received codeword *r* is identical to the t ransmitted codeword *x*:

$$\mathbf{r} = \mathbf{x}$$

The receiver multiplies H and r to obtain the **syndrome** vector, which indicates whether an error has occurred, and if so, for mul which codeword bit. Performing this tiplication (again, entries modulo 2):

$$\mathbf{z} = \mathbf{H}\mathbf{r} = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 1 \\ 0 \\ 0 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 2 \\ 4 \\ 2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

Since the syndrome *z* is the <u>null vector</u>, the receiver can conclude that no error has occurred. This conclusion is based on the observation that when the data vector is multiplied by , a change of basis of the avector subspace that is the kernel of  $\mathbf{I}$ . As long as nothing happens during transmission, will remain in the kernel of  $\mathbf{I}$  and the multiplication will yield the null vector.

#### <u>Coset</u>

In <u>mathematics</u>, if G is a group, H is a subgroup of G, and g is an element of G, then

 $gH = \{gh : h \text{ an element of } H \diamondsuit$  is  $\}$  a **left coset of** H in G, and

 $Hg = \{hg : h \text{ an element of } H \diamondsuit \}$  a **right coset of** H **in** G.

Only when *H* is <u>normal</u> will the right and left cosets of *H* coincide, which is one definition of normality of a <u>subgroup</u>.

A **coset** is a left or right coset of *some* <u>subgroup</u> in *G*. Since  $Hg = g \diamondsuit (g \bigtriangledown -1 Hg \diamondsuit)$ , the right cosets Hg (of  $H \diamondsuit$  and the left cosets  $g \And (\diamondsuit^{-1} Hg \diamondsuit)$  (of the <u>conjugate</u> subgroup  $g^{-1} Hg \diamondsuit$  are the ) g ) ) same. Hence it is not meaningful to speak of a coset as being left or right unless one first specifies the underlying <u>s</u> ubgroup.

For <u>abelian groups</u> or groups written additively, the notation used changes to g+H and H+g respectively.

# **Examples**

The additive cyclic group  $\mathbb{Z}_4 = \{0, 1, 2, 3\} = G$  has a subgroup  $H = \{0, 2\}$  (<u>isomorphic</u> to  $\mathbb{Z}_2$ ). The left cosets of H in G are

$$0 + H = \{0, 2\} = H$$
$$1 + H = \{1, 3\}$$
$$2 + H = \{2, 0\} = H$$
$$3 + H = \{3, 1\}.$$

There are therefore two distinct cosets, *H* itself, and 1 + H = 3 + H. Note that every element of *G* is either in *H* or in 1 + H, that is,  $H \diamondsuit (1 + H \diamondsuit) = G$ , so the distinct cosets of *H* in *G* partition *G*. Since **Z**<sup>4</sup> is an <u>abelian group</u>, the right cosets will be the same as the left.

Another example of a coset comes from the theory of vector spaces. The elements

(vectors) of a vector space form an <u>Abelian group</u> under <u>vector addition</u>. It is not hard to show that <u>subspaces</u> of a vector space are <u>subgroups</u> of this group. For a vector space V, a s ubspace W, and a fixed vector a in V, the sets

$$\{x \in V \colon x = a + n, n \in W\}$$

are called <u>affine subspaces</u>, and are cosets (both left and right, since the group is Abelian). In terms of <u>geometric</u> vectors, these affine subspaces are all the "lines" or "planes" <u>parallel</u> to the subspace, which is a line or plane going through the origin.

# **General properties**

We have gH = H if and only if g is an element of H, since as H is a subgroup, it must be closed and must contain the identity.

Any two left cosets of *H* in *G* are either identical or <u>disjoint</u> — i.e., the left cosets form a <u>partition</u> of *G* such that every element of *G* belongs to one and only one left coset.<sup>[1]</sup> In <sup>—</sup> particular the identity is in precCSEly one coset, and that coset is *H* itself; this is also the only coset that is a <u>subgroup</u>. We can see this clearly in the above examples.

The left cosets of *H* in *G* are the equivalence classes under the equivalence relation on *G* given by  $x \sim y$  if and only if  $x^{-1}y \clubsuit H$ . Similar statements are also true for right cosets.

A **coset representative** is a representative in the equivalence class sense. A set of representatives of all the cosets is called a <u>transversal</u>. There are other types of equivalence relations in a group, such as conjugacy, that form different classes which do not have the properties discussed here. Some books on very applied group theory erroneously identify the conjugacy class as 'the' equivalence class as opposed to a particular type of equivalence class.

# **Index of a subgroup**

All left cosets and all right cosets have the same <u>order</u> (number of elements, or cardinality in the case of an <u>infinite</u> H), equal to the order of H (because H is itself a coset). Furthermore, the number of left cosets is equal to the number of right cosets and is

known as the **index** of *H* in *G*, written as  $[G : H^{\textcircled{o}}]$ . <u>Lagrange's theorem</u> allows us to compute the index in the case where *G* and *H* are finite, as per the formula:

$$|G \mathbf{\hat{\Phi}} = [|G : H \mathbf{\hat{\Phi}} \cdot |H] \mathbf{\hat{\Phi}}$$

This equation also holds in the case where the groups are infinite, although the meaning may be less clear.

# **Cosets and normality**

If *H* is not <u>normal</u> in *G*, then its left cosets are different from its right cosets. That is, there is an *a* in *G* such that no element *b* satisfies aH = Hb. This means that the partition of *G* into the left cosets of *H* is a different partition than the partition of *G* into right cosets of *H*. (It is important to note that *some* cosets may coincide. For example, if *a* is in the center of *G*, then aH = Ha.)

On the other hand, the subgroup *N* is normal if and only if gN = Ng for all *g* in *G*. In this

#### Lagrange's theorem (group theory)

**Lagrange's theorem**, in the <u>mat hematics</u> of <u>group theory</u>, states that for any <u>finite group</u> G, the <u>order</u> (number of elements) of every <u>subgroup</u> H of G divides the order of G. The theorem is named after <u>Joseph Lagrange</u>.

#### **Proof of Lagrange's Theorem**

This can be shown using the concept of left <u>cosets</u> of *H* in *G*. The left cosets are the <u>equivalence classes</u> of a certain equivalence relation on *G* and therefore form a partition of *G*. Specifically, *x* and *y* in *G* are related if and only if there exists *h* in *H* such that x = yh. If we can show that all cosets of *H* have the same number of elements, then each coset of H has precCSEly |H| elements. We are then done since the order of *H* times the number of cosets is equal to the number of elements in *G*, thereby proving that the order *H* divides the order of *G*. Now, if *aH* and *bH* are two left cosets of *H*, we can define a map  $f: aH \to bH$  by setting  $f(x) = ba^{-1}x$ . This map is <u>b</u> ijective because its inverse is given by  $f^{-1}(y) = ab^{-1}y$ .

This proof also shows that the quotient of the orders |G| / |H| is equal to the <u>index</u> [G : H]

(the number of left cosets of H in G). If we write this statement as

$$|G| = [G:H] \cdot |H|,$$

then, seen as a statement about <u>cardinal numbers</u>, it is equivalent to the <u>Axiom of choice</u>.

# Using the theorem

A consequence of the theorem is that the <u>order of any element</u> *a* of a finite group (i.e. the smallest positive integer number *k* with  $a^k = e$ , where *e* is the identity element of the group) divides the order of that group, since the order of *a* is equal to the order of the cyclic <u>subgroup</u> generated by *a*. If the group has *n* elements, it follows

$$a^n = e$$
.

This can be used to prove Fermat's little theorem and its generalization, <u>Euler's theorem</u>. These special cases were known long before the general theorem was proved.

The theorem also shows that any group of prime order is cyclic and simple.

# E xistence of subgroups of given order

Lagrange's theorem raCSEs the converse question as to whether every divisor of the order of a group is the order of some subgroup. This does not hold in general: given a finite group *G* and a divisor *d* of |G|, there does not necessarily exist a subgroup of *G* with order *d*. The smallest example is the <u>alternating group</u>  $G = A_4$  which has 12 elements but no subgroup of order 6. A *CLT group* is a finite group with the property that for every divisor of the order of the group, there is a subgroup of that order. It is known that a CLT group must be <u>solvable</u> and that every <u>supersolvable group</u> is a CLT group: however there exists solvable groups which are not CLT and CLT groups which are not super solvable.

There are partial converses to Lagrange's theorem. For general groups, <u>Cauchy's theorem</u> guarantees the existence of an element, and hence of a cyclic subgroup, of order any prime dividing the group order; <u>Sylow's theorem</u> extends this to the existence of a s ubgroup of order equal to the maximal power of any prime dividing the group order. For s olvable groups, <u>Hall's</u> theorems assert the existence of a subgroup of order equal to any

unitary divisor of the group order (that is, a divisor coprime to its cofactor).

**Group Co des:** Deco ding wthi Coset L ead ers, Hamming Matrices

**R ings a nd Mod ular Arithmetic:** The Ring Structure – Definition and E xampl es, Ring P ro pe rt ies and Sub structures, The I ntegers Mod ulo n

In <u>computer science</u>, **group codes** are a type of <u>code</u>. Group codes consist of *n* <u>linear</u> block codes which are subgroups of  $G^n$ , where *G* is a finite <u>Abelian group</u>.

A systematic group code *C* is a code over  $G_n$  of order defined by n - k homomorphisms which determine the parity check bits. The remaining *k* bits are the in formation bits themselves.

#### **Construction**

Group codes can be constructed by special <u>generator matrices</u> which resemble generator matrices of linear block codes except that the elements of those matrices are <u>endomorphisms</u> of the group instead of symbols from the code's alphabet. For example, consider the generator matrix

$$G = \begin{pmatrix} \begin{pmatrix} 00\\11 \end{pmatrix} \begin{pmatrix} 01\\01 \end{pmatrix} \begin{pmatrix} 11\\01 \end{pmatrix} \\ \begin{pmatrix} 00\\11 \end{pmatrix} \begin{pmatrix} 11\\11 \end{pmatrix} \begin{pmatrix} 00\\00 \end{pmatrix} \end{pmatrix}$$

The elements of this matrix are 2x2 matrices which are endomorphisms. In this scenario, each codeword can be represented as  $g_1^{m_1}g_2^{m_2}\dots g_r^{m_r}$  where  $g_1,\dots,g_r$  are the generators of G.

#### **Decoding with Coset leader**

In the field of <u>coding theory</u>, a **coset leader** is defined as a <u>word</u> of minimum weight in any particular <u>coset</u> - that is, a word with the lowest amount of non-zero entries. Sometimes there are several words of equal minimum weight in a coset, and in that case,

any one of those words may be chosen to be the coset leader.

Coset leaders are used in the construction of a <u>standard array</u> for a <u>linear code</u>, which can then be used to decode received vectors. For a received vector y, the decoded message is y - e, where e is the coset leader of y. Coset leaders can also be used to construct a fast decoding strategy. For each coset leader u we calculate the syndrome uH'. When we receive v we evaluate vH' and find the matching <u>syndrome</u>. The corresponding coset leader is the most likely error pattern and we assume that v+u was the codeword sent.

# <u>Example</u>

A standard array for an [*n*,*k*]-code is a  $q^{n-k}$  by  $q^k$  array where:

- 1. The first row lists all <u>codewords</u> (with the 0 codeword on the extreme left)
- 2. Each row is a <u>coset</u> with the <u>coset leader</u> in the first column
- 3. The entry in the i-th row and j-th column is the sum of the i-th coset leader and the j-th codeword.

For example, the [n,k]-code  $C_3 = \{0, 01101, 10110, 11011\}$  has a standard array as follows:

# <u>0</u> 01101 10110 11011

10000 11101 00110 01011

 $01000 \ 00101 \ 11110 \ 10011$ 

00100 01001 10010 11111

00010 01111 10100 11001

00001 01100 10111 11010

11000 10101 01110 00011

 $10001 \ 11100 \ 00111 \ 01010$ 

15CS3 6

Note that the above is only one possibility for the standard array; had 00011 been chosen as the first <u>coset leader</u> of weight two, another standard array rep resenting the code would have been con structed.

Note that the first row contains the 0 vector and the codewords of  $C_3$  (0 itself being a codeword). Also, the leftmost column contains the vectors of minimum weight enumerating vectors of weight 1 first and then using vectors of weight 2. Note also that each possible vector in the vector space appears exactly once.

Because each possible vector can appear only once in a standard array some care must be taken during cons truction. A s tandard array can be created as follows:

- 1. List the codewords of *C*, starting with 0, as the first row
- 2. Choose any vector of minimum weight not already in the array. Write this as the first entry of the next row. This vector is denoted the '**coset leader**'.
- 3. Fill out the row by adding the coset leader to the codeword at the top of each column. The sum of the i-th coset leader and the j-th codeword becomes the entry in row i, column j.
- 4. Repeat steps 2 and 3 until all rows/cosets are listed and each vector appears exactly once.

#### Hamming matrices

$$\mathbf{G} := \begin{pmatrix} 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

and

$$\mathbf{H} := \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}.$$



Bit position of the data and parity bits

As mentioned above, rows 1, 2, & 4 of to their parity bits:

# $\mathbf{G}$

should look familiar as they map the data bits

- *p*<sup>1</sup> covers *d*<sub>1</sub>, *d*<sub>2</sub>, *d*<sub>4</sub>
- *p*<sup>2</sup> covers *d*<sub>1</sub>, *d*<sub>3</sub>, *d*<sub>4</sub>
- *p*<sub>3</sub> covers *d*<sub>2</sub>, *d*<sub>3</sub>, *d*<sub>4</sub>

The remaining rows (3, 5, 6, 7) map the data to their position in encoded form and there is only 1 in that row so it is an identical copy. In fact, these four rows are <u>linearly</u> independent and form the identity matrix (by design, not coincidence).

Also as mentioned above, the three rows of **sho**uld be familiar. These rows are used to compute the **syndrome vector** at the receiving end and if the s yndrome vector is the <u>null</u>

<u>vector</u> (all zeros) then the received word is error-free; if non-zero then the value indicates which bit has been flipped.

The 4 data bits — assembled as a vector — is pre-multiplied by (i.e., ) and taken <u>modulo</u> 2 to yield the encoded value that is transmitted. The original 4 data bits are converted to 7 bits (hence the name "Hamming(7,4)") with 3 parity bits added to ensure even parity using the above data bit coverages. The first table above shows the mapping between each data and parity bit into its final bit position (1 through 7) but this can also be presented in a <u>Venn</u> diagram. The first diagram in this article shows three circles (one for each parity bit) and encloses data bits that each parity bit covers. The second diagram (shown to the right) is identical but, instead, the bit positions are marked.

For the remainder of this section, the following 4 bits (shown as a column vector) will be used as a running example:

$$\mathbf{p} = \begin{pmatrix} d_1 \\ d_2 \\ d_3 \\ d_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 1 \end{pmatrix}$$

# **Rings and Modular Arithmetic**

# **Ring theory**

In <u>mathematics</u>, **ring theory** is the study of <u>rings</u>— <u>algebraic s tructures</u> in which addition and multiplication are defined and have similar properties to those familiar from the <u>integers</u>. Ring theory studies the structure of rings, their <u>representations</u>, or, in different language, <u>modules</u>, special classes of rings (group rings, division rings, <u>universal enveloping algebras</u>), as well as an array of properties that proved to be of interest both within the theory itself and for its applications, such as <u>homological properties</u> and <u>pol ynomial identities</u>.

<u>Commutative rings</u> are much better understood than noncommutative ones. Due to its intimate connections with algeb<u>raic geometry and algebraic number theory, which provide</u> many natural examples of commutative rings, their theory, which is considered to

be part of <u>commutative algebra</u> and <u>field theory</u> rather than of general ring theory, is quite different in flavour from the theory of their nonco mmutative counterparts. A fairly recent trend, started in the 1980s with the development of <u>noncommutative geometry</u> and with the discovery of <u>quantum groups</u>, attempts to turn the situation around and build the theory of certain classes of noncom mutative rings in a geometric fashion as if they were rings of functions <u>on (non-existent)</u> 'noncommutative spaces'.

# Elementary introduction Definition

Formally, a ring is an <u>Abelian group</u> (R, +), together with a second <u>binary operation</u> \* such that for all a, b and c in R,

$$a * (b * c) = (a * b) * c$$

(a + b) \* c = (a \* c) + (b \* c)

a \* (b + c) = (a \* b) + (a \* c)

also, if there exists a *mult iplicative identity* in the ring, that is, an element *e* such that for all *a* in *R*,

$$a * e = e * a = a$$

then it is said to be a *ring with unity*. The number 1 is a common example of a unity.

The ring in which *e* is equal to the additive identity must have only one element. This ring is called the <u>trivial ring</u>.

Rings that sit inside other rings are called <u>subrings</u>. Maps between rings which respect the ring operations are called ring <u>homomorphisms</u>. Rings, together with ring homomorphisms, form a category (the category of r<u>ings</u>). <u>Closely related is the notion</u> of ideals, certain subsets of rings <u>which</u> arCSE as <u>kernels</u> of homomorphisms and can serve to define <u>factor rings</u>. Basic facts about ideals, homomorphisms and factor rings are recorded in the <u>i somorphism theorems</u> and in the <u>Chinese remainder theorem</u>.

A ring is called *commutative* if its multiplication is <u>commutative</u>. Commutative rings

# DISCRETE MATHEMATICAL STRUCTURES

resemble familiar number systems, and various definitions for commutative rings are designed to recover properties known from the <u>integers</u>. Commutative rings are also important in <u>algebraic geometry</u>. In commutative ring theory, numbers are often replaced by ide<u>als</u>, and the definition of <u>prime ideal</u> tries to capture the essence of <u>prime numbers</u>. Integral domains, non-trivial commutative rings where no two non-zero elements multiply to give zero, generalize another property of the integers and serve as the proper realm to study divisibility. <u>Principal ideal domains</u> are integral domains in which every ideal can be generated by a single element, another property shared by the integers. <u>E uclidean domains</u> are integral domains in which the <u>E uclidean algorithm</u> can be carried out. Important ex amples of co mmutative rings can be constructed as rings of <u>pol ynomials</u> and their factor rings. Summary: <u>Euclidean domain</u> => <u>p rincipal ideal domain</u> => <u>unique</u> factorization <u>domain</u> => <u>integral domain</u> => <u>Com mutative ring</u>.

Non-commutative rings resemble rings of <u>matrices</u> in many respects. Following the model of algebraic geo<u>metry</u>, <u>attempts have</u> been made recently at defining <u>non-commutative</u> geometry based on non-commutative rings. Non-commutative rings and associative algebras (rings that are also vector spaces) are often studied via their categories of modules. A <u>module</u> <u>over a ring</u> is an Abelian group that the ring acts on as a ring of <u>endomorphisms</u>, very much akin to the way <u>fields</u> (integral domains in which every non-zero element is invertible) act on vector spaces. Examples of non-commutative

rings are given by rings of square <u>matrices</u> or more generally by rings of endomorphisms of Abelian groups or modules, and by mon<u>oid rings.</u>

# The congruence relation

Modular ar ithmetic can be handled mathematically by introducing a <u>con gruence relation</u> on the <u>integers</u> that is compatible with the operations of the <u>ring</u> of integers: <u>addition</u>, <u>s</u> <u>ubtraction</u>, and <u>mult iplication</u>. For a positive integer *n*, two integers *a* and *b* are said to be **congruent modulo** *n*, written:

$$a \equiv b \pmod{n},$$

if their dif ference a - b is an integer <u>multiple</u> of n. The number n is called the **modulus** of the congruence. An equivalent definition is that both numbers have the same remainder when divided by n.

For example,

 $38 \equiv 14 \pmod{12}$ 

because 38 - 14 = 24, which is a multiple of 12. For positive *n* and non-negative *a* and *b*, congruence of *a* and *b* can also be thought of as asserting that these two numbers have the same <u>remainder</u> after dividing by the modulus *n*. So,

$$38 \equiv 2 \pmod{12}$$

because both numbers, when divided by 12, have the same remainder (2). E quivalently, the fractional parts of doing a full division of each of the numbers by 12 are the same: 0.1666... (38/12 = 3.1666..., 2/12 = 0.1666...). From the prior definition we also see that their difference, *a* - *b* = 36, is a whole number (integer) multiple of 12 (n = 12, 36/12 = 3).

The same rule holds for negative values of *a*:

$$-3 \equiv 2 \pmod{5}.$$

A remark on the notation: Because it is common to consider several congruence relations for different moduli at the same time, the modulus is incorporated in the notation. In spite of the ternary notation, the congruence relation for a given modulus is <u>binary</u>. This would have been clearer if the notation  $a \equiv_n b$  had been used, instead of the common traditional notation.

The properties that make this relation a congruence relation (respecting addition, s ubtraction, and mult iplication) are the following.

If

$$a_1 \equiv b_1 \pmod{n}$$

 $a_2 \equiv b_2 \pmod{n}$ ,

and

then:

$$(a_1 + a_2) \equiv (b_1 + b_2) \pmod{n}$$
$$(a_1 - a_2) \equiv (b_1 - b_2) \pmod{n}$$

 $(a_1a_2) \equiv (b_1b_2) \pmod{n}.$ 

### Multiplicative group of integers modulo n

In modular arithmetic the set of congruence classes relatively prime to the modulus *n* form a group under multiplication called the **multiplicative group of integers modulo** *n*. It is also called the group of **primitive residue classes modulo** *n*. In the theory of rings, a branch of abstract algebra, it is described as the group of units of the ring of integers modulo n. (Units refers to elements with a <u>multiplicative inverse</u>.)

This group is fundamental in <u>number theory</u>. It has found applications in <u>cryptography</u>, integer factorization, and primality testing. For example, by finding the order (ie. the size) of the group, one can determine if *n* is prime: *n* is prime <u>if and only if</u> the order is *n* - 1.

#### **Group** axioms

It is a straightforward exercCSE to show that under multiplication the congruence classes (mod *n*) which are relatively prime to *n* satisfy the axioms for an abelian group. Because  $a \equiv b \pmod{n}$  implies that gcd(a, n) = gcd(b, n), the notion of congruence classes (mod *n*) which are relatively prime to *n* is well- defined.

Since gcd(a, n) = 1 and gcd(b, n) = 1 implies gcd(ab, n) = 1 the set of classes relatively prime to *n* is closed under multiplication.

The natural mapping from the integers to the congruence classes (mod n) that takes an integer to its congruence class (mod *n*) is a <u>ring homomorphism</u>. This implies that the class containing 1 is the unique multiplicative identity, and also the associative and commutative laws.

Given a, gcd(a, n) = 1, finding x satisfying  $ax \equiv 1 \pmod{n}$  is the same as solving ax + ny = 1, which can be done by Bézo<u>ut's lemma.</u>

#### **Notation**

 $\mathbb{Z}/(n)$ The ring of integers (mod *n*) is denoted *distance* (i.e., the ring of integers modulo the ideal  $n\mathbf{Z} = (n)$  consisting of the multiples of *n*) or by  $\mathbb{Z}_{n-1}$  Depending on the  $\frac{1}{2}$ 

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author its group of units may be written  $(\mathbb{Z}/n\mathbb{Z})^*, (\mathbb{Z}/n\mathbb{Z})^{\times}, U(\mathbb{Z}/n\mathbb{Z}), (for$  $E(\mathbb{Z}/n\mathbb{Z})$ German *Einheit* = unit) or similar notations. This article uses SE. ACE Page
# <u>Structure</u>

#### Powers of 2

Modulo 2 there is only one relatively prime congruence class, 1, so  $(\mathbb{Z}/2\mathbb{Z})^{\times} \cong \{1\}^{is}$  trivial.

Modulo 4 there are two relatively prime congruence classes, 1 and 3, so  $(\mathbb{Z}/4\mathbb{Z})^{\times} \cong \mathbb{G}_{he}, \underline{\text{cyclic group with two elements.}}$ 

Modulo 8 there are four relatively prime classes, 1, 3, 5 and 7. The square of each of these is 1, so  $(\mathbb{Z}/8\mathbb{Z})^{\times} \cong C_2 \times C_{\text{the Klein four-group}}$ .

Modulo 16 there are eight relatively prime classes 1, 3, 5, 7, 9, 11, 13 and 15.  $\{\pm 1, \pm 7\} \cong C_2 \times G_{\text{sthe}}$  2-torsion subgroup (ie. the square of each element is 1), so is not cyclic. The powers of 3,  $\{1,3,9,11\}$  are a subgroup of order 4, as are the powers of 5,  $\{1,5,9,13\}$ . Thus  $(\mathbb{Z}/16\mathbb{Z})^{\times} \cong C_2 \times C_4$ .

The pattern  $\{\pm 1, 2^{k-1}\pm 1\} \cong C_2 \times C_{i3}$  the 2-torsion subgroup (so  $(\mathbb{Z}/2^k\mathbb{Z})^{\times}$  is not cyclic) and the powers of 3 are a subgroup of order  $2^{k-2}$ , so  $(\mathbb{Z}/2^k\mathbb{Z})^{\times} \cong C_2 \times C_{2^{k-2}}$ .

# Powers of odd primes

For powers of odd primes  $p^k$  the group is cyclic:<sup>[2]-</sup>  $(\mathbb{Z}/p^k\mathbb{Z})^{\times} \cong C_{p^{k-1}(p-1)} \cong C_{\varphi(p^k)}.$ 

# **General composite numbers**

The Chi<u>nese remainder theorem</u> is a says that if  $n = p_1^{\kappa_1} p_2^{\kappa_2} p_3^{\kappa_3} \dots$ , then the ring direct product of the rings cor responding to each of its prime power factors:

$$\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/p_1^{k_1}\mathbb{Z} \times \mathbb{Z}/p_2^{k_2}\mathbb{Z} \times \mathbb{Z}/p_3^{k_3}\mathbb{Z}\dots$$

 $(\mathbb{Z}/n\mathbb{Z})^{ imes}$  is the direct p roduct of the groups corresponding Similarly, the group of units to each of the prime power factors:

$$(\mathbb{Z}/n\mathbb{Z})^{\times} \cong (\mathbb{Z}/p_1^{k_1}\mathbb{Z})^{\times} \times (\mathbb{Z}/p_2^{k_2}\mathbb{Z})^{\times} \times (\mathbb{Z}/p_3^{k_3}\mathbb{Z})^{\times} \dots$$

## Order

 $|(\mathbb{Z}/n\mathbb{Z})^{\times}| = \varphi(n).$ This The order of the group is given by Euler's totient function: is the product of the orders of the cyclic groups in the direct product.

### Exponent

The exponent is given by the Carmichael function  $\lambda(n)$ , the <u>least common multiple</u> of the orders of the cyclic groups. This means that if *a* and *n* are relatively prime,

 $a^{\lambda(n)} \equiv 1 \pmod{n}.$ 

### **Generators**

 $(\mathbb{Z}/n\mathbb{Z})^{\times}$   $\varphi(n) = \lambda(n)$ . is cyclic if and only if This is the case precCSEly when *n* is 2, 4, a power of an

odd prime, or twice a power of an odd prime. In this case a generator is called a **primitive** root modulo n.

 $(\mathbb{Z}/n\mathbb{Z})^{\times}$ , *n* = 1, 2, ..., 7 are cyclic, another way to state this is: If *n* < 8 Since all the  $(\mathbb{Z}/n\mathbb{Z}_{has})^{\times}$  a primitive root. If  $n \ge 8$   $(\mathbb{Z}/n\mathbb{Z}_{has})^{\times}$  a primitive root unless *n* is then divisible by 4 or by two distinct odd primes.

In the general case there is one generator for each cyclic direct factor.

### Table

$$(\mathbb{Z}/n\mathbb{Z})^{\times}$$

DEPT. OF CSE, ACE 113 This table shows the structure and generators of for small values of n. The generators are not unique (mod n); e.g. (mod 16) both {-1, 3} and {-1, 5} will work. The generators are listed in the same order as the direct factors.

 $\varphi(20) = 8$   $(\mathbb{Z}/20\mathbb{Z})^{\times}$ For example take n = 20. means that the order of is 8 (i.e. there are 8 numbers less than 20 and coprime to it);  $\lambda(20) = 4$  that the fourth power of any number relatively prime to 20 is  $\equiv 1$  (mod 20); and as for the generators, 19 has order 2, 3 has order 4, and every member of  $(\mathbb{Z}/20\mathbb{Z})^{\times}$  of the form  $19_a \times 3_b$ , where a is 0 or 1 and b is 0, 1, 2, or 3.

The powers of 19 are  $\{\pm 1\}$  and the powers of 3 are  $\{3, 9, 7, 1\}$ . The latter and their negatives (mod 20),  $\{17, 11, 13, 19\}$  are all the numbers less than 20 and prime to it. The fact that the order of 19 is 2 and the order of 3 is 4 implies that the fourth power of every member of 4327 1 (mod 20).