

**Mathematical induction**, is a technique for proving results or establishing statements for natural numbers. This part illustrates the method through a variety of examples.

## Definition

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**Mathematical Induction** is a mathematical technique which is used to prove a statement, a formula or a theorem is true for every natural number.

The technique involves two steps to prove a statement, as stated below:

**Step 1 (Base step):** It proves that a statement is true for the initial value.

**Step 2 (Inductive step):** It proves that if the statement is true for the  $n^{\text{th}}$  iteration (or number  $n$ ), then it is also true for  $(n+1)^{\text{th}}$  iteration ( or number  $n+1$ ).

## How to Do It

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**Step 1:** Consider an initial value for which the statement is true. It is to be shown that the statement is true for  $n$ =initial value.

**Step 2:** Assume the statement is true for any value of  $n=k$ . Then prove the statement is true for  $n=k+1$ . We actually break  $n=k+1$  into two parts, one part is  $n=k$  (which is already proved) and try to prove the other part.

### Problem 1

$3^n - 1$  is a multiple of 2 for  $n=1, 2, \dots$

### Solution

Step 1: For  $n=1$ ,  $3^1 - 1 = 3 - 1 = 2$  which is a multiple of 2

Step 2: Let us assume  $3^n - 1$  is true for  $n=k$ , Hence,  $3^k - 1$  is true (It is an assumption) We have to prove that  $3^{k+1} - 1$  is also a multiple of 2

$$3^{k+1} - 1 = 3 \times 3^k - 1 = (2 \times 3^k) + (3^k - 1)$$

The first part  $(2 \times 3^k)$  is certain to be a multiple of 2 and the second part  $(3^k - 1)$  is also true as our previous assumption.

Hence,  $3^{k+1} - 1$  is a multiple of 2.

So, it is proved that  $3^n - 1$  is a multiple of 2.

### Problem 2

$1 + 3 + 5 + \dots + (2n-1) = n^2$  for  $n=1, 2, \dots$

**Solution**

Step 1: For  $n=1$ ,  $1 = 1^2$ , Hence, step 1 is satisfied.

Step 2: Let us assume the statement is true for  $n=k$ .

Hence,  $1 + 3 + 5 + \dots + (2k-1) = k^2$  is true (It is an assumption)

We have to prove that  $1 + 3 + 5 + \dots + (2(k+1)-1) = (k+1)^2$  also

$$\begin{aligned} & \text{holds } 1 + 3 + 5 + \dots + (2(k+1) - 1) \\ &= 1 + 3 + 5 + \dots + (2k+2 - 1) \\ &= 1 + 3 + 5 + \dots + (2k + 1) \\ &= 1 + 3 + 5 + \dots + (2k - 1) + (2k + 1) \\ &= k^2 + (2k + 1) \\ &= (k + 1)^2 \end{aligned}$$

So,  $1 + 3 + 5 + \dots + (2(k+1) - 1) = (k+1)^2$  hold which satisfies the step

2. Hence,  $1 + 3 + 5 + \dots + (2n - 1) = n^2$  is proved.

**Problem 3**

Prove that  $(ab)^n = a^n b^n$  is true for every natural number  $n$

**Solution**

Step 1: For  $n=1$ ,  $(ab)^1 = a^1 b^1 = ab$ , Hence, step 1 is satisfied.

Step 2: Let us assume the statement is true for  $n=k$ , Hence,  $(ab)^k = a^k b^k$  is true (It is an assumption).

We have to prove that  $(ab)^{k+1} = a^{k+1} b^{k+1}$  also hold

Given,  $(ab)^k = a^k b^k$

Or,  $(ab)^k (ab) = (a^k b^k) (ab)$  [Multiplying both side by 'ab']

Or,  $(ab)^{k+1} = (a a^k) (b b^k)$

Or,  $(ab)^{k+1} = (a^{k+1} b^{k+1})$

Hence, step 2 is proved.

So,  $(ab)^n = a^n b^n$  is true for every natural number  $n$ .

**Strong Induction**

Strong Induction is another form of mathematical induction. Through this induction technique, we can prove that a propositional function,  $P(n)$  is true for all positive integers,  $n$ , using the following steps:

- **Step 1 (Base step):** It proves that the initial proposition  $P(1)$  true.
- **Step 2 (Inductive step):** It proves that the conditional statement

[ (1)  $P(1)$  (2)  $P(2)$  (3)  $P(3)$  .....  $P(k)$  ]  $\rightarrow$   $P(k+1)$  is true for positive integers  $k$ .

In this chapter, we will discuss how recursive techniques can derive sequences and be used for solving counting problems. The procedure for finding the terms of a sequence in a recursive manner is called **recurrence relation**. We study the theory of linear recurrence relations and their solutions. Finally, we introduce generating functions for solving recurrence relations.

## Definition

A recurrence relation is an equation that recursively defines a sequence where the next term is a function of the previous terms (Expressing  $F_n$  as some combination of  $F_i$  with  $i < n$ ).

**Example:** Fibonacci series:  $F_n = F_{n-1} + F_{n-2}$ , Tower of Hanoi:  $F_n = 2F_{n-1} + 1$

## Linear Recurrence Relations

A linear recurrence equation of degree  $k$  is a recurrence equation which is in the format  $x_n = A_1 x_{n-1} + A_2 x_{n-2} + A_3 x_{n-3} + \dots + A_k x_{n-k}$  ( $A_n$  is a constant and  $A_k \neq 0$ ) on a sequence of numbers as a first-degree polynomial.

These are some examples of linear recurrence equations:

Recurrence relations	Initial values	Solutions
$F_n = F_{n-1} + F_{n-2}$	$a_1 = a_2 = 1$	Fibonacci number
$F_n = F_{n-1} + F_{n-2}$	$a_1 = 1, a_2 = 3$	Lucas number
$F_n = F_{n-2} + F_{n-3}$	$a_1 = a_2 = a_3 = 1$	Padovan sequence
$F_n = 2F_{n-1} + F_{n-2}$	$a_1 = 0, a_2 = 1$	Pell number

## How to solve linear recurrence relation

Suppose, a two ordered linear recurrence relation is:  $F_n = AF_{n-1} + BF_{n-2}$  where  $A$  and  $B$  are real numbers.

The characteristic equation for the above recurrence relation is:

$$x^2 - Ax - B = 0$$

Three cases may occur while finding the roots:

**Case 1:** If this equation factors as  $(x - x_1)(x - x_2) = 0$  and it produces two distinct real roots  $x_1$  and  $x_2$ , then  $F_n = ax_1^n + bx_2^n$  is the solution. [Here,  $a$  and  $b$  are constants]

**Case 2:** If this equation factors as  $(x - x_1)^2 = 0$  and it produces single real root  $x_1$ , then  $F_n = a x_1^n + b n x_1^n$  is the solution.

**Case 3:** If the equation produces two distinct real roots  $x_1$  and  $x_2$  in polar form  $x_1 = r \angle \theta$  and  $x_2 = r \angle (-\theta)$ , then  $F_n = r^n (a \cos(n\theta) + b \sin(n\theta))$  is the solution.

**Problem 1**

Solve the recurrence relation  $F_n = 5F_{n-1} - 6F_{n-2}$  where  $F_0 = 1$  and  $F_1 = 4$

**Solution**

The characteristic equation of the recurrence relation is:

$$x^2 - 5x + 6 = 0,$$

So,  $(x-3)(x-2) = 0$

Hence, the roots are:

$$x_1 = 3 \text{ and } x_2 = 2$$

The roots are real and distinct. So, this is in the form of case 1

Hence, the solution is:

$$F_n = ax_1^n + bx_2^n$$

Here,  $F_n = a3^n + b2^n$  (As  $x_1 = 3$  and  $x_2 = 2$ )

2) Therefore,

$$1 = F_0 = a3^0 + b2^0 = a + b$$

$$4 = F_1 = a3^1 + b2^1 = 3a + 2b$$

Solving these two equations, we get  $a = 2$  and  $b = -1$

Hence, the final solution is:

$$F_n = 2 \cdot 3^n + (-1) \cdot 2^n = 2 \cdot 3^n - 2^n$$

**Problem 2**

Solve the recurrence relation  $F_n = 10F_{n-1} - 25F_{n-2}$  where  $F_0 = 3$  and  $F_1 = 17$

**Solution**

The characteristic equation of the recurrence relation is:

$$x^2 - 10x - 25 = 0,$$

So,  $(x - 5)^2 = 0$

Hence, there is single real root  $x_1 = 5$

As there is single real valued root, this is in the form of case 2

Hence, the solution is:

$$F_n = ax_1^n + bnx_1^{n-1}$$

$$3 = F_0 = a \cdot 5^0 + b \cdot 0 \cdot 5^0 = a$$

$$17 = F_1 = a \cdot 5^1 + b \cdot 1 \cdot 5^1 = 5a + 5b$$

Solving these two equations, we get  $a = 3$  and  $b = 2/5$

Hence, the final solution is:

$$F_n = 3 \cdot 5^n + (2/5) \cdot n \cdot 2^n$$

### Problem 3

Solve the recurrence relation  $F_n = 2F_{n-1} - 2F_{n-2}$  where  $F_0 = 1$  and  $F_1 = 3$

### Solution

The characteristic equation of the recurrence relation is:

$$x^2 - 2x - 2 = 0$$

Hence, the roots are:

$$x_1 = 1 + i \quad \text{and} \quad x_2 = 1 - i$$

In polar form,

$$x_1 = r \angle \theta \quad \text{and} \quad x_2 = r \angle (-\theta), \text{ where } r = \sqrt{2} \text{ and } \theta = \pi/4$$

The roots are imaginary. So, this is in the form of case 3.

Hence, the solution is:

$$F_n = (\sqrt{2})^n (a \cos(n \cdot \pi / 4) + b \sin(n \cdot \pi / 4))$$

$$1 = F_0 = (\sqrt{2})^0 (a \cos(0 \cdot \pi / 4) + b \sin(0 \cdot \pi / 4)) = a$$

$$3 = F_1 = (\sqrt{2})^1 (a \cos(1 \cdot \pi / 4) + b \sin(1 \cdot \pi / 4)) = \sqrt{2} (a/\sqrt{2} + b/\sqrt{2})$$

Solving these two equations we get  $a = 1$  and  $b = 2$

Hence, the final solution is:

$$F_n = (\sqrt{2})^n (\cos(n \cdot \pi / 4) + 2 \sin(n \cdot \pi / 4))$$

### Particular Solutions

A recurrence relation is called non-homogeneous if it is in the form

$$F_n = AF_{n-1} + BF_{n-2} + F(n) \quad \text{where } F(n) \neq 0$$

The solution ( $a_n$ ) of a non-homogeneous recurrence relation has two parts. First part is the solution ( $a_h$ ) of the associated homogeneous recurrence relation and the second part is the particular solution ( $a_t$ ). So,  $a_n = a_h + a_t$

Let  $F(n) = cx^n$  and  $x_1$  and  $x_2$  are the roots of the characteristic equation:

$x^2 = Ax + B$  which is the characteristic equation of the associated homogeneous recurrence relation:

- If  $x \neq x_1$  and  $x \neq x_2$ , then  $a_t = Ax^n$
- If  $x = x_1$ ,  $x \neq x_2$ , then  $a_t = Anx^n$
- If  $x = x_1 = x_2$ , then  $a_t = An^2x^n$

**Problem**

Solve the recurrence relation  $F_n = 3F_{n-1} + 10F_{n-2} + 7.5^n$  where  $F_0 = 4$  and  $F_1 = 3$

**Solution**

The characteristic equation is:

$$x^2 - 3x - 10 = 0$$

$$\text{Or, } (x - 5)(x + 2) = 0$$

$$\text{Or, } x_1 = 5 \text{ and } x_2 = -2$$

Since,  $x = x_1$  and  $x \neq x_2$ , the solution is:

$$a_t = Anx^n = An5^n$$

After putting the solution into the non-homogeneous relation, we get:

$$An5^n = 3A(n-1)5^{n-1} + 10A(n-2)5^{n-2} + 7.5^n$$

Dividing both sides by  $5^{n-2}$ , we get:

$$An5^2 = 3A(n-1)5 + 10A(n-2)5^0 + 7.5^2$$

$$\text{Or, } 25An = 15An - 15A + 10An - 20A + 175$$

$$\text{Or, } 35A = 175$$

$$\text{Or, } A = 5$$

$$\text{So, } F_n = n5^{n+1}$$

Hence, the solution is:

$$F_n = n5^{n+1} + 6.(-2)^n - 2.5^n$$

**Generating Functions**

**Generating Functions** represents sequences where each term of a sequence is expressed as a coefficient of a variable  $x$  in a formal power series.

Mathematically, for an infinite sequence, say  $\{a_0, a_1, a_2, \dots, a_n, \dots\}$ , the generating function will be:

$$a_0 + a_1x + a_2x^2 + \dots + a_nx^n + \dots$$

**Some Areas of Application:**

Generating functions can be used for the following purposes:

- For solving a variety of counting problems. For example, the number of ways to make change for a Rs. 100 note with the notes of denominations Rs.1, Rs.2, Rs.5, Rs.10, Rs.20 and Rs.50
- For solving recurrence relations
- For proving some of the combinatorial identities
- For finding asymptotic formulae for terms of sequences

**Problem 1**

What are the generating functions for the sequences  $\{a_n\}$  with  $a_n = 2^n$  and  $a_n = 3^n$ ?

**Solution**

When  $a_n = 2^n$ , generating function,  $G(x) = \sum_{n=0}^{\infty} 2^n x^n = 2 + 2x + 2^2 x^2 + 2^3 x^3 + \dots$   
 When  $a_n = 3^n$ ,  $G(x) = \sum_{n=0}^{\infty} 3^n x^n = 1 + 3x + 9x^2 + 27x^3 + \dots$

**Problem 2**

What is the generating function of the infinite series; 1, 1, 1, 1, .....

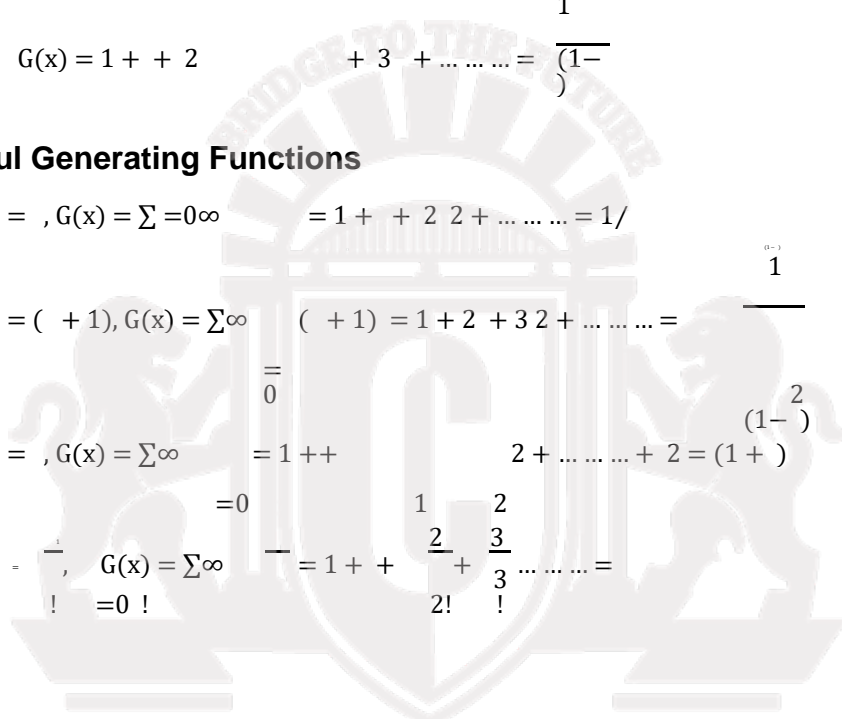
**Solution**

Here,  $a_n = 1, 0 \leq n < \infty$ .

Hence,  $G(x) = 1 + x + x^2 + x^3 + \dots = \frac{1}{1-x}$

**Some Useful Generating Functions**

- For  $a_n = 1, G(x) = \sum_{n=0}^{\infty} x^n = 1 + x + x^2 + \dots = \frac{1}{1-x}$
- For  $a_n = (n+1), G(x) = \sum_{n=0}^{\infty} (n+1)x^n = 1 + 2x + 3x^2 + \dots = \frac{1}{(1-x)^2}$
- For  $a_n = n, G(x) = \sum_{n=0}^{\infty} nx^n = x + 2x^2 + 3x^3 + \dots = \frac{x}{(1-x)^2}$
- For  $a_n = \frac{1}{n!}, G(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!} = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots = e^x$



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The rules of mathematical logic specify methods of reasoning mathematical statements. Greek philosopher, Aristotle, was the pioneer of logical reasoning. Logical reasoning provides the theoretical base for many areas of mathematics and consequently computer science. It has many practical applications in computer science like design of computing machines, artificial intelligence, definition of data structures for programming languages etc.

**Propositional Logic** is concerned with statements to which the truth values, "true" and "false", can be assigned. The purpose is to analyze these statements either individually or in a composite manner.

## Propositional Logic – Definition

A proposition is a collection of declarative statements that has either a truth value "true" or a truth value "false". A propositional consists of propositional variables and connectives. We denote the propositional variables by capital letters (A, B, etc). The connectives connect the propositional variables.

Some examples of Propositions are given below:

- "Man is Mortal", it returns truth value "TRUE"
- "12 + 9 = 3 - 2", it returns truth value "FALSE"

The following is not a Proposition:

- "A is less than 2". It is because unless we give a specific value of A, we cannot say whether the statement is true or false.

## Connectives

In propositional logic generally we use five connectives which are: OR ( $\vee$ ), AND ( $\wedge$ ), Negation/ NOT ( $\neg$ ), Implication / if-then ( $\rightarrow$ ), If and only if ( $\Leftrightarrow$ ).

**OR ( $\vee$ ):** The OR operation of two propositions A and B (written as  $A \vee B$ ) is true if at least any of the propositional variable A or B is true.

The truth table is as follows:

A	B	$A \vee B$
True	True	True
True	False	True
False	True	True
False	False	False

**AND ( $\wedge$ ):** The AND operation of two propositions A and B (written as  $A \wedge B$ ) is true if both the propositional variable A and B is true.



The truth table is as follows:

<b>A</b>	<b>B</b>	<b><math>A \wedge B</math></b>
True	True	True
True	False	False
False	True	False
False	False	False

**Negation ( $\neg$ ):** The negation of a proposition A (written as  $\neg A$ ) is false when A is true and is true when A is false.

The truth table is as follows:

<b>A</b>	<b><math>\neg A</math></b>
True	False
False	True

**Implication / if-then ( $\rightarrow$ ):** An implication  $A \rightarrow B$  is False if A is true and B is false. The rest cases are true.

The truth table is as follows:

<b>A</b>	<b>B</b>	<b><math>A \rightarrow B</math></b>
True	True	True
True	False	False
False	True	True
False	False	True

**If and only if ( $\Leftrightarrow$ ):**  $A \Leftrightarrow B$  is bi-conditional logical connective which is true when p and q are both false or both are true.

The truth table is as follows:

<b>A</b>	<b>B</b>	<b><math>A \Leftrightarrow B</math></b>
True	True	True
True	False	False
False	True	False
False	False	True

## Tautologies

A Tautology is a formula which is always true for every value of its propositional variables.

**Example:** Prove  $[(A \rightarrow B) \wedge A] \rightarrow B$  is a tautology

The truth table is as follows:

A	B	$A \rightarrow B$	$(A \rightarrow B) \wedge A$	$[(A \rightarrow B) \wedge A] \rightarrow B$
True	True	True	True	True
True	False	False	False	True
False	True	True	False	True
False	False	True	False	True

As we can see every value of  $[(A \rightarrow B) \wedge A] \rightarrow B$  is "True", it is a tautology.

## Contradictions

A Contradiction is a formula which is always false for every value of its propositional variables.

**Example:** Prove  $(A \vee B) \wedge [(\neg A) \wedge (\neg B)]$  is a contradiction

The truth table is as follows:

A	B	$A \vee B$	$\neg A$	$\neg B$	$(\neg A) \wedge (\neg B)$	$(A \vee B) \wedge [(\neg A) \wedge (\neg B)]$
True	True	True	False	False	False	False
True	False	True	False	True	False	False
False	True	True	True	False	False	False
False	False	False	True	True	True	False

As we can see every value of  $(A \vee B) \wedge [(\neg A) \wedge (\neg B)]$  is "False", it is a contradiction.

## Contingency

A Contingency is a formula which has both some true and some false values for every value of its propositional variables.

**Example:** Prove  $(A \vee B) \wedge (\neg A)$  a contingency

The truth table is as follows:

A	B	$A \vee B$	$\neg A$	$(A \vee B) \wedge (\neg A)$
True	True	True	False	False
True	False	True	False	False
False	True	True	True	True
False	False	False	True	False

As we can see every value of  $(A \vee B) \wedge (\neg A)$  has both "True" and "False", it is a contingency.

## Propositional Equivalences

Two statements  $X$  and  $Y$  are logically equivalent if any of the following two conditions hold:

- The truth tables of each statement have the same truth values.
- The bi-conditional statement  $X \Leftrightarrow Y$  is a tautology.

**Example:** Prove  $\neg (A \vee B)$  and  $[(\neg A) \wedge (\neg B)]$  are equivalent

Testing by 1<sup>st</sup> method (Matching truth table):

A	B	$A \vee B$	$\neg (A \vee B)$	$\neg A$	$\neg B$	$[(\neg A) \wedge (\neg B)]$
True	True	True	False	False	False	False
True	False	True	False	False	True	False
False	True	True	False	True	False	False
False	False	False	True	True	True	True

Here, we can see the truth values of  $\neg (A \vee B)$  and  $[(\neg A) \wedge (\neg B)]$  are same, hence the statements are equivalent.

Testing by 2<sup>nd</sup> method (Bi-conditionality):

A	B	$\neg (A \vee B)$	$[(\neg A) \wedge (\neg B)]$	$[\neg (A \vee B) \Leftrightarrow [(\neg A) \wedge (\neg B)]]$
True	True	False	False	True
True	False	False	False	True
False	True	False	False	True
False	False	True	True	True

As  $[\neg (A \vee B) \Leftrightarrow [(\neg A) \wedge (\neg B)]]$  is a tautology, the statements are equivalent.

## Inverse, Converse, and Contra-positive

A conditional statement has two parts: **Hypothesis** and **Conclusion**.

**Example of Conditional Statement:** "If you do your homework, you will not be punished." Here, "you do your homework" is the hypothesis and "you will not be punished" is the conclusion.

**Inverse:** An inverse of the conditional statement is the negation of both the hypothesis and the conclusion. If the statement is "If  $p$ , then  $q$ ", the inverse will be "If not  $p$ , then not  $q$ ". The inverse of "If you do your homework, you will not be punished" is "If you do not do your homework, you will be punished."

**Converse:** The converse of the conditional statement is computed by interchanging the hypothesis and the conclusion. If the statement is "If  $p$ , then  $q$ ", the inverse will be "If  $q$ , then  $p$ ". The converse of "If you do your homework, you will not be punished" is "If you will not be punished, you do not do your homework".

**Contra-positive:** The contra-positive of the conditional is computed by interchanging the hypothesis and the conclusion of the inverse statement. If the statement is "If  $p$ , then  $q$ ", the inverse will be "If not  $q$ , then not  $p$ ". The Contra-positive of "If you do your homework, you will not be punished" is "If you will be punished, you do your homework".

## Duality Principle

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Duality principle set states that for any true statement, the dual statement obtained by interchanging unions into intersections (and vice versa) and interchanging Universal set into Null set (and vice versa) is also true. If dual of any statement is the statement itself, it is said **self-dual** statement.

**Example:** The dual of  $(A \cap B) \cup C$  is  $(A \cup B) \cap C$

## Normal Forms

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We can convert any proposition in two normal forms:

- Conjunctive normal form
- Disjunctive normal form

### Conjunctive Normal Form

A compound statement is in conjunctive normal form if it is obtained by operating AND among variables (negation of variables included) connected with ORs.

#### Examples

- $(P \cup Q) \cap (Q \cup R)$
- $(\neg P \cup Q \cup S \cup \neg T)$

### Disjunctive Normal Form

A compound statement is in conjunctive normal form if it is obtained by operating OR among variables (negation of variables included) connected with ANDs.

#### Examples

- $(P \cap Q) \cup (Q \cap R)$
- $(\neg P \cap Q \cap S \cap \neg T)$

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**Predicate Logic** deals with predicates, which are propositions containing variables.

## Predicate Logic – Definition

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A predicate is an expression of one or more variables defined on some specific domain. A predicate with variables can be made a proposition by either assigning a value to the variable or by quantifying the variable.

The following are some examples of predicates:

- Let  $E(x, y)$  denote " $x = y$ "
- Let  $X(a, b, c)$  denote " $a + b + c = 0$ "
- Let  $M(x, y)$  denote " $x$  is married to  $y$ "

## Well Formed Formula

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Well Formed Formula (wff) is a predicate holding any of the following -

- All propositional constants and propositional variables are wffs
- If  $x$  is a variable and  $Y$  is a wff,  $\forall x Y$  and  $\exists x Y$  are also wff
- Truth value and false values are wffs
- Each atomic formula is a wff
- All connectives connecting wffs are wffs

## Quantifiers

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The variable of predicates is quantified by quantifiers. There are two types of quantifier in predicate logic: Universal Quantifier and Existential Quantifier.

### Universal Quantifier

Universal quantifier states that the statements within its scope are true for every value of the specific variable. It is denoted by the symbol  $\forall$ .  $\forall x P(x)$  is read as for every value of  $x$ ,  $P(x)$  is true.

**Example:** "Man is mortal" can be transformed into the propositional form  $\forall x P(x)$  where  $P(x)$  is the predicate which denotes  $x$  is mortal and the universe of discourse is all men.

### Existential Quantifier

Existential quantifier states that the statements within its scope are true for some values of the specific variable. It is denoted by the symbol  $\exists$ .  $\exists x P(x)$  is read as for some values of  $x$ ,  $P(x)$  is true.

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**Example:** "Some people are dishonest" can be transformed into the propositional form  $\exists x P(x)$  where  $P(x)$  is the predicate which denotes  $x$  is dishonest and the universe of discourse is some people.

## Nested Quantifiers

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If we use a quantifier that appears within the scope of another quantifier, it is called nested quantifier.

### Examples

- $\forall x \exists y P(x, y)$  where  $P(a, b)$  denotes  $a + b = 0$
  - $\forall a \forall b \forall c P(a, b, c)$  where  $P(a, b)$  denotes  $a + (b+c) = (a+b) + c$
- Note:**  $\forall a \exists b P(x, y) \neq \exists a \forall b P(x, y)$



*(SOURCE DIGINOTES)*

# 7. RULES OF INFERENCE Discrete Mathematics

To deduce new statements from the statements whose truth that we already know, **Rules of Inference** are used.

## What are Rules of Inference for?

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Mathematical logic is often used for logical proofs. Proofs are valid arguments that determine the truth values of mathematical statements.

An argument is a sequence of statements. The last statement is the conclusion and all its preceding statements are called premises (or hypothesis). The symbol " $\therefore$ " (read therefore) is placed before the conclusion. A valid argument is one where the conclusion follows from the truth values of the premises.

Rules of Inference provide the templates or guidelines for constructing valid arguments from the statements that we already have.

## Addition

---

If P is a premise, we can use Addition rule to derive  $P \vee Q$ .

$$\begin{array}{c} P \\ \hline \therefore P \vee Q \end{array}$$

### Example

Let P be the proposition, "He studies very hard" is true

Therefore: "Either he studies very hard Or he is a very bad student." Here Q is the proposition "he is a very bad student".

## Conjunction

---

If P and Q are two premises, we can use Conjunction rule to derive  $P \wedge Q$ .

$$\begin{array}{c} P \\ Q \\ \hline \therefore P \wedge Q \end{array}$$

### Example

Let P: "He studies very hard"

Let Q: "He is the best boy in the class"

Therefore: "He studies very hard and he is the best boy in the class"

## Simplification

---

If  $P \wedge Q$  is a premise, we can use Simplification rule to derive  $P$ .

$$\frac{P \wedge Q}{P}$$

### Example

"He studies very hard and he is the best boy in the class" Therefore: "He studies very hard"

## Modus Ponens

---

If  $P$  and  $P \rightarrow Q$  are two premises, we can use Modus Ponens to derive  $Q$ .

$$\frac{P \rightarrow Q \quad P}{Q}$$

### Example

"If you have a password, then you can log on to facebook"

"You have a password"

Therefore: "You can log on to facebook"

## Modus Tollens

---

If  $P \rightarrow Q$  and  $\neg Q$  are two premises, we can use Modus Tollens to derive  $\neg P$ .

$$\frac{P \rightarrow Q \quad \neg Q}{\neg P}$$

### Example

"If you have a password, then you can log on to facebook"

"You cannot log on to facebook"

Therefore: "You do not have a password "



## Disjunctive Syllogism

---

If  $\neg P$  and  $P \vee Q$  are two premises, we can use Disjunctive Syllogism to derive

$$\begin{array}{l} Q, \neg P \\ P \vee Q \\ \hline Q \end{array}$$

### Example

"The ice cream is not vanilla flavored"

"The ice cream is either vanilla flavored or chocolate flavored"

Therefore: "The ice cream is chocolate flavored"

## Hypothetical Syllogism

---

If  $P \rightarrow Q$  and  $Q \rightarrow R$  are two premises, we can use Hypothetical Syllogism to derive  $P \rightarrow$

$$\begin{array}{l} R, P \rightarrow Q \\ Q \rightarrow R \\ \hline P \rightarrow R \end{array}$$

### Example

"If it rains, I shall not go to school"

"If I don't go to school, I won't need to do homework"

Therefore: "If it rains, I won't need to do homework"

## Constructive Dilemma

---

If  $(P \rightarrow Q) \wedge (R \rightarrow S)$  and  $P \vee R$  are two premises, we can use constructive dilemma to derive  $Q \vee S$ .

$$\begin{array}{l} (P \rightarrow Q) \wedge (R \rightarrow S) \\ P \vee R \\ \hline Q \vee S \end{array}$$

### Example

"If it rains, I will take a leave"

"If it is hot outside, I will go for a shower"

"Either it will rain or it is hot outside"

Therefore: "I will take a leave or I will go for a shower"

## Destructive Dilemma

---

If  $(P \rightarrow Q) \wedge (R \rightarrow S)$  and  $\neg Q \vee \neg S$  are two premises, we can use destructive dilemma to derive  $P \vee R$ .

$$\begin{array}{c} (P \rightarrow Q) \wedge (R \rightarrow S) \\ \neg Q \vee \neg S \\ \hline \text{---PVR} \end{array}$$

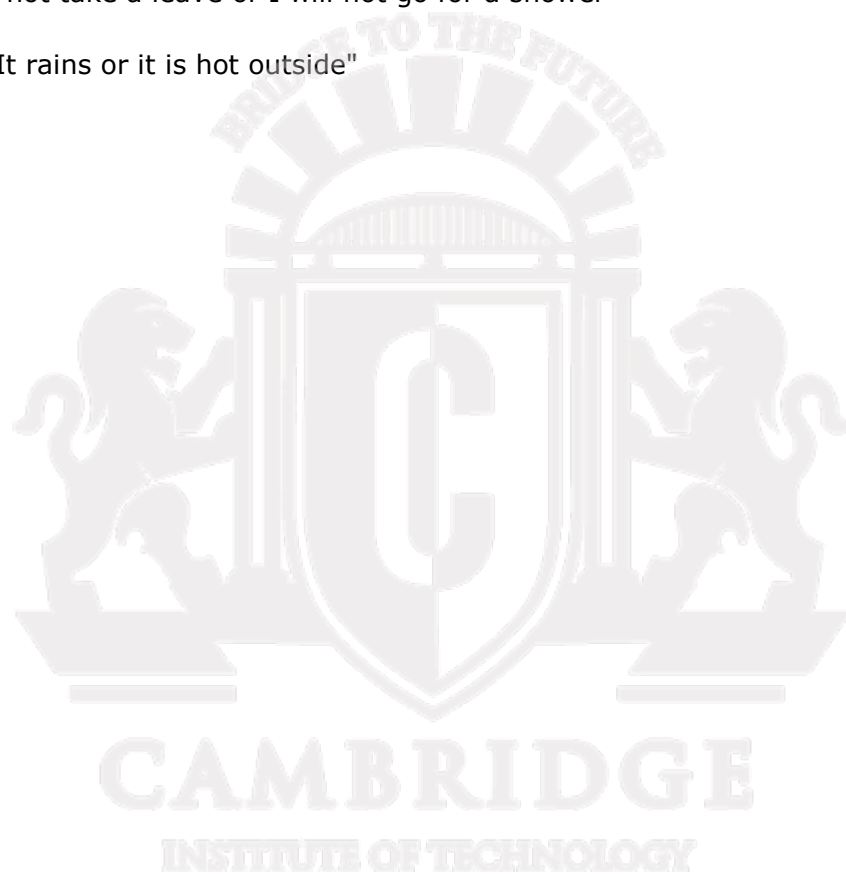
### Example

"If it rains, I will take a leave"

"If it is hot outside, I will go for a shower"

"Either I will not take a leave or I will not go for a shower"

Therefore: "It rains or it is hot outside"



*(SOURCE DIGINOTES)*



## **Part 3: Group Theory**

*(SOURCE DIGINOTES)*

Group Theory is a branch of mathematics and abstract algebra that defines an algebraic structure named as **group**. Generally, a group comprises of a set of elements and an operation over any two elements on that set to form a third element also in that set.

In 1854, Arthur Cayley, the British Mathematician, gave the modern definition of group for the first time:

*"A set of symbols all of them different, and such that the product of any two of them (no matter in what order), or the product of any one of them into itself, belongs to the set, is said to be a group. These symbols are not in general convertible [commutative], but are associative."*

In this chapter, we will know about **operators and postulates** that form the basics of set theory, group theory and Boolean algebra.

Any set of elements in a mathematical system may be defined with a set of operators and a number of postulates.

A **binary operator** defined on a set of elements is a rule that assigns to each pair of elements a unique element from that set. For example, given the set  $A = \{1, 2, 3, 4, 5\}$ , we can say  $\otimes$  is a binary operator for the operation  $= \otimes$ , if it specifies a rule for finding  $c$  for the pair of  $(a, b)$ , such that  $a, b, c \in A$ .

The **postulates** of a mathematical system form the basic assumptions from which rules can be deduced. The postulates are:

## Closure

A set is closed with respect to a binary operator if for every pair of elements in the set, the operator finds a unique element from that set.

**Example:** Let  $A = \{ 0, 1, 2, 3, 4, 5, \dots \}$

This set is closed under binary operator into  $(*)$ , because for the operation  $c = a + b$ , for any  $a, b \in A$ , the product  $c \in A$ .

The set is not closed under binary operator divide  $(\div)$ , because, for the operation  $c = a \div b$ , for any  $a, b \in A$ , the product  $c$  may not be in the set  $A$ . If  $a = 7, b = 2$ , then  $c = 3.5$ . Here  $a, b \in A$  but  $c \notin A$ .

## Associative Laws

A binary operator  $\otimes$  on a set  $A$  is associative when it holds the following property:  
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$ , where  $x, y, z \in A$

**Example:** Let  $A = \{ 1, 2, 3, 4 \}$

The operator plus  $(+)$  is associative because for any three elements,  $x, y, z \in A$ , the property  $(x + y) + z = x + (y + z)$  holds.

The operator minus ( - ) is not associative since

$$(x - y) - z \neq x - (y - z)$$

## Commutative Laws

A binary operator  $\otimes$  on a set  $A$  is commutative when it holds the following property:  
 $x \otimes y = y \otimes x$ , where  $x, y \in A$

**Example:** Let  $A = \{ 1, 2, 3, 4 \}$

The operator plus ( + ) is commutative because for any two elements,  $x, y \in A$ , the property  $x + y = y + x$  holds.

The operator minus ( - ) is not associative since

$$x - y \neq y - x$$

## Distributive Laws

Two binary operators  $\otimes$  and  $\oplus$  on a set  $A$ , are distributive over operator  $\odot$  when the following property holds:  
 $(x \otimes y) \odot z = (x \odot z) \otimes (y \odot z)$ , where  $x, y, z \in A$

**Example:** Let  $A = \{ 1, 2, 3, 4 \}$

The operators into ( \* ) and plus ( + ) are distributive over operator + because for any three elements,  $x, y, z \in A$ , the property  $x * (y + z) = (x * y) + (x * z)$  holds.

However, these operators are not distributive over \* since

$$x + (y * z) \neq (x + y) * (x + z)$$

## Identity Element

A set  $A$  has an identity element with respect to a binary operation  $\otimes$  on  $A$ , if there exists an element  $e \in A$ , such that the following property holds:  
 $x \otimes e = x = e \otimes x$ , where  $x \in A$

**Example:** Let  $Z = \{ 0, 1, 2, 3, 4, 5, \dots \}$

The element 1 is an identity element with respect to operation \* since for any element  $x \in Z$ ,

$$1 * x = x * 1$$

On the other hand, there is no identity element for the operation minus ( - )

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## Inverse

If a set  $A$  has an identity element with respect to a binary operator  $\otimes$ , it is said to have an inverse whenever for every element  $x \in A$ , there exists another element  $y \in A$ , such that the following property holds:

$$x \otimes y = \text{identity element}$$

**Example:** Let  $A = \{ \dots -4, -3, -2, -1, 0, 1, 2, 3, 4, 5, \dots \}$

Given the operation plus  $(+)$  and  $= 0$ , the inverse of any element  $x$  is  $(-x)$  since  $x + (-x) = 0$

## De Morgan's Law

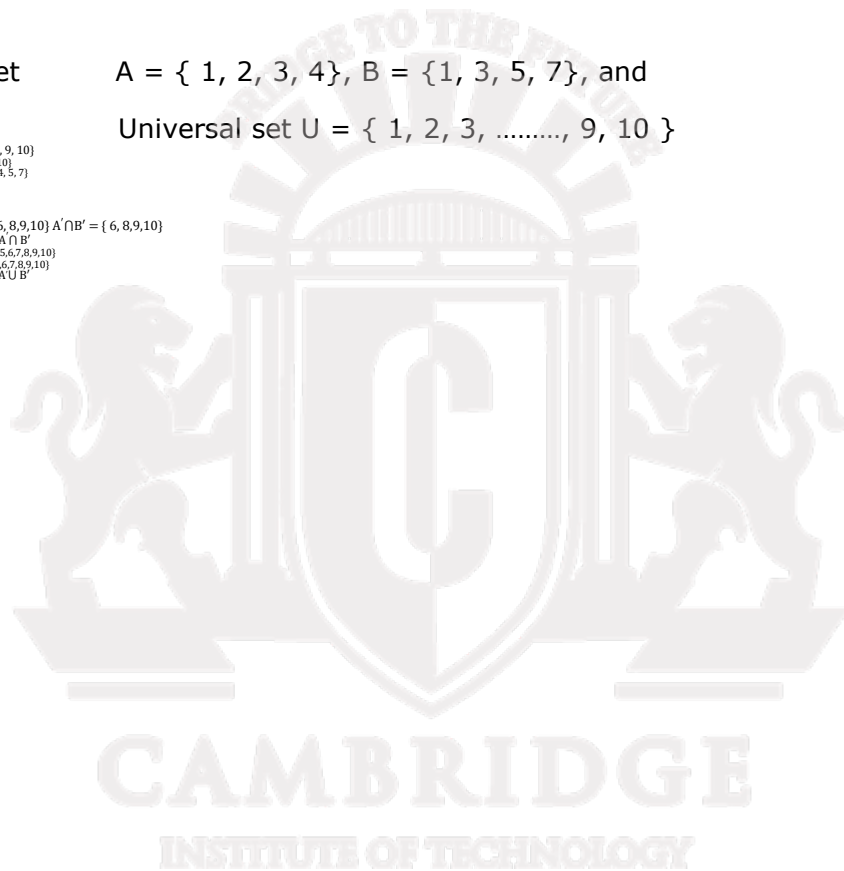
De Morgan's Laws gives a pair of transformations between union and intersection of two (or more) sets in terms of their complements. The laws are:

$$\begin{aligned} (A \cup B)' &= A' \cap B' \\ (A \cap B)' &= A' \cup B' \end{aligned}$$

**Example:** Let  $A = \{ 1, 2, 3, 4 \}$ ,  $B = \{ 1, 3, 5, 7 \}$ , and  
 Universal set  $U = \{ 1, 2, 3, \dots, 9, 10 \}$

$$\begin{aligned} A' &= \{ 5, 6, 7, 8, 9, 10 \} \\ B' &= \{ 2, 4, 6, 8, 9, 10 \} \\ A \cup B &= \{ 1, 2, 3, 4, 5, 7 \} \\ A \cap B &= \{ 1, 3 \} \end{aligned}$$

$(A \cup B)' = \{ 6, 8, 9, 10 \}$ ;  $A' \cap B' = \{ 6, 8, 9, 10 \}$   
 Thus, we see that  $(A \cup B)' = A' \cap B'$   
 $(A \cap B)' = \{ 2, 4, 5, 6, 7, 8, 9, 10 \}$   
 Thus, we see that  $(A \cap B)' = A' \cup B'$



## Semigroup

---

A finite or infinite set 'S' with a binary operation '0' (Composition) is called semigroup if it holds following two conditions simultaneously:

**Closure:** For every pair  $(a, b) \in S$ ,  $(a \circ b)$  has to be present in the set S.

**Associative:** For every element  $a, b, c \in S$ ,  $(a \circ b) \circ c = a \circ (b \circ c)$  must hold.

### Example:

The set of positive integers (excluding zero) with addition operation is a semigroup. For example,  $S = \{1, 2, 3, \dots\}$

Here closure property holds as for every pair  $(a, b) \in S$ ,  $(a + b)$  is present in the set S. For example,  $1 + 2 = 3 \in S$

Associative property also holds for every element  $a, b, c \in S$ ,  $(a + b) + c = a + (b + c)$ . For example,  $(1 + 2) + 3 = 1 + (2 + 3) = 5$

## Monoid

---

A monoid is a semigroup with an identity element. The identity element (denoted by  $e$  or  $E$ ) of a set S is an element such that  $(a \circ e) = a$ , for every element  $a \in S$ . An identity element is also called a **unit element**. So, a monoid holds three properties simultaneously: **Closure, Associative, Identity element**.

### Example

The set of positive integers (excluding zero) with multiplication operation is a monoid.  $S = \{1, 2, 3, \dots\}$

Here closure property holds as for every pair  $(a, b) \in S$ ,  $(a \times b)$  is present in the set S. [For example,  $1 \times 2 = 2 \in S$  and so on]

Associative property also holds for every element  $a, b, c \in S$ ,  $(a \times b) \times c = a \times (b \times c)$  [For example,  $(1 \times 2) \times 3 = 1 \times (2 \times 3) = 6$  and so on]

Identity property also holds for every element  $a \in S$ ,  $(a \times e) = a$  [For example,  $(2 \times 1) = 2$ ,  $(3 \times 1) = 3$  and so on]. Here identity element is 1.

## Group

---

A group is a monoid with an inverse element. The inverse element (denoted by  $I$ ) of a set S is an element such that  $(a \circ I) = (I \circ a) = a$ , for each element  $a \in S$ . So, a group holds four properties simultaneously - i) Closure, ii) Associative, iii) Identity element, iv) Inverse element. The order of a group G is the number of elements in G and the order of an

element in a group is the least positive integer  $n$  such that  $a^n$  is the identity element of that group  $G$ .

## Examples

The set of  $N \times N$  non-singular matrices form a group under matrix multiplication operation.

The product of two  $N \times N$  non-singular matrices is also an  $N \times N$  non-singular matrix which holds closure property.

Matrix multiplication itself is associative. Hence, associative property holds.

The set of  $N \times N$  non-singular matrices contains the identity matrix holding the identity element property.

As all the matrices are non-singular they all have inverse elements which are also non-singular matrices. Hence, inverse property also holds.

## Abelian Group

An abelian group  $G$  is a group for which the element pair  $(a,b) \in G$  always holds commutative law. So, a group holds five properties simultaneously - i) Closure, ii) Associative, iii) Identity element, iv) Inverse element, v) Commutative.

### Example

The set of positive integers (including zero) with addition operation is an abelian group.  
 $G = \{0, 1, 2, 3, \dots\}$

Here closure property holds as for every pair  $(a, b) \in S$ ,  $(a + b)$  is present in the set  $S$ . [For example,  $1 + 2 = 2 \in S$  and so on]

Associative property also holds for every element  $a, b, c \in S$ ,  $(a + b) + c = a + (b + c)$  [For example,  $(1 + 2) + 3 = 1 + (2 + 3) = 6$  and so on]

Identity property also holds for every element  $a \in S$ ,  $(a \times e) = a$  [For example,  $(2 \times 1) = 2$ ,  $(3 \times 1) = 3$  and so on]. Here, identity element is 1.

Commutative property also holds for every element  $a \in S$ ,  $(a \times b) = (b \times a)$  [For example,  $(2 \times 3) = (3 \times 2) = 3$  and so on]

## Cyclic Group and Subgroup

A **cyclic group** is a group that can be generated by a single element. Every element of a cyclic group is a power of some specific element which is called a generator. A cyclic group can be generated by a generator ' $g$ ', such that every other element of the group can be written as a power of the generator ' $g$ '.

### Example

The set of complex numbers  $\{1, -1, i, -i\}$  under multiplication operation is a cyclic group.

There are two generators:  $i$  and  $-i$  as  $i^1 = i$ ,  $i^2 = -1$ ,  $i^3 = -i$ ,  $i^4 = 1$  and also  $(-i)^1 = -i$ ,  $(-i)^2 = -1$ ,  $(-i)^3 = i$ ,  $(-i)^4 = 1$  which covers all the elements of the group. Hence, it is a cyclic group.



**Note:** A **cyclic group** is always an abelian group but not every abelian group is a cyclic group. The rational numbers under addition is not cyclic but is abelian.

A **subgroup**  $H$  is a subset of a group  $G$  (denoted by  $H \leq G$ ) if it satisfies the four properties simultaneously: **Closure**, **Associative**, **Identity element**, and **Inverse**.

A subgroup  $H$  of a group  $G$  that does not include the whole group  $G$  is called a proper subgroup (Denoted by  $H < G$ ). A subgroup of a cyclic group is cyclic and a abelian subgroup is also abelian.

### Example

Let a group  $G = \{1, i, -1, -i\}$

Then some subgroups are  $H_1 = \{1\}$ ,  $H_2 = \{1, -1\}$ ,

This is not a subgroup:  $H_3 = \{1, i\}$  because that  $(i)^{-1} = -i$  is not in  $H_3$

## Partially Ordered Set (POSET)

A partially ordered set consists of a set with a binary relation which is reflexive, anti-symmetric and transitive. "Partially ordered set" is abbreviated as POSET.

### Examples

1. The set of real numbers under binary operation less than or equal to ( $\leq$ ) is a poset.

Let the set  $S = \{1, 2, 3\}$  and the operation is  $\leq$

The relations will be  $\{(1, 1), (2, 2), (3, 3), (1, 2), (1, 3), (2, 3)\}$  This relation  $R$  is reflexive as  $\{(1, 1), (2, 2), (3, 3)\} \in R$

This relation  $R$  is anti-symmetric, as

$\{(1, 2), (1, 3), (2, 3)\} \in R$  and  $\{(1, 2), (1, 3), (2, 3)\} \notin R$  This relation  $R$  is also transitive.  
Hence, it is a **poset**.

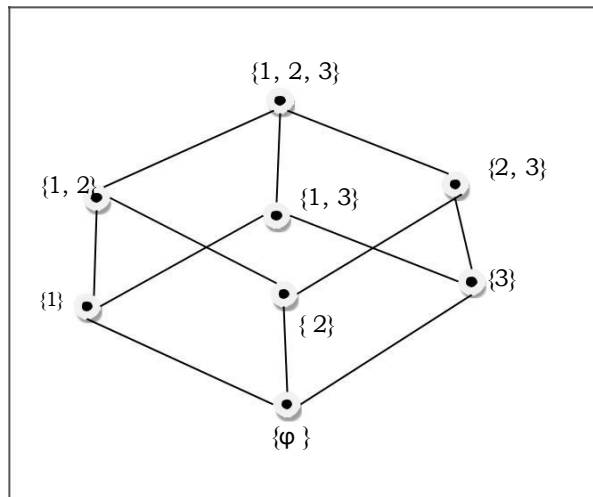
2. The vertex set of a directed acyclic graph under the operation 'reachability' is a poset.

## Hasse Diagram

The Hasse diagram of a poset is the directed graph whose vertices are the element of that poset and the arcs covers the pairs  $(x, y)$  in the poset. If in the poset  $x < y$ , then the point  $x$  appears lower than the point  $y$  in the Hasse diagram. If  $x < y < z$  in the poset, then the arrow is not shown between  $x$  and  $z$  as it is implicit.

### Example

The poset of subsets of  $\{1, 2, 3\} = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$  is shown by the following Hasse diagram:



## Linearly Ordered Set

A Linearly ordered set or Total ordered set is a partial order set in which every pair of element is comparable. The elements  $a, b \in S$  are said to be comparable if either  $a \leq b$  or  $b \leq a$  holds. Trichotomy law defines this total ordered set. A totally ordered set can be defined as a distributive lattice having the property  $\{a \vee b, a \wedge b\} = \{a, b\}$  for all values of  $a$  and  $b$  in set  $S$ .

### Example

The powerset of  $\{a, b\}$  ordered by  $\subseteq$  is a totally ordered set as all the elements of the power set  $P = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$  are comparable.

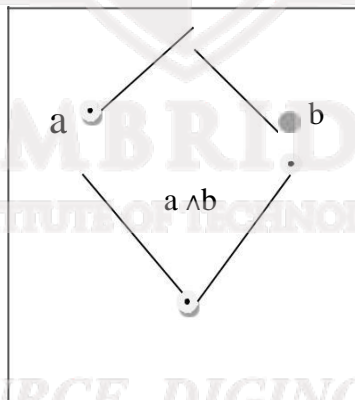
### Example of non-total order set

A set  $S = \{1, 2, 3, 4, 5, 6\}$  under operation  $x$  divides  $y$  is not a total ordered set.

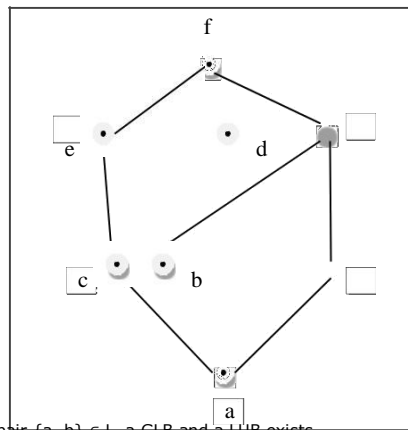
Here, for all  $(x, y) \in S$ ,  $x \leq y$  have to hold but it is not true that  $2 \leq 3$ , as 2 does not divide 3 or 3 does not divide 2. Hence, it is not a total ordered set.

## Lattice

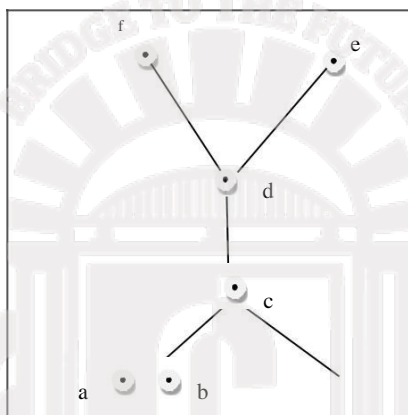
A lattice is a poset  $(L, \leq)$  for which every pair  $\{a, b\} \in L$  has a least upper bound (denoted by  $a \vee b$ ) and a greatest lower bound (denoted by  $a \wedge b$ ). LUB  $(\{a, b\})$  is called the join of  $a$  and  $b$ . GLB  $(\{a, b\})$  is called the meet of  $a$  and  $b$ .



**Example**



This above figure is a lattice because for every pair  $(a, b) \in L$ , a GLB and a LUB exists.



This above figure is a not a lattice because GLB  $(a, b)$  and LUB  $(e, f)$  does not exist.

Some other lattices are discussed below:

**Bounded Lattice**

A lattice  $L$  becomes a bounded lattice if it has a greatest element 1 and a least element 0.

**Complemented Lattice**

A lattice  $L$  becomes a complemented lattice if it is a bounded lattice and if every element in the lattice has a complement. An element  $x$  has a complement  $x'$  if  $\exists x(x \wedge x' = 0 \text{ and } x \vee x' = 1)$

**Distributive Lattice**

If a lattice satisfies the following two distribute properties, it is called a distributive lattice.

$$\begin{aligned} a \vee (b \wedge c) &= (a \vee b) \wedge (a \vee c) \\ a \wedge (b \vee c) &= (a \wedge b) \vee (a \wedge c) \end{aligned}$$

*(SOURCE DIGINOTES)*

## Modular Lattice

If a lattice satisfies the following property, it is called modular lattice.  $a \wedge (b \vee (a \wedge d)) = (a \wedge b) \vee (a \wedge d)$

## Properties of Lattices

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### Idempotent Properties

- $a \vee a = a$
- $a \wedge a = a$

### Absorption Properties

- $a \vee (a \wedge b) = a$
- $a \wedge (a \vee b) = a$

### Commutative Properties

- $a \vee b = b \vee a$
- $a \wedge b = b \wedge a$

### Associative Properties

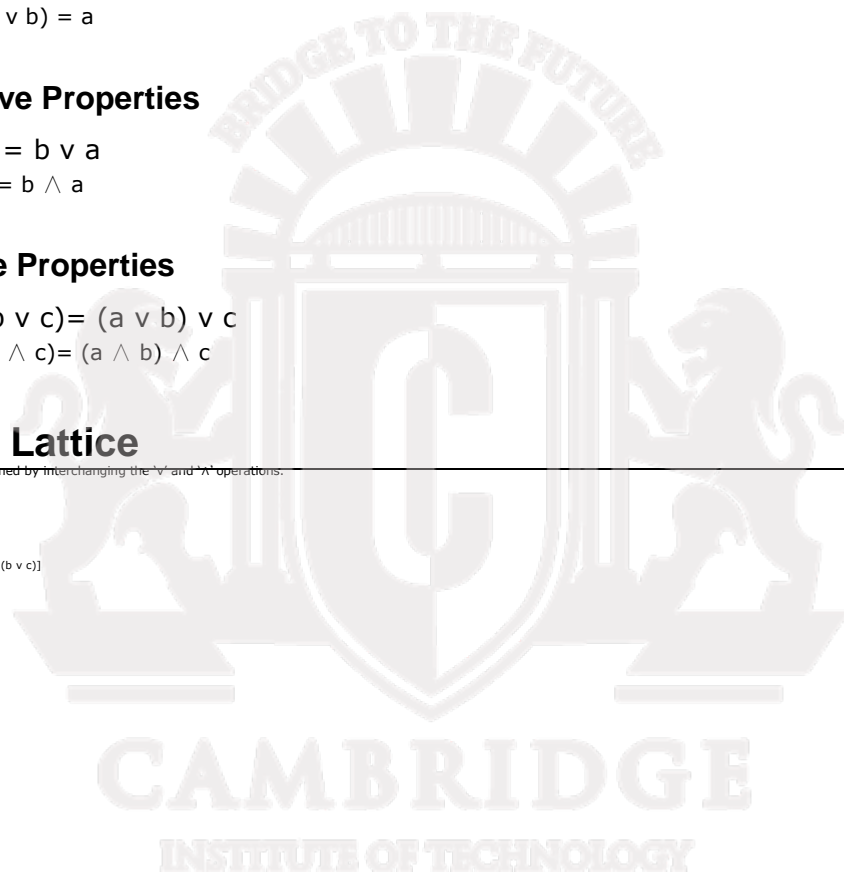
- $a \vee (b \vee c) = (a \vee b) \vee c$
- $a \wedge (b \wedge c) = (a \wedge b) \wedge c$

## Dual of a Lattice

The dual of a lattice is obtained by interchanging the  $\vee$  and  $\wedge$  operations.

### Example

The dual of  $[a \vee (b \wedge c)]$  is  $[a \wedge (b \vee c)]$



*(SOURCE DIGINOTES)*

The logo of the Cambridge Institute of Technology is a watermark in the background. It features a central shield with a large 'C' and 'I' intertwined. Above the shield is an archway with the text 'BRIDGE TO THE FUTURE'. Two lions are flanking the shield, and the text 'CAMBRIDGE INSTITUTE OF TECHNOLOGY' is at the bottom.

**Part 4: Counting & Probability**

*(SOURCE DIGINOTES)*

In daily lives, many a times one needs to find out the number of all possible outcomes for a series of events. For instance, in how many ways can a panel of judges comprising of 6 men and 4 women be chosen from among 50 men and 38 women? How many different 10 lettered PAN numbers can be generated such that the first five letters are capital alphabets, the next four are digits and the last is again a capital letter. For solving these problems, mathematical theory of counting are used. **Counting** mainly encompasses fundamental counting rule, the permutation rule, and the combination rule.

## The Rules of Sum and Product

---

The **Rule of Sum** and **Rule of Product** are used to decompose difficult counting problems into simple problems.

- **The Rule of Sum:** If a sequence of tasks  $T_1, T_2, \dots, T_m$  can be done in  $w_1, w_2, \dots, w_m$  ways respectively (the condition is that no tasks can be performed simultaneously), then the number of ways to do one of these tasks is  $w_1 + w_2 + \dots + w_m$ . If we consider two tasks A and B which are disjoint (i.e.  $A \cap B = \emptyset$ ), then mathematically  $|A \cup B| = |A| + |B|$
- **The Rule of Product:** If a sequence of tasks  $T_1, T_2, \dots, T_m$  can be done in  $w_1, w_2, \dots, w_m$  ways respectively and every task arrives after the occurrence of the previous task, then there are  $w_1 \times w_2 \times \dots \times w_m$  ways to perform the tasks. Mathematically, if a task B arrives after a task A, then  $|A \times B| = |A| \times |B|$

### Example

**Question:** A boy lives at X and wants to go to School at Z. From his home X he has to first reach Y and then Y to Z. He may go X to Y by either 3 bus routes or 2 train routes. From there, he can either choose 4 bus routes or 5 train routes to reach Z. How many ways are there to go from X to Z?

**Solution:** From X to Y, he can go in  $3+2=5$  ways (Rule of Sum). Thereafter, he can go Y to Z in  $4+5 = 9$  ways (Rule of Sum). Hence from X to Z he can go in  $5 \times 9 = 45$  ways (Rule of Product).

## Permutations

---

A **permutation** is an arrangement of some elements in which order matters. In other words a Permutation is an ordered Combination of elements.

### Examples

- From a set  $S = \{x, y, z\}$  by taking two at a time, all permutations are:  
 $xy, yx, xz, zx, yz, zy$ .

- We have to form a permutation of three digit numbers from a set of numbers  $S = \{1, 2, 3\}$ . Different three digit numbers will be formed when we arrange the digits. The permutation will be = 123,132,213,231,312,321

## Number of Permutations

The number of permutations of 'n' different things taken 'r' at a time is denoted by  ${}^n P_r$

where  $r = 1, 2, 3, \dots, (n-1)$ .

$r! = (r-1)!$

**Proof:** Let there be 'n' different elements.

There are n number of ways to fill up the first place. After filling the first place (n-1) number of elements is left. Hence, there are (n-1) ways to fill up the second place. After filling the first and second place, (n-2) number of elements is left. Hence, there are (n-2) ways to fill up the third place. We can now generalize the number of ways to fill up r-th place as  $[n - (r-1)] = n-r+1$

So, the total no. of ways to fill up from first place upto r-th-place:

$$\begin{aligned} {}^n P_r &= n (n-1) (n-2) \dots (n-r+1) \\ &= [n(n-1)(n-2) \dots (n-r+1)] [(n-r)(n-r-1) \dots 3.2.1] / [(n-r)(n-r-1) \dots 3.2.1] \end{aligned}$$

Hence,

$${}^n P_r = n! / (n-r)!$$

## Some important formulas of permutation

- If there are  $n$  elements of which  $a_1$  are alike of some kind,  $a_2$  are alike of another kind;  $a_3$  are alike of third kind and so on and  $a_r$  are of  $r^{\text{th}}$  kind, where  $(a_1 + a_2 + \dots + a_r) = n$ .  
 Then, number of permutations of these  $n$  objects is =  $n! / [(a_1!) (a_2!) \dots (a_r!)]$ .
- Number of permutations of  $n$  distinct elements taking  $n$  elements at a time =  ${}^n P_n = n!$
- The number of permutations of  $n$  dissimilar elements taking  $r$  elements at a time, when  $x$  particular things always occupy definite places =  ${}^{n-x} P_{r-x}$
- The number of permutations of  $n$  dissimilar elements when  $r$  specified things always come together is:  $r! (n-r+1)!$
- The number of permutations of  $n$  dissimilar elements when  $r$  specified things never come together is:  $n! - [r! (n-r+1)!]$
- The number of circular permutations of  $n$  different elements taken  $x$  elements at time =  ${}^n P_x / x$
- The number of circular permutations of  $n$  different things =  ${}^n P_n / n$

## Some Problems

**Problem 1:** From a bunch of 6 different cards, how many ways we can permute it?

**Solution:** As we are taking 6 cards at a time from a deck of 6 cards, the permutation will be  ${}^6P_6 = 6! = 720$

**Problem 2:** In how many ways can the letters of the word 'READER' be arranged?

**Solution:** There are 6 letters word (2 E, 1 A, 1 D and 2R.) in the word 'READER'.

The permutation will be =  $6! / [(2!) (1!)(1!)(2!)] = 180$ .

**Problem 3:** In how ways can the letters of the word 'ORANGE' be arranged so that the consonants occupy only the even positions?

**Solution:** There are 3 vowels and 3 consonants in the word 'ORANGE'. Number of ways of arranging the consonants among themselves =  ${}^3P_3 = 3! = 6$ . The remaining 3 vacant places will be filled up by 3 vowels in  ${}^3P_3 = 3! = 6$  ways. Hence, the total number of permutation is  $6 \times 6 = 36$

## Combinations

A **combination** is selection of some given elements in which order does not matter.

The number of all combinations of n things, taken r at a time is:

$${}^nC_r = \frac{n!}{r!(n-r)!}$$

### Problem 1

Find the number of subsets of the set  $\{1, 2, 3, 4, 5, 6\}$  having 3 elements.

### Solution

The cardinality of the set is 6 and we have to choose 3 elements from the set. Here, the ordering does not matter. Hence, the number of subsets will be  ${}^6C_3 = 20$ .

### Problem 2

There are 6 men and 5 women in a room. In how many ways we can choose 3 men and 2 women from the room?

### Solution

The number of ways to choose 3 men from 6 men is  ${}^6C_3$  and the number of ways to choose 2 women from 5 women is  ${}^5C_2$

Hence, the total number of ways is:  ${}^6C_3 \times {}^5C_2 = 20 \times 10 = 200$



**Problem 3**

How many ways can you choose 3 distinct groups of 3 students from total 9 students?

**Solution**

Let us number the groups as 1, 2 and 3

For choosing 3 students for 1<sup>st</sup> group, the number of ways:  ${}^9C_3$

The number of ways for choosing 3 students for 2<sup>nd</sup> group after choosing 1<sup>st</sup> group:  ${}^6C_3$  The number of ways for choosing 3 students for 3<sup>rd</sup> group after choosing 1<sup>st</sup> and 2<sup>nd</sup> group:  ${}^3C_3$

Hence, the total number of ways =  ${}^9C_3 \times {}^6C_3 \times {}^3C_3 = 84 \times 20 \times 1 = 1680$

**Pascal's Identity**

**Pascal's identity**, first derived by Blaise Pascal in 19th century, states that the number of ways to choose  $k$  elements from  $n$  elements is equal to the summation of number of ways to choose  $(k-1)$  elements from  $(n-1)$  elements and the number of ways to choose  $k$  elements from  $(n-1)$  elements.

Mathematically, for any positive integers  $k$  and  $n$ :  ${}^nC_k = {}^{n-1}C_{k-1} + {}^{n-1}C_k$

**Proof:**

$$\begin{aligned}
 &= \frac{(-1)^{n-k} (-1)^{k-1}}{(-1)^{n-k} (-1)^{k-1}} + \frac{(-1)^{n-k} (-1)^k}{(-1)^{n-k} (-1)^k} \\
 &= \frac{(-1)^{n-k+k-1}}{(-1)^{n-k+k-1}} + \frac{(-1)^{n-k+k}}{(-1)^{n-k+k}} \\
 &= \frac{(-1)^{n-1}}{(-1)^{n-1}} + \frac{(-1)^{n-k+k}}{(-1)^{n-k+k}} \\
 &= 1 + 1 = 2
 \end{aligned}$$

**Pigeonhole Principle**

In 1834, German mathematician, Peter Gustav Lejeune Dirichlet, stated a principle which he called the drawer principle. Now, it is known as the pigeonhole principle.

**Pigeonhole Principle** states that if there are fewer pigeon holes than total number of pigeons and each pigeon is put in a pigeon hole, then there must be at least one pigeon hole with more than one pigeon. If  $n$  pigeons are put into  $m$  pigeonholes where  $n > m$ , there's a hole with more than one pigeon.

**Examples**

1. Ten men are in a room and they are taking part in handshakes. If each person shakes hands at least once and no man shakes the same man's hand more than once then two men took part in the same number of handshakes.

- There must be at least two people in a big city with the same number of hairs on their heads.

## The Inclusion-Exclusion principle

The **Inclusion-exclusion principle** computes the cardinal number of the union of multiple non-disjoint sets. For two sets A and B, the principle states:

$$|A \cup B| = |A| + |B| - |A \cap B|$$

For three sets A, B and C, the principle states:

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

The generalized formula:

$$|U| = \sum |S_i| - \sum |S_i \cap S_j| + \sum |S_i \cap S_j \cap S_k| - \dots + (-1)^{n-1} |S_1 \cap \dots \cap S_n|$$

### Problem 1

How many integers from 1 to 50 are only multiples of 2 or 3?

#### Solution

From 1 to 100, there are  $50/2=25$  numbers which are multiples of

2. There are  $50/3=16$  numbers which are multiples of 3.

There are  $50/6=8$  numbers which are multiples of both 2 and 3.

So,  $|A|=25$ ,  $|B|=16$  and  $|A \cap B|= 8$ .

$$|A \cup B| = |A| + |B| - |A \cap B| = 25 + 16 - 8 = 33$$

### Problem 2

In a group of 50 students 24 like cold drinks and 36 like hot drinks and each student likes at least one of the two drinks. How many like both coffee and tea?

#### Solution

Let X be the set of students who like cold drinks and Y be the set of people who like hot drinks.

So,

$$\begin{aligned} |X \cup Y| = 50, |X| = 24, |Y| = 36 \\ |X \cap Y| = |X| + |Y| - |X \cup Y| \\ 24 + 36 - 50 = 60 - 50 = 10 \end{aligned}$$

Hence, there are 10 students who like both tea and coffee.

Whenever sets are being discussed, the relationship between the elements of the sets is the next thing that comes up. **Relations** may exist between objects of the same set or between objects of two or more sets.

## Definition and Properties

---

A binary relation  $R$  from set  $x$  to  $y$  (written as  $xRy$  or  $R(x,y)$ ) is a subset of the Cartesian product  $x \times y$ . If the ordered pair of  $G$  is reversed, the relation also changes.

Generally an  $n$ -ary relation  $R$  between sets  $A_1, \dots, A_n$  is a subset of the  $n$ -ary product  $A_1 \times \dots \times A_n$ . The minimum cardinality of a relation  $R$  is Zero and maximum is  $n^2$  in this case.

A binary relation  $R$  on a single set  $A$  is a subset of  $A \times A$ .

For two distinct sets,  $A$  and  $B$ , having cardinalities  $m$  and  $n$  respectively, the maximum cardinality of a relation  $R$  from  $A$  to  $B$  is  $mn$ .

## Domain and Range

---

If there are two sets  $A$  and  $B$ , and relation  $R$  have order pair  $(x, y)$ , then:

The **domain** of  $R$  is the set  $\{x \mid (x, y) \in R \text{ for some } y \text{ in } B\}$

The **range** of  $R$  is the set  $\{y \mid (x, y) \in R \text{ for some } x \text{ in } A\}$

## Examples

Let,  $A = \{1,2,9\}$  and  $B = \{1,3,7\}$

- Case 1: If relation  $R$  is 'equal to' then  $R = \{(1, 1), (3, 3)\}$
- Case 2: If relation  $R$  is 'less than' then  $R = \{(1, 3), (1, 7), (2, 3), (2, 7)\}$
- Case 3: If relation  $R$  is 'greater than' then  $R = \{(2, 1), (9, 1), (9, 3), (9, 7)\}$

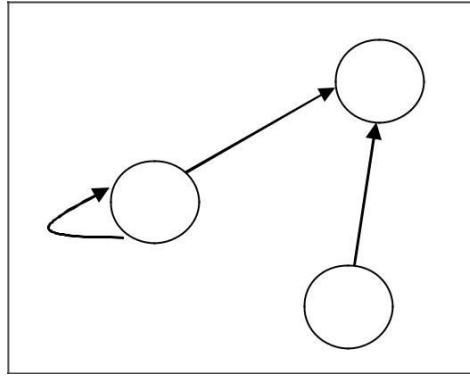
## Representation of Relations using Graph

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A relation can be represented using a directed graph.

The number of vertices in the graph is equal to the number of elements in the set from which the relation has been defined. For each ordered pair  $(x, y)$  in the relation  $R$ , there will be a directed edge from the vertex ' $x$ ' to vertex ' $y$ '. If there is an ordered pair  $(x, x)$ , there will be self- loop on vertex ' $x$ '.

Suppose, there is a relation  $R = \{(1, 1), (1,2), (3, 2)\}$  on set  $S = \{1,2,3\}$ , it can be represented by the following graph:



**Figure:** Representation of relation by directed graph

## Types of Relations

1. The **Empty Relation** between sets  $X$  and  $Y$ , or on  $E$ , is the empty set  $\emptyset$ .
2. The **Full Relation** between sets  $X$  and  $Y$  is the set  $X \times Y$ .
3. The **Identity Relation** on set  $X$  is the set  $\{(x,x) \mid x \in X\}$ .
4. The Inverse Relation  $R'$  of a relation  $R$  is defined as:  $R' = \{(b,a) \mid (a,b) \in R\}$ . **Example:** If  $R = \{(1, 2), (2,3)\}$  then  $R'$  will be  $\{(2,1), (3,2)\}$ .
5. A relation  $R$  on set  $A$  is called **Reflexive** if  $\forall a \in A$  is related to  $a$  ( $aRa$  holds). **Example:** The relation  $R = \{(a,a), (b,b)\}$  on set  $X = \{a,b\}$  is reflexive.
6. A relation  $R$  on set  $A$  is called **Irreflexive** if no  $a \in A$  is related to  $a$  ( $aRa$  does not hold).  
**Example:** The relation  $R = \{(a,b), (b,a)\}$  on set  $X = \{a,b\}$  is irreflexive.
7. A relation  $R$  on set  $A$  is called **Symmetric** if  $xRy$  implies  $yRx$ ,  $\forall x \in A$  and  $\forall y \in A$ .  
**Example:** The relation  $R = \{(1, 2), (2, 1), (3, 2), (2, 3)\}$  on set  $A = \{1, 2, 3\}$  is symmetric.
8. A relation  $R$  on set  $A$  is called **Anti-Symmetric** if  $xRy$  and  $yRx$  implies  $x=y$   $\forall x \in A$  and  $\forall y \in A$ .  
**Example:** The relation  $R = \{(1, 2), (3, 2)\}$  on set  $A = \{1, 2, 3\}$  is antisymmetric.
9. A relation  $R$  on set  $A$  is called **Transitive** if  $xRy$  and  $yRz$  implies  $xRz$ ,  $\forall x,y,z \in A$ . **Example:** The relation  $R = \{(1, 2), (2, 3), (1, 3)\}$  on set  $A = \{1, 2, 3\}$  is transitive.
10. A relation is an **Equivalence Relation** if it is reflexive, symmetric, and transitive.  
**Example:** The relation  $R = \{(1, 1), (2, 2), (3, 3), (1, 2), (2,1), (2,3), (3,2), (1,3), (3,1)\}$  on set  $A = \{1, 2, 3\}$  is an equivalence relation since it is reflexive, symmetric, and transitive.

A **Function** assigns to each element of a set, exactly one element of a related set. Functions find their application in various fields like representation of the computational complexity of algorithms, counting objects, study of sequences and strings, to name a few. The third and final chapter of this part highlights the important aspects of functions.

## Function – Definition

---

A function or mapping (Defined as  $f: X \rightarrow Y$ ) is a relationship from elements of one set  $X$  to elements of another set  $Y$  ( $X$  and  $Y$  are non-empty sets).  $X$  is called Domain and  $Y$  is called Codomain of function 'f'.

Function 'f' is a relation on  $X$  and  $Y$  s.t for each  $x \in X$ , there exists a unique  $y \in Y$  such that  $(x,y) \in R$ .  $x$  is called pre-image and  $y$  is called image of function  $f$ .

A function can be one to one, many to one (not one to many). A function  $f: A \rightarrow B$  is said to be invertible if there exists a function  $g: B \rightarrow A$

## Injective / One-to-one function

---

A function  $f: A \rightarrow B$  is injective or one-to-one function if for every  $b \in B$ , there exists at most one  $a \in A$  such that  $f(a) = b$ .

This means a function  $f$  is injective if  $a_1 \neq a_2$  implies  $f(a_1) \neq f(a_2)$ .

### Example

1.  $f: \mathbb{N} \rightarrow \mathbb{N}$ ,  $f(x) = 5x$  is injective.
2.  $f: \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ ,  $f(x) = x^2$  is injective.
3.  $f: \mathbb{N} \rightarrow \mathbb{N}$ ,  $f(x) = x^2$  is not injective as  $(-x)^2 = x^2$

## Surjective / Onto function

---

A function  $f: A \rightarrow B$  is surjective (onto) if the image of  $f$  equals its range. Equivalently, for every  $b \in B$ , there exists some  $a \in A$  such that  $f(a) = b$ . This means that for any  $y$  in  $B$ , there exists some  $x$  in  $A$  such that  $y = f(x)$ .

### Example

1.  $f: \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$ ,  $f(x) = x^2$  is surjective.
2.  $f: \mathbb{N} \rightarrow \mathbb{N}$ ,  $f(x) = x^2$  is not surjective as  $(-x)^2 = x^2$

## Bijjective / One-to-one Correspondent

---

A function  $f: A \rightarrow B$  is bijective or one-to-one correspondent if and only if  $f$  is both injective and surjective.

**Problem:**

Prove that a function  $f: \mathbb{R} \rightarrow \mathbb{R}$  defined by  $f(x) = 2x - 3$  is a bijective function.

**Explanation:** We have to prove this function is both injective and surjective. If  $f(x_1) = f(x_2)$ , then  $2x_1 - 3 = 2x_2 - 3$  and it implies that  $x_1 = x_2$ .

Hence,  $f$  is **injective**.

Here,  $2x - 3 = y$

So,  $x = (y+3)/2$  which belongs to  $\mathbb{R}$  and  $f(x) =$

$y$ . Hence,  $f$  is **surjective**.

Since  $f$  is both **surjective** and **injective**, we can say  $f$  is **bijective**.

## Composition of Functions

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Two functions  $f: A \rightarrow B$  and  $g: B \rightarrow C$  can be composed to give a composition  $g \circ f$ . This is a function from  $A$  to  $C$  defined by  $(g \circ f)(x) = g(f(x))$

**Example**

Let  $f(x) = x + 2$  and  $g(x) = 2x$ , find  $(f \circ g)(x)$  and  $(g \circ f)(x)$

**Solution**

$$(f \circ g)(x) = f(g(x)) = f(2x) = 2x + 2$$

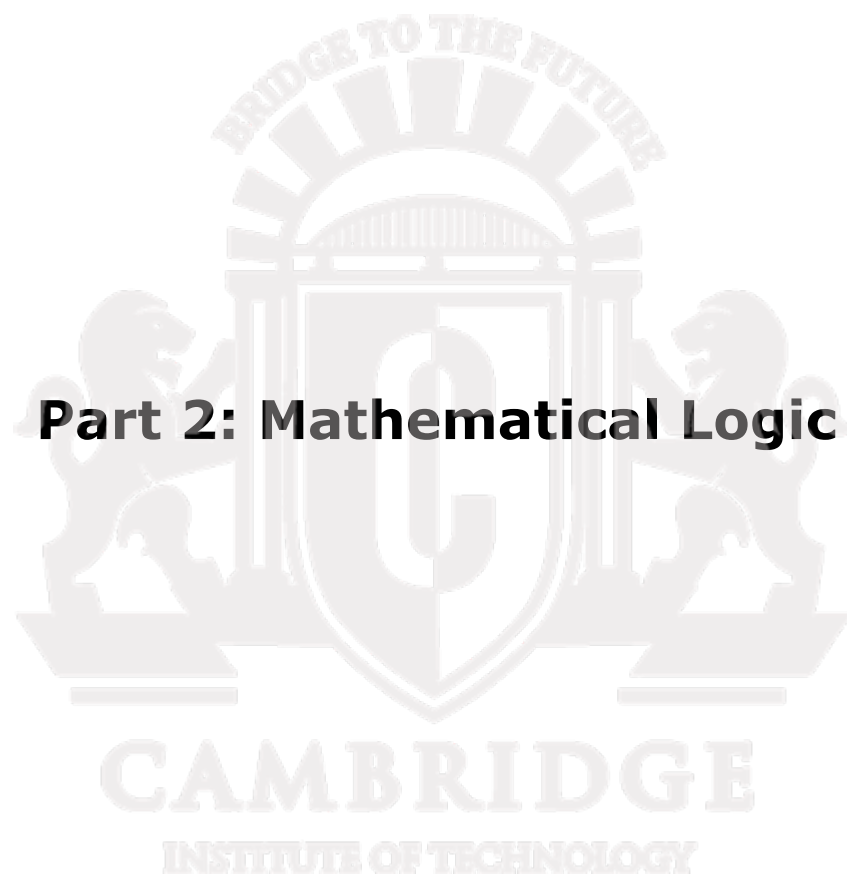
$$(g \circ f)(x) = g(f(x)) = g(x + 2) =$$

$$2(x + 2) = 2x + 4 \text{ Hence, } (f \circ g)(x) \neq (g \circ f)(x)$$

**Some Facts about Composition**

- If  $f$  and  $g$  are one-to-one then the function  $(g \circ f)$  is also one-to-one.
- If  $f$  and  $g$  are onto then the function  $(g \circ f)$  is also onto.
- Composition always holds associative property but does not hold commutative property.

*(SOURCE DIGINOTES)*



**Part 2: Mathematical Logic**

*(SOURCE DIGINOTES)*

- There must be at least two people in a big city with the same number of hairs on their heads.

## The Inclusion-Exclusion principle

The **Inclusion-exclusion principle** computes the cardinal number of the union of multiple non-disjoint sets. For two sets A and B, the principle states:

$$|A \cup B| = |A| + |B| - |A \cap B|$$

For three sets A, B and C, the principle states:

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

The generalized formula:

$$|U| = \sum |S_i| - \sum |S_i \cap S_j| + \sum |S_i \cap S_j \cap S_k| - \dots + (-1)^{n-1} |S_1 \cap \dots \cap S_n|$$

### Problem 1

How many integers from 1 to 50 are only multiples of 2 or 3?

#### Solution

From 1 to 100, there are  $50/2=25$  numbers which are multiples of

2. There are  $50/3=16$  numbers which are multiples of 3.

There are  $50/6=8$  numbers which are multiples of both 2 and 3.

So,  $|A|=25$ ,  $|B|=16$  and  $|A \cap B|= 8$ .

$$|A \cup B| = |A| + |B| - |A \cap B| = 25 + 16 - 8 = 33$$

### Problem 2

In a group of 50 students 24 like cold drinks and 36 like hot drinks and each student likes at least one of the two drinks. How many like both coffee and tea?

#### Solution

Let X be the set of students who like cold drinks and Y be the set of people who like hot drinks.

So,

$$\begin{aligned} |X \cup Y| &= 50, |X| = 24, |Y| = 36 \\ |X \cap Y| &= |X| + |Y| - |X \cup Y| \\ &= 24 + 36 - 50 = 60 - 50 = 10 \end{aligned}$$

Hence, there are 10 students who like both tea and coffee.



Closely related to the concepts of counting is Probability. We often try to guess the results of games of chance, like card games, slot machines, and lotteries; i.e. we try to find the likelihood or probability that a particular result will be obtained.

**Probability** can be conceptualized as finding the chance of occurrence of an event. Mathematically, it is the study of random processes and their outcomes. The laws of probability have a wide applicability in a variety of fields like genetics, weather forecasting, opinion polls, stock markets etc.

## Basic Concepts

---

Probability theory was invented in the 17th century by two French mathematicians, Blaise Pascal and Pierre de Fermat, who were dealing with mathematical problems regarding of chance.

Before proceeding to details of probability, let us get the concept of some definitions.

**Random Experiment:** An experiment in which all possible outcomes are known and the exact output cannot be predicted in advance is called a random experiment. Tossing a fair coin is an example of random experiment.

**Sample Space:** When we perform an experiment, then the set  $S$  of all possible outcomes is called the sample space. If we toss a coin, the sample space  $S = \{H, T\}$

**Event:** Any subset of a sample space is called an event. After tossing a coin, getting Head on the top is an event.

The word "probability" means the chance of occurrence of a particular event. The best we can say is how likely they are to happen, using the idea of probability.

Probability of occurrence of an event =

Total number of favourable outcome  
Total number of Outcomes

As the occurrence of any event varies between 0% and 100%, the probability varies between 0 and 1.

### Steps to find the probability:

Step 1: Calculate all possible outcomes of the experiment.

Step 2: Calculate the number of favorable outcomes of the

experiment. Step 3: Apply the corresponding probability formula.

## Tossing a Coin

If a coin is tossed, there are two possible outcomes: Heads (H) or Tails

(T) So, Total number of outcomes = 2

Hence, the probability of getting a Head (H) on top is  $\frac{1}{2}$  and the probability of getting a Tails (T) on top is  $\frac{1}{2}$

## Throwing a Dice

When a dice is thrown, six possible outcomes can be on the top: 1, 2, 3, 4, 5,

6. The probability of any one of the numbers is  $\frac{1}{6}$

The probability of getting even numbers is  $\frac{3}{6} = \frac{1}{2}$

The probability of getting odd numbers is  $\frac{3}{6} = \frac{1}{2}$

## Taking Cards From a Deck

From a deck of 52 cards, if one card is picked find the probability of an ace being drawn and also find the probability of a diamond being drawn.

Total number of possible outcomes:

52 Outcomes of being an ace: 4

Probability of being an ace =  $\frac{4}{52} = \frac{1}{13}$

Probability of being a diamond =  $\frac{13}{52} = \frac{1}{4}$

## Probability Axioms

---

1. The probability of an event always varies from 0 to 1. [ $0 \leq P(x) \leq 1$ ]
2. For an impossible event the probability is 0 and for a certain event the probability is 1.
3. If the occurrence of one event is not influenced by another event, they are called mutually exclusive or disjoint.

If  $A_1, A_2, \dots, A_n$  are mutually exclusive/disjoint events, then  
 $P(A_i \cap A_j) = \emptyset$  for  $i \neq j$  and  $P(A_1 \cup A_2 \cup \dots \cup A_n) = P(A_1) + P(A_2) + \dots + P(A_n)$

## Properties of Probability

---

1. If there are two events  $x$  and  $\bar{x}$  which are complementary, then the probability of the complementary event is:  
 $P(\bar{x}) = 1 - P(x)$

2. For two non-disjoint events A and B, the probability of the union of two events:  $P(A \cup B) = P(A) + P(B)$
3. If an event A is a subset of another event B (i.e.  $A \subset B$ ), then the probability of A is less than or equal to the probability of B. Hence,  $A \subset B$  implies  $P(A) \leq P(B)$

## Conditional Probability

---

The conditional probability of an event B is the probability that the event will occur given an event A has already occurred. This is written as  $P(B|A)$ . If event A and B are mutually exclusive, then the conditional probability of event B after the event A will be the probability of event B that is  $P(B)$ .

Mathematically:  $P(B|A) = P(A \cap B) / P(A)$

### Problem 1

In a country 50% of all teenagers own a cycle and 30% of all teenagers own a bike and cycle. What is the probability that a teenager owns bike given that the teenager owns a cycle?

#### Solution

Let us assume A is the event of teenagers owning only a cycle and B is the event of teenagers owning only a bike.

So,  $P(A) = 50/100 = 0.5$  and  $P(A \cap B) = 30/100 = 0.3$  from the given problem.  $P(B|A) = P(A \cap B) / P(A) = 0.3/0.5 = 0.6$

Hence, the probability that a teenager owns bike given that the teenager owns a cycle is 60%.

### Problem 2

In a class, 50% of all students play cricket and 25% of all students play cricket and volleyball. What is the probability that a student plays volleyball given that the student plays cricket?

#### Solution

Let us assume A is the event of students playing only cricket and B is the event of students playing only volleyball.

So,  $P(A) = 50/100 = 0.5$  and  $P(A \cap B) = 25/100 = 0.25$  from the given problem.  $P(B|A) = P(A \cap B) / P(A) = 0.25/0.5 = 0.5$

Hence, the probability that a student plays volleyball given that the student plays cricket is 50%.

### Problem 3

Six good laptops and three defective laptops are mixed up. To find the defective laptops all of them are tested one-by-one at random. What is the probability to find both of the defective laptops in the first two pick?

### Solution

Let  $A$  be the event that we find a defective laptop in the first test and  $B$  be the event that we find a defective laptop in the second test.

Hence,  $P(A \cap B) = P(A)P(B|A) = 3/9 \times 2/8 = 1/21$

## Bayes' Theorem

**Theorem:** If  $A$  and  $B$  are two mutually exclusive events, where  $P(A)$  is the probability of  $A$  and  $P(B)$  is the probability of  $B$ ,  $P(A | B)$  is the probability of  $A$  given that  $B$  is true.  $P(B | A)$  is the probability of  $B$  given that  $A$  is true, then Bayes' Theorem states:

$$P(A | B) = \frac{P(B | A)P(A)}{\sum_{i=1}^n P(B | A_i)P(A_i)}$$

### Application of Bayes' Theorem

- In situations where all the events of sample space are mutually exclusive events.
- In situations where either  $P(A_i \cap B)$  for each  $A_i$  or  $P(A_i)$  and  $P(B|A_i)$  for each  $A_i$  is known.

### Problem

Consider three pen-stands. The first pen-stand contains 2 red pens and 3 blue pens; the second one has 3 red pens and 2 blue pens; and the third one has 4 red pens and 1 blue pen. There is equal probability of each pen-stand to be selected. If one pen is drawn at random, what is the probability that it is a red pen?

### Solution

Let  $A_i$  be the event that  $i^{\text{th}}$  pen-stand is selected.

Here,  $i = 1, 2, 3$ .

Since probability for choosing a pen-stand is equal,  $P(A_i) = 1/3$

Let  $B$  be the event that a red pen is drawn.

The probability that a red pen is chosen among the five pens of the first pen-stand,

$$P(B|A_1) = 2/5$$

The probability that a red pen is chosen among the five pens of the second pen-stand,

$$P(B|A_2) = 3/5$$

The probability that a red pen is chosen among the five pens of the third pen-stand,

$$P(B|A_3) = 4/5$$

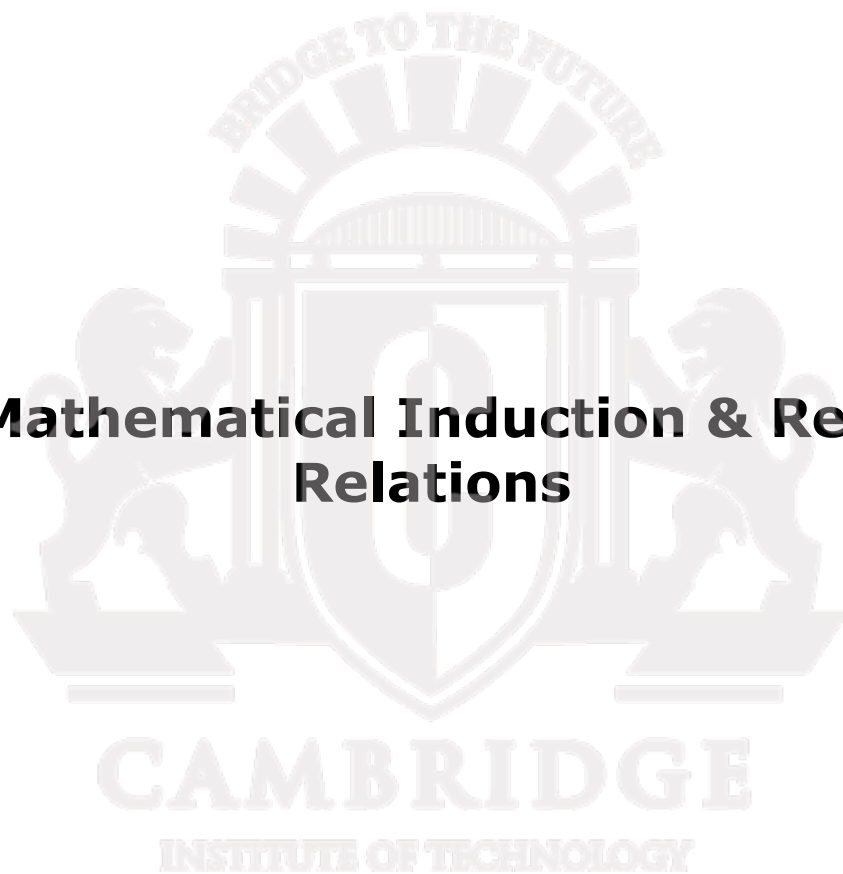
According to Bayes' Theorem,

$$\begin{aligned}P(B) &= P(A_1) \cdot P(B|A_1) + P(A_2) \cdot P(B|A_2) + P(A_3) \cdot P(B|A_3) \\ &= \frac{1}{3} \cdot \frac{2}{5} + \frac{1}{3} \cdot \frac{3}{5} + \frac{1}{3} \cdot \frac{4}{5} \\ &= \frac{3}{5}\end{aligned}$$



*(SOURCE DIGINOTES)*

## **Part 5: Mathematical Induction & Recurrence Relations**



*(SOURCE DIGINOTES)*

**Mathematical induction**, is a technique for proving results or establishing statements for natural numbers. This part illustrates the method through a variety of examples.

## Definition

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**Mathematical Induction** is a mathematical technique which is used to prove a statement, a formula or a theorem is true for every natural number.

The technique involves two steps to prove a statement, as stated below:

**Step 1 (Base step):** It proves that a statement is true for the initial value.

**Step 2 (Inductive step):** It proves that if the statement is true for the  $n^{\text{th}}$  iteration (or number  $n$ ), then it is also true for  $(n+1)^{\text{th}}$  iteration ( or number  $n+1$ ).

## How to Do It

---

**Step 1:** Consider an initial value for which the statement is true. It is to be shown that the statement is true for  $n$ =initial value.

**Step 2:** Assume the statement is true for any value of  $n=k$ . Then prove the statement is true for  $n=k+1$ . We actually break  $n=k+1$  into two parts, one part is  $n=k$  (which is already proved) and try to prove the other part.

### Problem 1

$3^n - 1$  is a multiple of 2 for  $n=1, 2, \dots$

### Solution

Step 1: For  $n=1$ ,  $3^1 - 1 = 3 - 1 = 2$  which is a multiple of 2

Step 2: Let us assume  $3^n - 1$  is true for  $n=k$ , Hence,  $3^k - 1$  is true (It is an assumption) We have to prove that  $3^{k+1} - 1$  is also a multiple of 2

$$3^{k+1} - 1 = 3 \times 3^k - 1 = (2 \times 3^k) + (3^k - 1)$$

The first part  $(2 \times 3^k)$  is certain to be a multiple of 2 and the second part  $(3^k - 1)$  is also true as our previous assumption.

Hence,  $3^{k+1} - 1$  is a multiple of 2.

So, it is proved that  $3^n - 1$  is a multiple of 2.

### Problem 2

$1 + 3 + 5 + \dots + (2n-1) = n^2$  for  $n=1, 2, \dots$

**Solution**

Step 1: For  $n=1$ ,  $1 = 1^2$ , Hence, step 1 is satisfied.

Step 2: Let us assume the statement is true for  $n=k$ .

Hence,  $1 + 3 + 5 + \dots + (2k-1) = k^2$  is true (It is an assumption)

We have to prove that  $1 + 3 + 5 + \dots + (2(k+1)-1) = (k+1)^2$  also

$$\begin{aligned} & \text{holds } 1 + 3 + 5 + \dots + (2(k+1) - 1) \\ &= 1 + 3 + 5 + \dots + (2k+2 - 1) \\ &= 1 + 3 + 5 + \dots + (2k + 1) \\ &= 1 + 3 + 5 + \dots + (2k - 1) + (2k + 1) \\ &= k^2 + (2k + 1) \\ &= (k + 1)^2 \end{aligned}$$

So,  $1 + 3 + 5 + \dots + (2(k+1) - 1) = (k+1)^2$  hold which satisfies the step

2. Hence,  $1 + 3 + 5 + \dots + (2n - 1) = n^2$  is proved.

**Problem 3**

Prove that  $(ab)^n = a^n b^n$  is true for every natural number  $n$

**Solution**

Step 1: For  $n=1$ ,  $(ab)^1 = a^1 b^1 = ab$ , Hence, step 1 is satisfied.

Step 2: Let us assume the statement is true for  $n=k$ , Hence,  $(ab)^k = a^k b^k$  is true (It is an assumption).

We have to prove that  $(ab)^{k+1} = a^{k+1} b^{k+1}$  also hold

Given,  $(ab)^k = a^k b^k$

Or,  $(ab)^k (ab) = (a^k b^k) (ab)$  [Multiplying both side by 'ab']

Or,  $(ab)^{k+1} = (a a^k) (b b^k)$

Or,  $(ab)^{k+1} = (a^{k+1} b^{k+1})$

Hence, step 2 is proved.

So,  $(ab)^n = a^n b^n$  is true for every natural number  $n$ .

**Strong Induction**

Strong Induction is another form of mathematical induction. Through this induction technique, we can prove that a propositional function,  $P(n)$  is true for all positive integers,  $n$ , using the following steps:

- **Step 1 (Base step):** It proves that the initial proposition  $P(1)$  true.
- **Step 2 (Inductive step):** It proves that the conditional statement

[ (1)  $P(1)$  (2)  $P(2)$  (3)  $P(3)$  .....  $P(k)$  ]  $\rightarrow$   $P(k+1)$  is true for positive integers  $k$ .



In this chapter, we will discuss how recursive techniques can derive sequences and be used for solving counting problems. The procedure for finding the terms of a sequence in a recursive manner is called **recurrence relation**. We study the theory of linear recurrence relations and their solutions. Finally, we introduce generating functions for solving recurrence relations.

## Definition

A recurrence relation is an equation that recursively defines a sequence where the next term is a function of the previous terms (Expressing  $F_n$  as some combination of  $F_i$  with  $i < n$ ).

**Example:** Fibonacci series:  $F_n = F_{n-1} + F_{n-2}$ , Tower of Hanoi:  $F_n = 2F_{n-1} + 1$

## Linear Recurrence Relations

A linear recurrence equation of degree  $k$  is a recurrence equation which is in the format  $x_n = A_1 x_{n-1} + A_2 x_{n-2} + A_3 x_{n-3} + \dots + A_k x_{n-k}$  ( $A_n$  is a constant and  $A_k \neq 0$ ) on a sequence of numbers as a first-degree polynomial.

These are some examples of linear recurrence equations:

Recurrence relations	Initial values	Solutions
$F_n = F_{n-1} + F_{n-2}$	$a_1 = a_2 = 1$	Fibonacci number
$F_n = F_{n-1} + F_{n-2}$	$a_1 = 1, a_2 = 3$	Lucas number
$F_n = F_{n-2} + F_{n-3}$	$a_1 = a_2 = a_3 = 1$	Padovan sequence
$F_n = 2F_{n-1} + F_{n-2}$	$a_1 = 0, a_2 = 1$	Pell number

## How to solve linear recurrence relation

Suppose, a two ordered linear recurrence relation is:  $F_n = AF_{n-1} + BF_{n-2}$  where  $A$  and  $B$  are real numbers.

The characteristic equation for the above recurrence relation is:

$$x^2 - Ax - B = 0$$

Three cases may occur while finding the roots:

**Case 1:** If this equation factors as  $(x - x_1)(x - x_2) = 0$  and it produces two distinct real roots  $x_1$  and  $x_2$ , then  $F_n = ax_1^n + bx_2^n$  is the solution. [Here,  $a$  and  $b$  are constants]

**Case 2:** If this equation factors as  $(x - x_1)^2 = 0$  and it produces single real root  $x_1$ , then  $F_n = ax_1^n + bn x_1^{n-1}$  is the solution.

**Case 3:** If the equation produces two distinct real roots  $x_1$  and  $x_2$  in polar form  $x_1 = r \angle \theta$  and  $x_2 = r \angle (-\theta)$ , then  $F_n = r^n (a \cos(n\theta) + b \sin(n\theta))$  is the solution.

**Problem 1**

Solve the recurrence relation  $F_n = 5F_{n-1} - 6F_{n-2}$  where  $F_0 = 1$  and  $F_1 = 4$

**Solution**

The characteristic equation of the recurrence relation is:

$$x^2 - 5x + 6 = 0,$$

So,  $(x-3)(x-2) = 0$

Hence, the roots are:

$$x_1 = 3 \text{ and } x_2 = 2$$

The roots are real and distinct. So, this is in the form of case 1

Hence, the solution is:

$$F_n = ax_1^n + bx_2^n$$

Here,  $F_n = a3^n + b2^n$  (As  $x_1 = 3$  and  $x_2 = 2$ )

2) Therefore,

$$1 = F_0 = a3^0 + b2^0 = a + b$$

$$4 = F_1 = a3^1 + b2^1 = 3a + 2b$$

Solving these two equations, we get  $a = 2$  and  $b = -1$

Hence, the final solution is:

$$F_n = 2 \cdot 3^n + (-1) \cdot 2^n = 2 \cdot 3^n - 2^n$$

**Problem 2**

Solve the recurrence relation  $F_n = 10F_{n-1} - 25F_{n-2}$  where  $F_0 = 3$  and  $F_1 = 17$

**Solution**

The characteristic equation of the recurrence relation is:

$$x^2 - 10x - 25 = 0,$$

So,  $(x - 5)^2 = 0$

Hence, there is single real root  $x_1 = 5$

As there is single real valued root, this is in the form of case 2

Hence, the solution is:

$$F_n = ax_1^n + bnx_1^{n-1}$$

$$3 = F_0 = a \cdot 5^0 + b \cdot 0 \cdot 5^0 = a$$

$$17 = F_1 = a \cdot 5^1 + b \cdot 1 \cdot 5^1 = 5a + 5b$$

Solving these two equations, we get  $a = 3$  and  $b = 2/5$

Hence, the final solution is:

$$F_n = 3 \cdot 5^n + (2/5) \cdot n \cdot 2^n$$

### Problem 3

Solve the recurrence relation  $F_n = 2F_{n-1} - 2F_{n-2}$  where  $F_0 = 1$  and  $F_1 = 3$

### Solution

The characteristic equation of the recurrence relation is:

$$x^2 - 2x - 2 = 0$$

Hence, the roots are:

$$x_1 = 1 + i \quad \text{and} \quad x_2 = 1 - i$$

In polar form,

$$x_1 = r \angle \theta \quad \text{and} \quad x_2 = r \angle (-\theta), \text{ where } r = \sqrt{2} \text{ and } \theta = \pi/4$$

The roots are imaginary. So, this is in the form of case 3.

Hence, the solution is:

$$F_n = (\sqrt{2})^n (a \cos(n \cdot \pi / 4) + b \sin(n \cdot \pi / 4))$$

$$1 = F_0 = (\sqrt{2})^0 (a \cos(0 \cdot \pi / 4) + b \sin(0 \cdot \pi / 4)) = a$$

$$3 = F_1 = (\sqrt{2})^1 (a \cos(1 \cdot \pi / 4) + b \sin(1 \cdot \pi / 4)) = \sqrt{2} (a/\sqrt{2} + b/\sqrt{2})$$

Solving these two equations we get  $a = 1$  and  $b = 2$

Hence, the final solution is:

$$F_n = (\sqrt{2})^n (\cos(n \cdot \pi / 4) + 2 \sin(n \cdot \pi / 4))$$

### Particular Solutions

A recurrence relation is called non-homogeneous if it is in the form

$$F_n = AF_{n-1} + BF_{n-2} + F(n) \quad \text{where } F(n) \neq 0$$

The solution ( $a_n$ ) of a non-homogeneous recurrence relation has two parts. First part is the solution ( $a_h$ ) of the associated homogeneous recurrence relation and the second part is the particular solution ( $a_t$ ). So,  $a_n = a_h + a_t$

Let  $F(n) = cx^n$  and  $x_1$  and  $x_2$  are the roots of the characteristic equation:

$x^2 = Ax + B$  which is the characteristic equation of the associated homogeneous recurrence relation:

- If  $x \neq x_1$  and  $x \neq x_2$ , then  $a_t = Ax^n$
- If  $x = x_1$ ,  $x \neq x_2$ , then  $a_t = Anx^n$
- If  $x = x_1 = x_2$ , then  $a_t = An^2x^n$

**Problem**

Solve the recurrence relation  $F_n = 3F_{n-1} + 10F_{n-2} + 7.5^n$  where  $F_0 = 4$  and  $F_1 = 3$

**Solution**

The characteristic equation is:

$$x^2 - 3x - 10 = 0$$

Or,  $(x - 5)(x + 2) = 0$

Or,  $x_1 = 5$  and  $x_2 = -2$

Since,  $x = x_1$  and  $x \neq x_2$ , the solution is:

$$a_t = Anx^n = An5^n$$

After putting the solution into the non-homogeneous relation, we get:

$$An5^n = 3A(n-1)5^{n-1} + 10A(n-2)5^{n-2} + 7.5^n$$

Dividing both sides by  $5^{n-2}$ , we get:

$$An5^2 = 3A(n-1)5 + 10A(n-2)5^0 + 7.5^2$$

Or,  $25An = 15An - 15A + 10An - 20A + 175$

Or,  $35A = 175$

Or,  $A = 5$

So,  $F_n = n5^{n+1}$

Hence, the solution is:

$$F_n = n5^{n+1} + 6.(-2)^n - 2.5^n$$

**Generating Functions**

**Generating Functions** represents sequences where each term of a sequence is expressed as a coefficient of a variable  $x$  in a formal power series.

Mathematically, for an infinite sequence, say  $\{a_0, a_1, a_2, \dots, a_n, \dots\}$ , the generating function will be:

$$a_0 + a_1x + a_2x^2 + \dots + a_nx^n + \dots$$

**Some Areas of Application:**

Generating functions can be used for the following purposes:

- For solving a variety of counting problems. For example, the number of ways to make change for a Rs. 100 note with the notes of denominations Rs.1, Rs.2, Rs.5, Rs.10, Rs.20 and Rs.50
- For solving recurrence relations
- For proving some of the combinatorial identities
- For finding asymptotic formulae for terms of sequences

**Problem 1**

What are the generating functions for the sequences  $\{ \}$  with  $= 2$  and  $= 3$  ?

**Solution**

When  $= 2$ , generating function,  $G(x) = \sum_{n=0}^{\infty} 2^n = 2 + 2 + 2^2 + 2^3 + \dots$

When  $= 3$ ,  $G(x) = \sum_{n=0}^{\infty} 3^n = 0 + 3 + 6 + 9 + \dots$

**Problem 2**

What is the generating function of the infinite series; 1, 1, 1, 1, .....

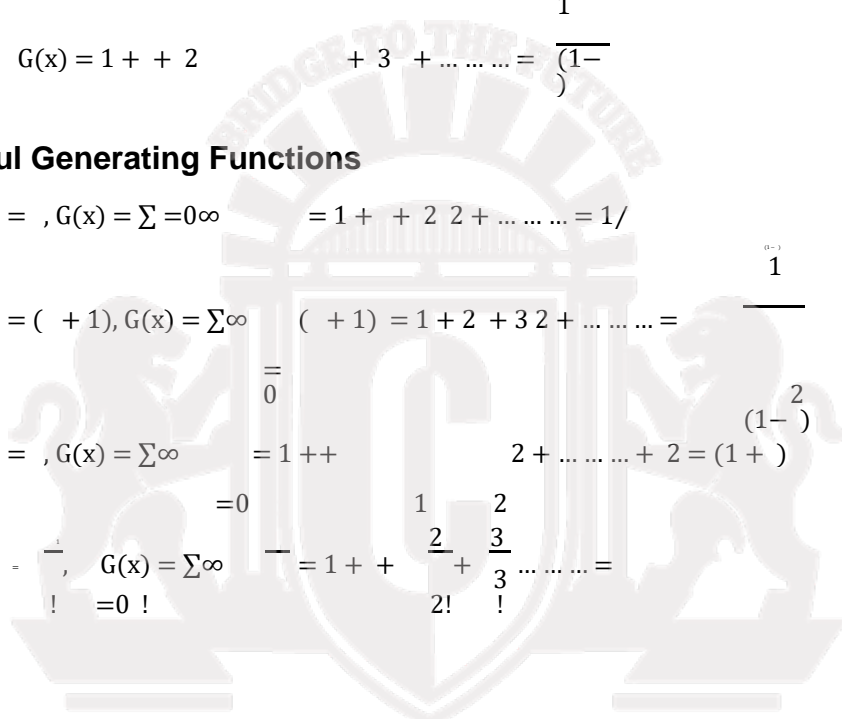
**Solution**

Here,  $= 1, 0 \leq \leq \infty$ .

Hence,  $G(x) = 1 + x + x^2 + x^3 + \dots = \frac{1}{(1-x)}$

**Some Useful Generating Functions**

- For  $= 1, G(x) = \sum_{n=0}^{\infty} x^n = 1 + x + x^2 + \dots = \frac{1}{(1-x)}$
- For  $= (n+1), G(x) = \sum_{n=0}^{\infty} (n+1)x^n = 1 + 2x + 3x^2 + \dots = \frac{1}{(1-x)^2}$
- For  $= n^2, G(x) = \sum_{n=0}^{\infty} n^2 x^n = 0 + x + 4x^2 + 9x^3 + \dots = \frac{x(1+x)}{(1-x)^3}$
- For  $= \frac{1}{n!}, G(x) = \sum_{n=0}^{\infty} \frac{x^n}{n!} = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots = e^x$



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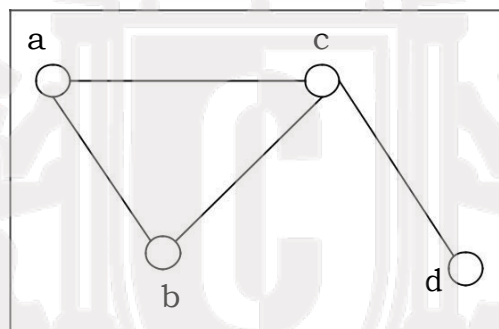
The previous part brought forth the different tools for reasoning, proofing and problem solving. In this part, we will study the discrete structures that form the basis of formulating many a real-life problem.

The two discrete structures that we will cover are graphs and trees. A graph is a set of points, called nodes or vertices, which are interconnected by a set of lines called edges. The study of graphs, or **graph theory** is an important part of a number of disciplines in the fields of mathematics, engineering and computer science.

## What is a Graph?

**Definition:** A graph (denoted as  $G = (V, E)$ ) consists of a non-empty set of vertices or nodes  $V$  and a set of edges  $E$ .

**Example:** Let us consider, a Graph is  $G = (V, E)$  where  $V = \{a, b, c, d\}$  and  $E = \{\{a, b\}, \{a, c\}, \{b, c\}, \{c, d\}\}$



**Figure:** A graph with four vertices and four edges

**Even and Odd Vertex:** If the degree of a vertex is even, the vertex is called an even vertex and if the degree of a vertex is odd, the vertex is called an odd vertex.

**Degree of a Vertex:** The degree of a vertex  $V$  of a graph  $G$  (denoted by  $\text{deg}(V)$ ) is the number of edges incident with the vertex  $V$ .

Vertex	Degree	Even / Odd
a	2	even
b	2	even
c	3	odd
d	1	odd

**Degree of a Graph:** The degree of a graph is the largest vertex degree of that graph. For the above graph the degree of the graph is 3.

**The Handshaking Lemma:** In a graph, the sum of all the degrees of vertices is equal to twice the number of edges.

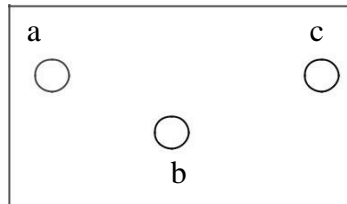
## Types of Graphs

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There are different types of graphs, which we will learn in the following section.

### Null Graph

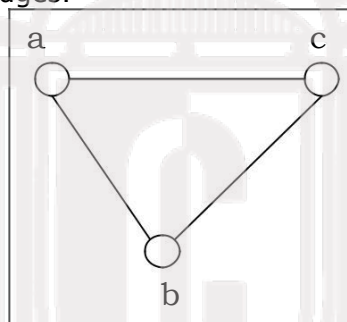
A null graph has no edges. The null graph of  $n$  vertices is denoted by  $N_n$



*Null graph of 3 vertices*

### Simple Graph

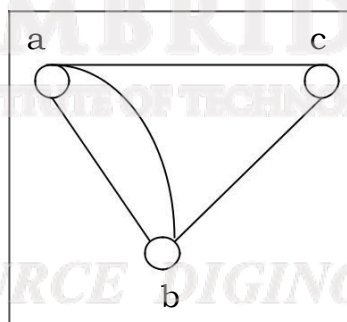
A graph is called simple graph/strict graph if the graph is undirected and does not contain any loops or multiple edges.



*Simple graph*

### Multi-Graph

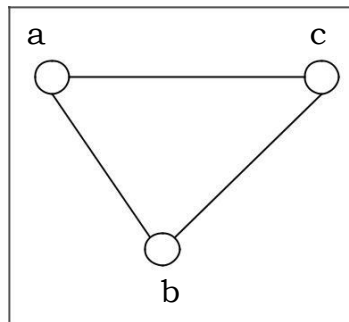
If in a graph multiple edges between the same set of vertices are allowed, it is called Multi-graph.



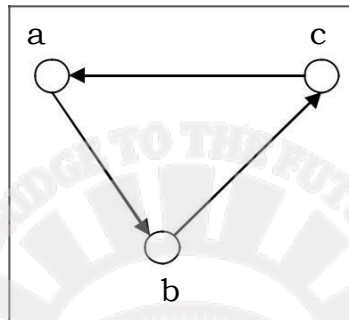
*Multi-graph*

### Directed and Undirected Graph

A graph  $G = (V, E)$  is called a directed graph if the edge set is made of ordered vertex pair and a graph is called undirected if the edge set is made of unordered vertex pair.



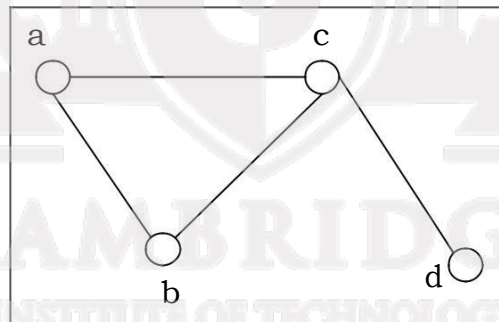
Undirected graph



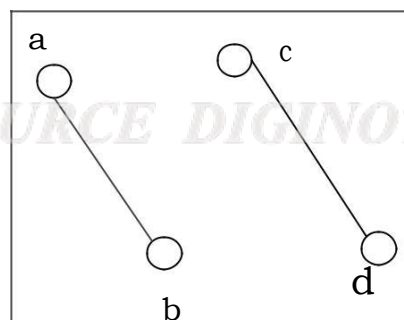
Directed graph

### Connected and Disconnected Graph

A graph is connected if any two vertices of the graph are connected by a path and a graph is disconnected if at least two vertices of the graph are not connected by a path. If a graph  $G$  is unconnected, then every maximal connected subgraph of  $G$  is called a connected component of the graph  $G$ .



Connected graph

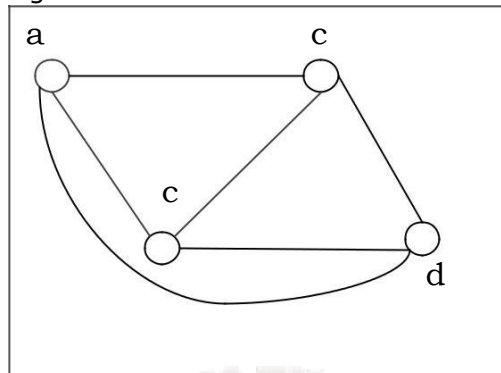


Unconnected graph



### Regular Graph

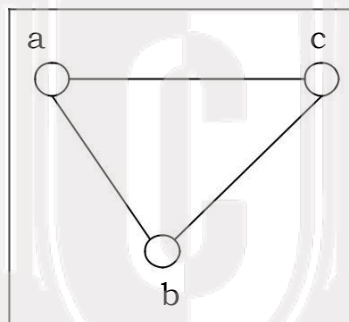
A graph is regular if all the vertices of the graph have the same degree. In a regular graph  $G$  of degree  $r$ , the degree of each vertex of  $G$  is  $r$ .



*Regular graph of degree 3*

### Complete Graph

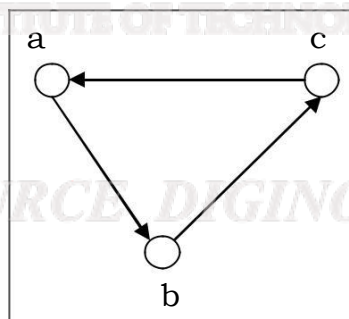
A graph is called complete graph if every two vertices pair are joined by exactly one edge. The complete graph with  $n$  vertices is denoted by  $K_n$



*Complete graph  $K_3$*

### Cycle Graph

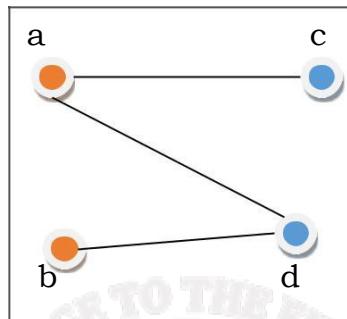
If a graph consists of a single cycle, it is called cycle graph. The cycle graph with  $n$  vertices is denoted by  $C_n$



*Cyclic graph  $C_3$*

## Bipartite Graph

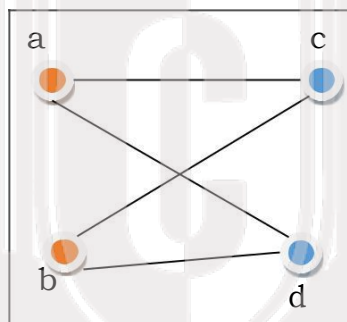
If the vertex-set of a graph  $G$  can be split into two sets in such a way that each edge of the graph joins a vertex in first set to a vertex in second set, then the graph  $G$  is called a bipartite graph. A graph  $G$  is bipartite if and only if all closed walks in  $G$  are of even length or all cycles in  $G$  are of even length.



*Bipartite graph*

## Complete Bipartite Graph

A complete bipartite graph is a bipartite graph in which each vertex in the first set is joined to every single vertex in the second set. The complete bipartite graph is denoted by  $K_{r,s}$  where the graph  $G$  contains  $x$  vertices in the first set and  $y$  vertices in the second set.



*Complete bipartite graph  $K_{2,2}$*

## Representation of Graphs

There are mainly two ways to represent a graph:

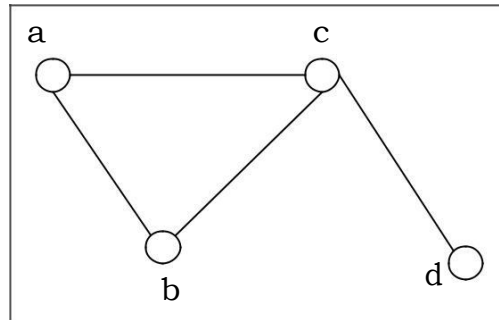
- Adjacency Matrix
- Adjacency List

## Adjacency Matrix

An Adjacency Matrix  $A[V][V]$  is a 2D array of size  $V \times V$  where  $V$  is the number of vertices in a undirected graph. If there is an edge between  $V_x$  to  $V_y$  then the value of  $A[V_x][V_y]=1$  and  $A[V_y][V_x]=1$ , otherwise the value will be zero. And for a directed graph, if there is an edge between  $V_x$  to  $V_y$ , then the value of  $A[V_x][V_y]=1$ , otherwise the value will be zero.

## Adjacency Matrix of an Undirected Graph

Let us consider the following undirected graph and construct the adjacency matrix:



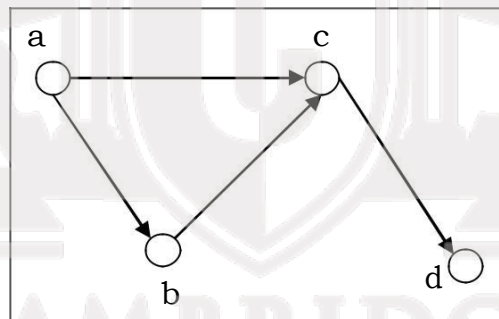
*An undirected graph*

Adjacency matrix of the above undirected graph will be:

	<b>a</b>	<b>b</b>	<b>c</b>	<b>d</b>
<b>a</b>	0	1	1	0
<b>b</b>	1	0	1	0
<b>c</b>	1	1	0	1
<b>d</b>	0	0	1	0

### Adjacency Matrix of a Directed Graph

Let us consider the following directed graph and construct its adjacency matrix:



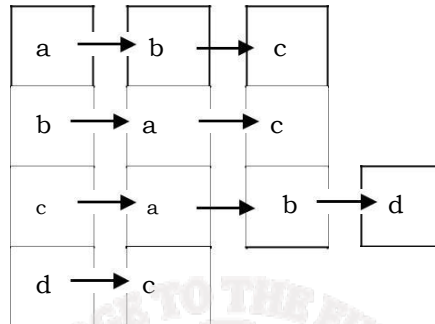
*A directed graph*

Adjacency matrix of the above directed graph will be:

	<b>a</b>	<b>b</b>	<b>c</b>	<b>d</b>
<b>a</b>	0	1	1	0
<b>b</b>	0	0	1	0
<b>c</b>	0	0	0	1
<b>d</b>	0	0	0	0

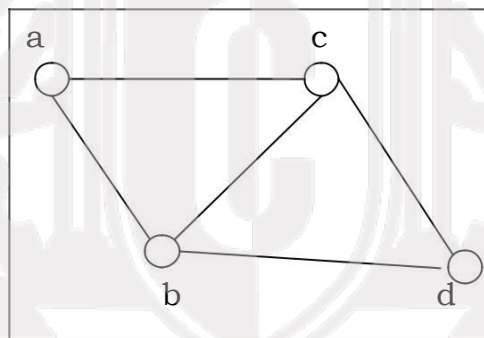
## Adjacency List

In adjacency list, an array ( $A[V]$ ) of linked lists is used to represent the graph  $G$  with  $V$  number of vertices. An entry  $A[V_x]$  represents the linked list of vertices adjacent to the  $V_x$ -th vertex. The adjacency list of the graph is as shown in the figure below:



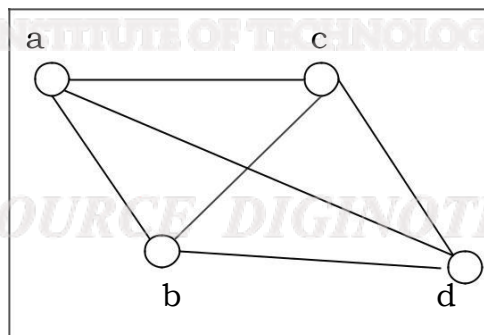
## Planar vs. Non-planar graph

**Planar graph:** A graph  $G$  is called a planar graph if it can be drawn in a plane without any edges crossed. If we draw graph in the plane without edge crossing, it is called embedding the graph in the plane.



*Planar graph*

**Non-planar graph:** A graph is non-planar if it cannot be drawn in a plane without graph edges crossing.



*Non-planar graph*

## Isomorphism

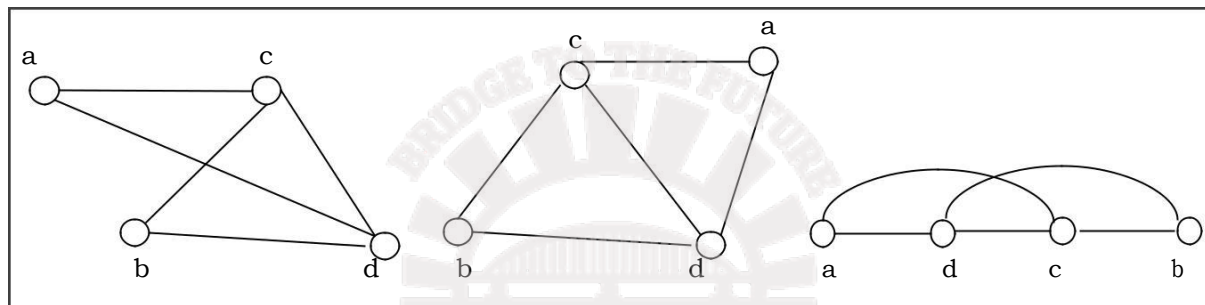
If two graphs  $G$  and  $H$  contain the same number of vertices connected in the same way, they are called isomorphic graphs (denoted by  $G \cong H$ ).

It is easier to check non-isomorphism than isomorphism. If any of these following conditions occurs, then two graphs are non-isomorphic:

- The number of connected components are different
- Vertex-set cardinalities are different
- Edge-set cardinalities are different
- Degree sequences are different

### Example

The following graphs are isomorphic:



*Three isomorphic graphs*

## Homomorphism

A homomorphism from a graph  $G$  to a graph  $H$  is a mapping (May not be a bijective mapping)  $h: G \rightarrow H$  such that:  $(x, y) \in E(G) \rightarrow (h(x), h(y)) \in E(H)$ . It maps adjacent vertices of graph  $G$  to the adjacent vertices of the graph  $H$ .

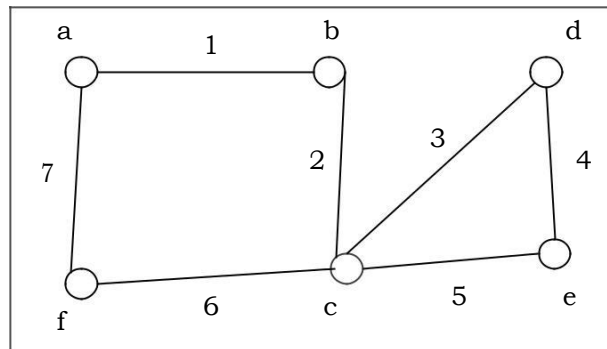
A homomorphism is an isomorphism if it is a bijective mapping. Homomorphism always preserves edges and connectedness of a graph. The compositions of homomorphisms are also homomorphisms. To find out if there exists any homomorphic graph of another graph is a NP-complete problem.

## Euler Graphs

A connected graph  $G$  is called an Euler graph, if there is a closed trail which includes every edge of the graph  $G$ . An Euler path is a path that uses every edge of a graph exactly once. An Euler path starts and ends at different vertices.

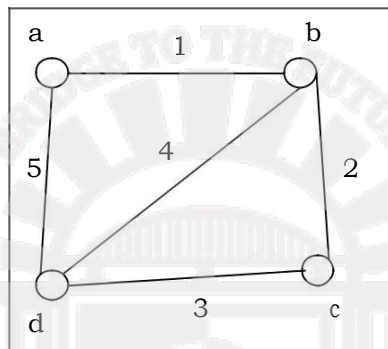
An Euler circuit is a circuit that uses every edge of a graph exactly once. An Euler circuit always starts and ends at the same vertex. A connected graph  $G$  is an Euler graph if and only if all vertices of  $G$  are of even degree, and a connected graph  $G$  is Eulerian if and only if its edge set can be decomposed into cycles.

(SOURCE DIGINOTES)



*Euler graph*

The above graph is an Euler graph as "a 1 b 2 c 3 d 4 e 5 c 6 f 7 a" covers all the edges of the graph.



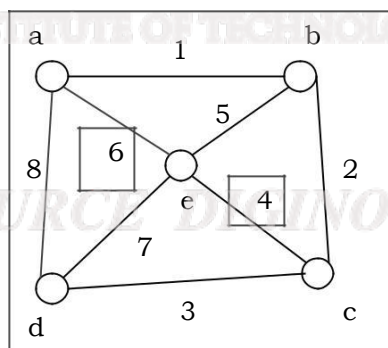
*Non-Euler graph*

## Hamiltonian Graphs

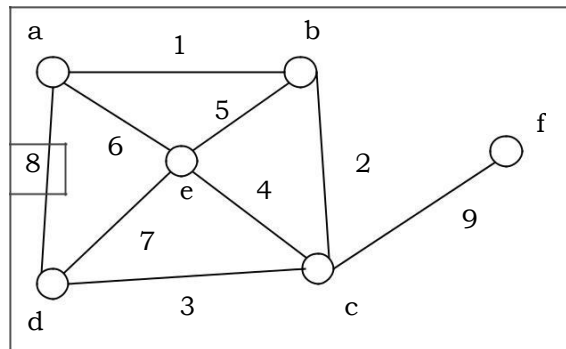
A connected graph  $G$  is called Hamiltonian graph if there is a cycle which includes every vertex of  $G$  and the cycle is called Hamiltonian cycle. Hamiltonian walk in graph  $G$  is a walk that passes through each vertex exactly once.

If  $G$  is a simple graph with  $n$  vertices, where  $n \geq 3$  If  $\deg(v) \geq 1/2 n$  for each vertex  $v$ , then the graph  $G$  is Hamiltonian graph. This is called **Dirac's Theorem**.

If  $G$  is a simple graph with  $n$  vertices, where  $n \geq 2$  if  $\deg(x) + \deg(y) \geq n$  for each pair of non-adjacent vertices  $x$  and  $y$ , then the graph  $G$  is Hamiltonian graph. This is called **Ore's theorem**.



*Hamiltonian graph*



*Non-Hamiltonian graph*



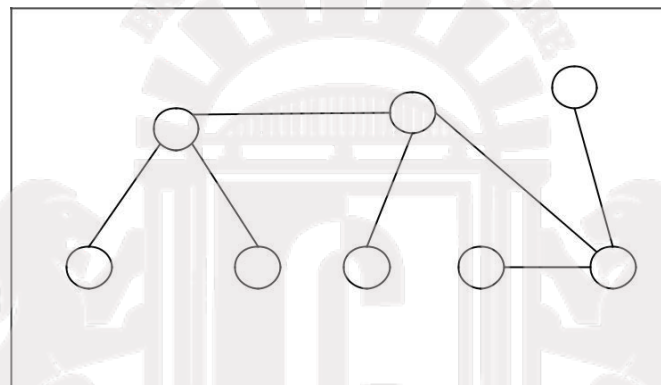
*(SOURCE DIGINOTES)*

**Tree** is a discrete structure that represents hierarchical relationships between individual elements or nodes. A tree in which a parent has no more than two children is called a binary tree.

### Tree and its Properties

**Definition:** A Tree is a connected acyclic graph. There is a unique path between every pair of vertices in  $G$ . A tree with  $N$  number of vertices contains  $(N-1)$  number of edges. The vertex which is of 0 degree is called root of the tree. The vertex which is of 1 degree is called leaf node of the tree and the degree of an internal node is at least 2.

**Example:** The following is an example of a tree:



A tree

### Centers and Bi-Centers of a Tree

The center of a tree is a vertex with minimal eccentricity. The eccentricity of a vertex  $X$  in a tree  $G$  is the maximum distance between the vertex  $X$  and any other vertex of the tree. The maximum eccentricity is the tree diameter. If a tree has only one center, it is called Central Tree and if a tree has only more than one centers, it is called Bi-central Tree. Every tree is either central or bi-central.

#### Algorithm to find centers and bi-centers of a tree

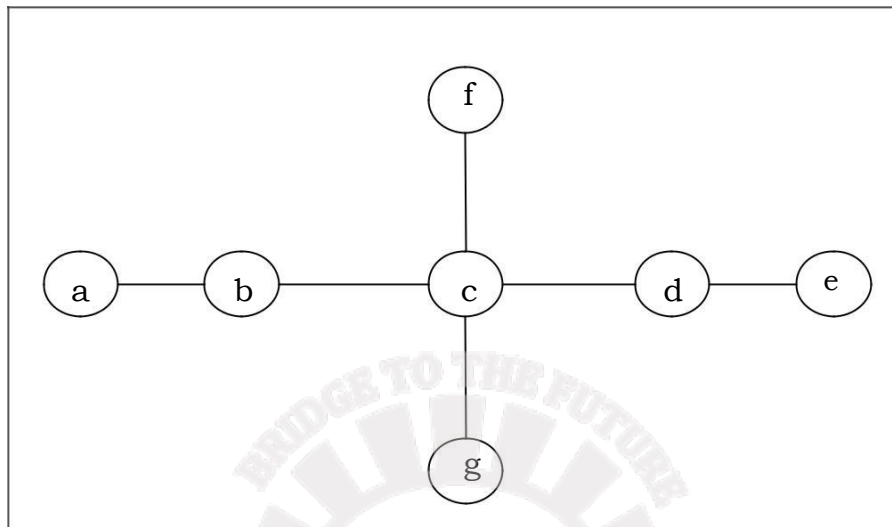
**Step 1:** Remove all the vertices of degree 1 from the given tree and also remove their incident edges.

**Step 2:** Repeat step 1 until either a single vertex or two vertices joined by an edge is left. If a single vertex is left then it is the center of the tree and if two vertices joined by an edge is left then it is the bi-center of the tree.



**Problem 1**

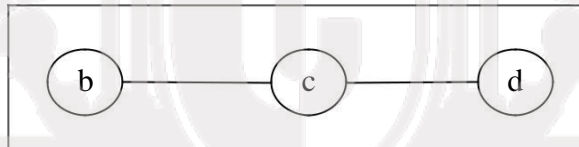
Find out the center/bi-center of the following tree:



*Tree T1*

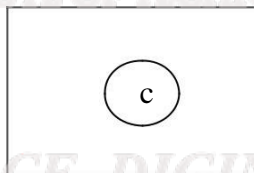
**Solution**

At first, we will remove all vertices of degree 1 and also remove their incident edges and get the following tree:



*Tree after removing vertices of degree 1 from T1*

Again, we will remove all vertices of degree 1 and also remove their incident edges and get the following tree:

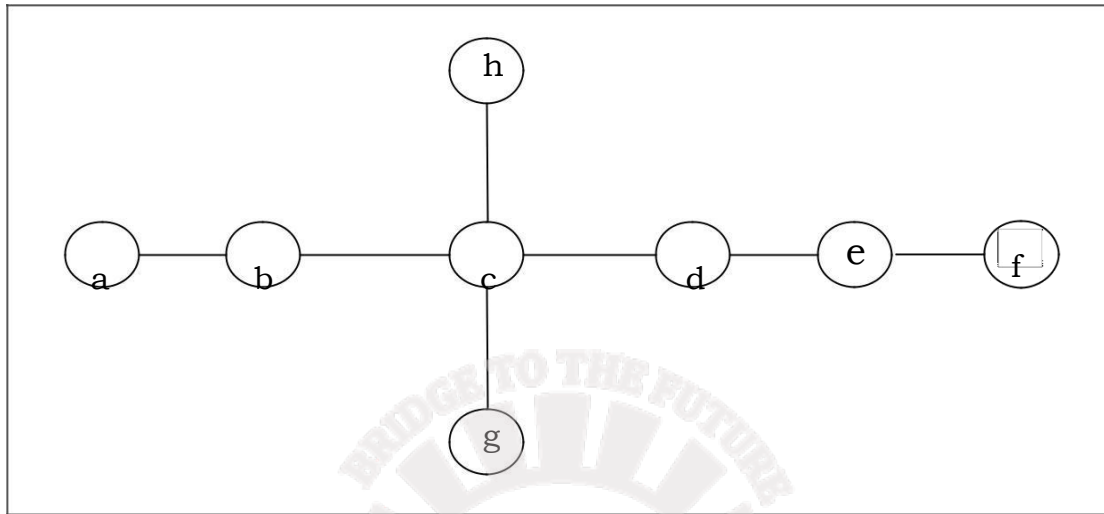


*Tree after again removing vertices of degree 1*

Finally we got a single vertex 'c' and we stop the algorithm. As there is single vertex, this tree has one center 'c' and the tree is a central tree.

**Problem 2**

Find out the center/bi-center of the following tree:



*A tree  $T_2$*

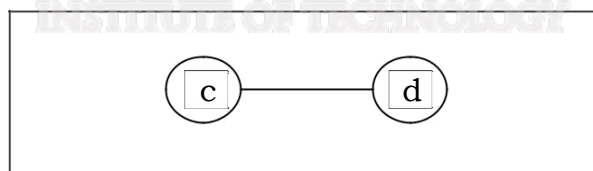
**Solution**

At first, we will remove all vertices of degree 1 and also remove their incident edges and get the following tree:



*Tree after removing vertices of degree 1 from  $T_2$*

Again, we will remove all vertices of degree 1 and also remove their incident edges and get the following tree:



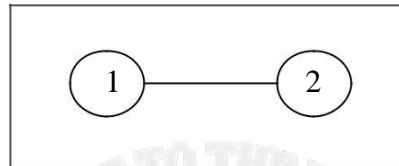
*Tree after again removing vertices of degree 1*

Finally, we got two vertices 'c' and 'd' left, hence we stop the algorithm. As two vertices joined by an edge is left, this tree has bi-center 'cd' and the tree is bi-central.

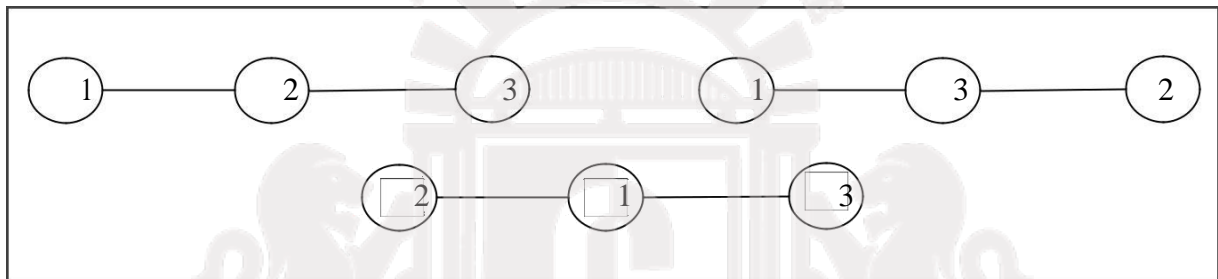
## Labeled Trees

**Definition:** A labeled tree is a tree the vertices of which are assigned unique numbers from 1 to  $n$ . We can count such trees for small values of  $n$  by hand so as to conjecture a general formula. The number of labeled trees of  $n$  number of vertices is  $n^{n-2}$ . Two labeled trees are isomorphic if their graphs are isomorphic and the corresponding points of the two trees have the same labels.

### Example



*A labeled tree with two vertices*

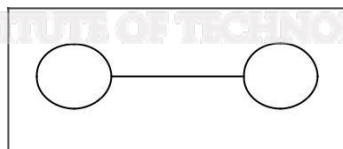


*Three possible labeled tree with three vertices*

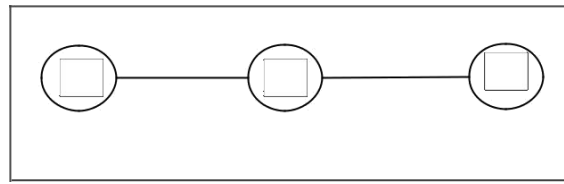
## Unlabeled trees

**Definition:** An unlabeled tree is a tree the vertices of which are not assigned any numbers. The number of labeled trees of  $n$  number of vertices is  $(2n)! / (n+1)!n!$

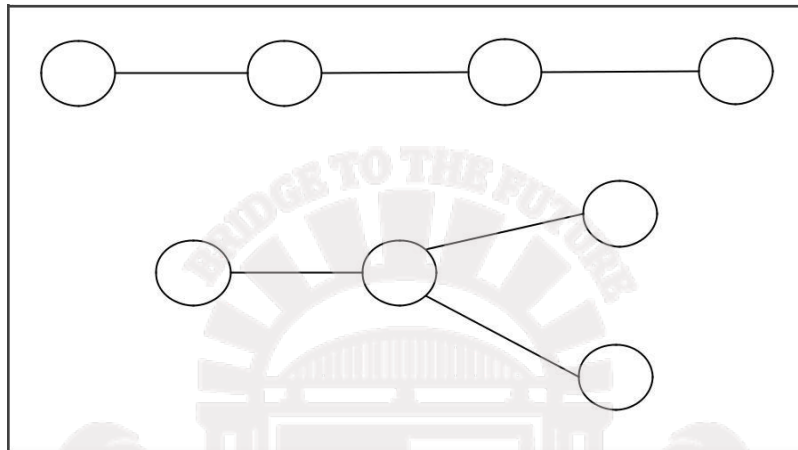
### Example



*(SOURCE: DIGNOTES) An unlabeled tree with two vertices*



*An unlabeled tree with three vertices*

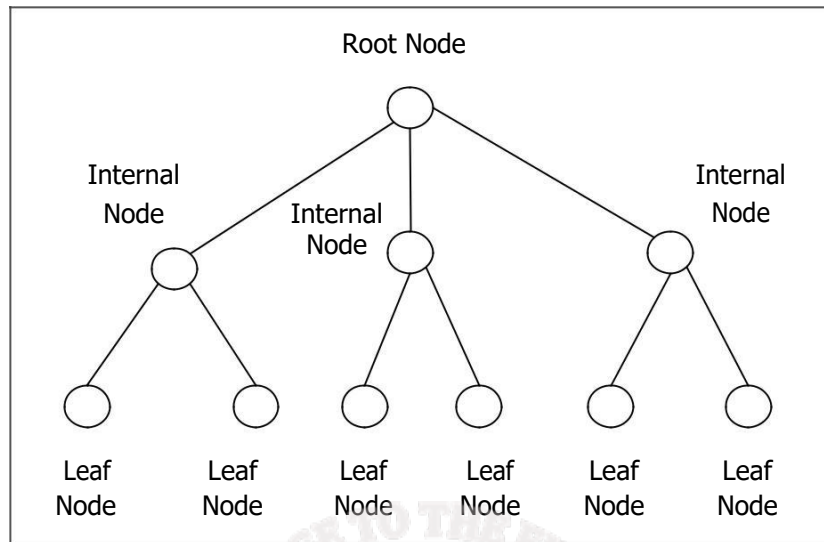


*Two possible unlabeled trees with four vertices*

## Rooted Tree

A rooted tree  $G$  is a connected acyclic graph with a special node that is called the root of the tree and every edge directly or indirectly originates from the root. An ordered rooted tree is a rooted tree where the children of each internal vertex are ordered. If every internal vertex of a rooted tree has not more than  $m$  children, it is called an  $m$ -ary tree. If every internal vertex of a rooted tree has exactly  $m$  children, it is called a full  $m$ -ary tree. If  $m = 2$ , the rooted tree is called a binary tree.

*(SOURCE DIGINOTES)*



*A Rooted Tree*

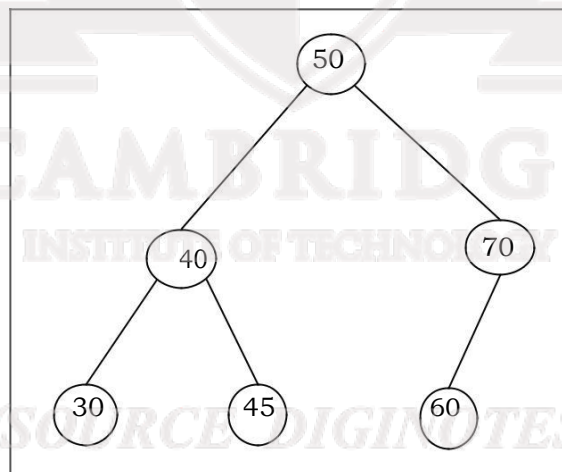
## Binary Search Tree

Binary Search tree is a binary tree which satisfies the following property:

- X in left sub-tree of vertex V,  $\text{Value}(X) \leq \text{Value}(V)$
- Y in right sub-tree of vertex V,  $\text{Value}(Y) \geq \text{Value}(V)$

So, the value of all the vertices of the left sub-tree of an internal node V are less than or equal to V and the value of all the vertices of the right sub-tree of the internal node V are greater than or equal to V. The number of links from the root node to the deepest node is the height of the Binary Search Tree.

### Example



*A Binary Search Tree*

**Algorithm to search for a key in BST**

```

BST_Search(x, k)
if ( x = NIL or k = Value[x] )
    return x;
if ( k < Value[x] )
    return BST_Search (left[x], k);
else
    return BST_Search (right[x], k)

```

**Complexity of Binary search tree**

	<b>Average Case</b>	<b>Worst case</b>
<b>Space Complexity</b>	$O(n)$	$O(n)$
<b>Search Complexity</b>	$O(\log n)$	$O(n)$
<b>Insertion Complexity</b>	$O(\log n)$	$O(n)$
<b>Deletion Complexity</b>	$O(\log n)$	$O(n)$

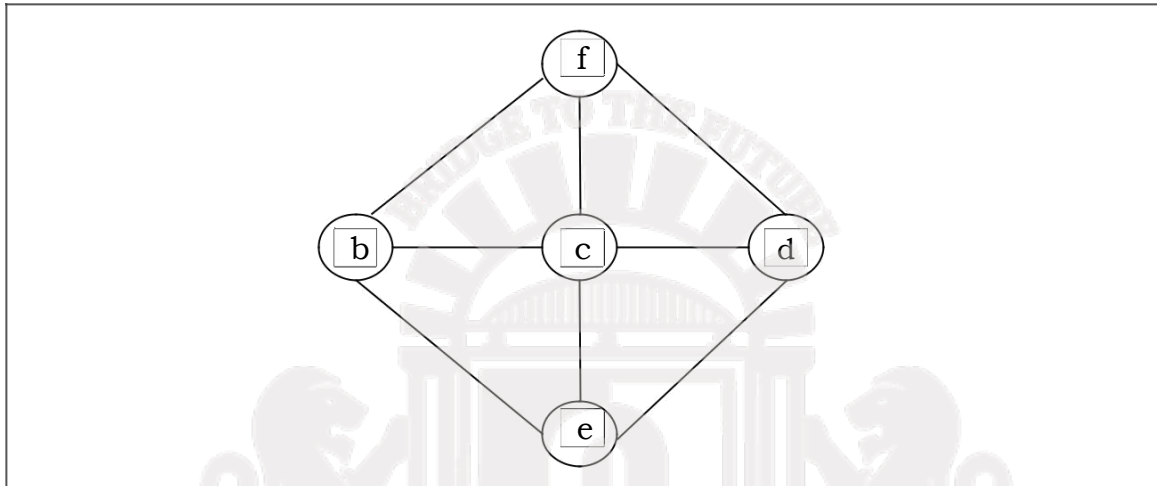


*(SOURCE DIGINOTES)*

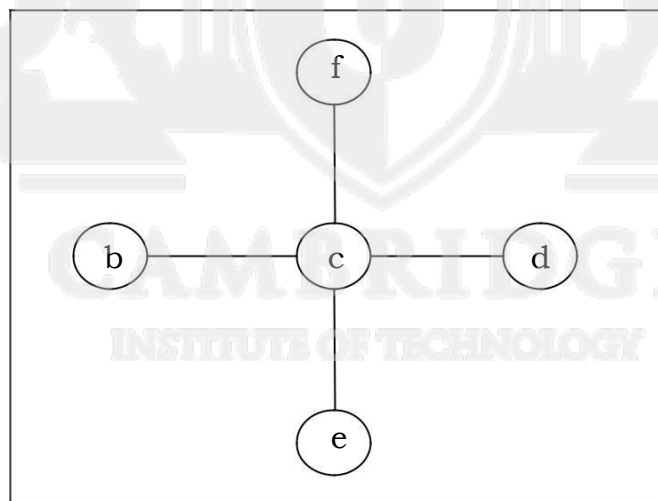
# 17. SPANNING TREES

A spanning tree of a connected undirected graph  $G$  is a tree that minimally includes all of the vertices of  $G$ . A graph may have many spanning trees.

## Example



*A Graph  $G$*



*A Spanning Tree of Graph  $G$*



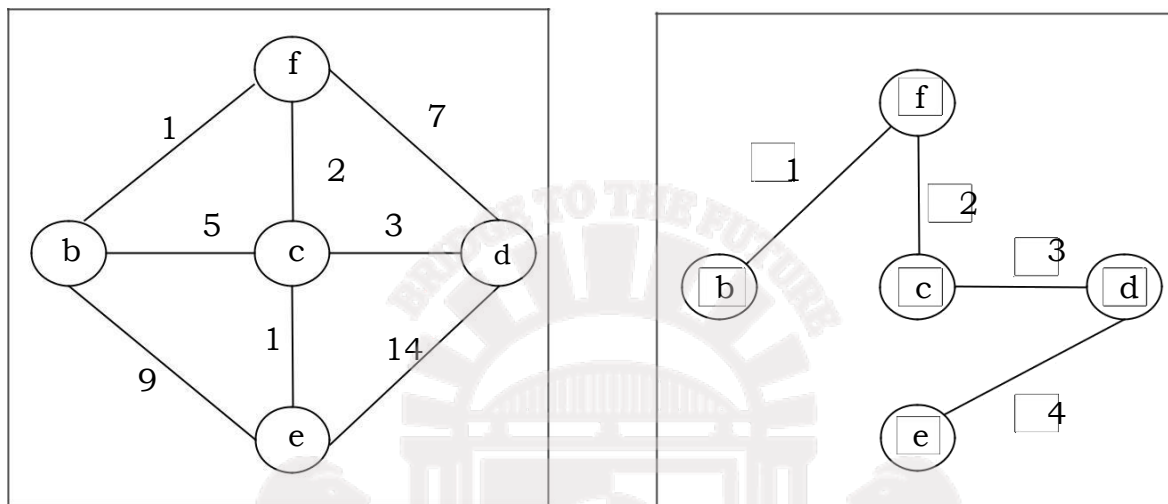
*(SOURCE DIGINOTES)*



## Minimum Spanning Tree

A spanning tree with assigned weight less than or equal to the weight of every possible spanning tree of a weighted, connected and undirected graph  $G$ , it is called minimum spanning tree (MST). The weight of a spanning tree is the sum of all the weights assigned to each edge of the spanning tree.

### Example



*Weighted Graph  $G$*

*A Minimum Spanning Tree of Graph  $G$*

## Kruskal's Algorithm

Kruskal's algorithm is a greedy algorithm that finds a minimum spanning tree for a connected weighted graph. It finds a tree of that graph which includes every vertex and the total weight of all the edges in the tree is less than or equal to every possible spanning tree.

### Algorithm

**Step 1:** Arrange all the edges of the given graph  $G (V,E)$  in non-decreasing order as per their edge weight.

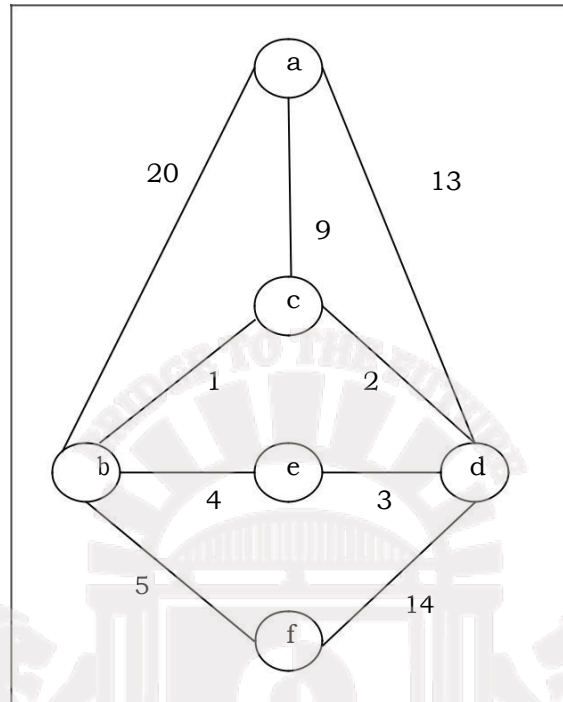
**Step 2:** Choose the smallest weighted edge from the graph and check if it forms a cycle with the spanning tree formed so far.

**Step 3:** If there is no cycle, include this edge to the spanning tree else discard it.

**Step 4:** Repeat Step 2 and Step 3 until  $(V-1)$  number of edges are left in the spanning tree.

**Problem**

Suppose we want to find minimum spanning tree for the following graph  $G$  using Kruskal's algorithm.



*Weighted Graph  $G$*

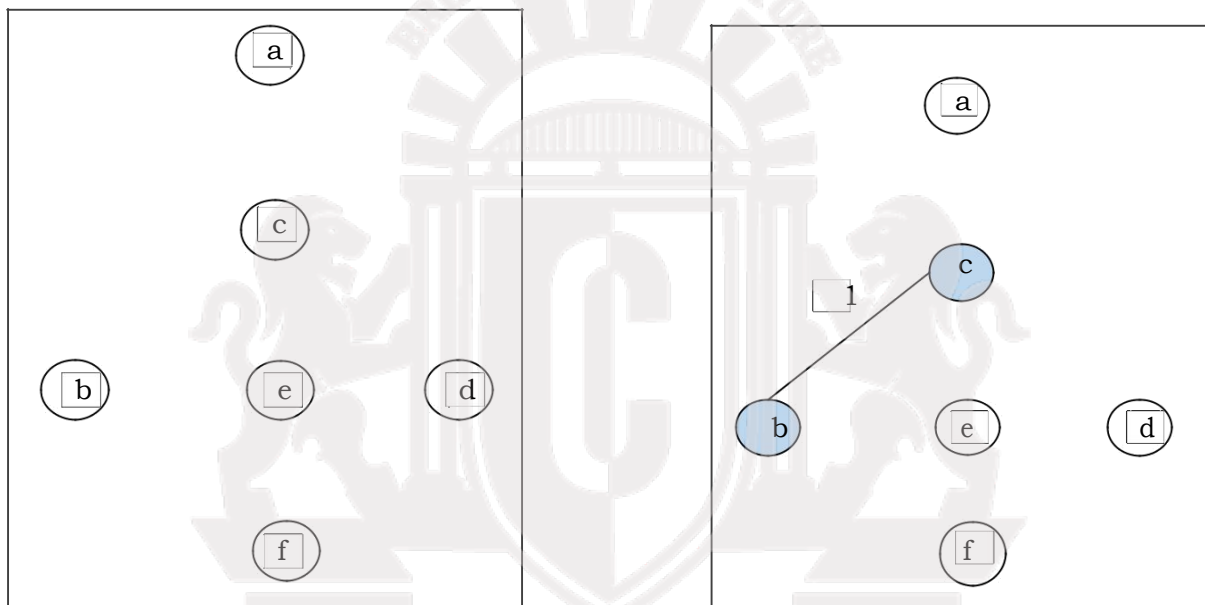
**Solution**

From the above graph we construct the following table:

Edge No.	Vertex Pair	Edge Weight
E1	(a, b)	20
E2	(a, c)	9
E3	(a, d)	13
E4	(b, c)	1
E5	(b, e)	4
E6	(b, f)	5
E7	(c, d)	2
E8	(d, e)	3
E9	(d, f)	14

Now we will rearrange the table in ascending order with respect to Edge weight:

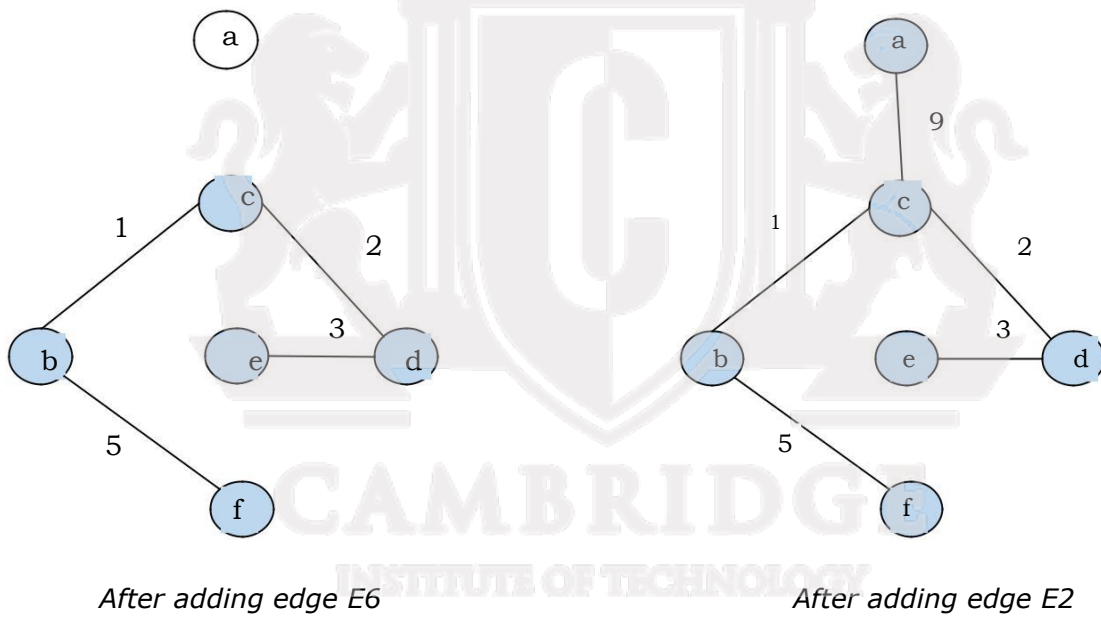
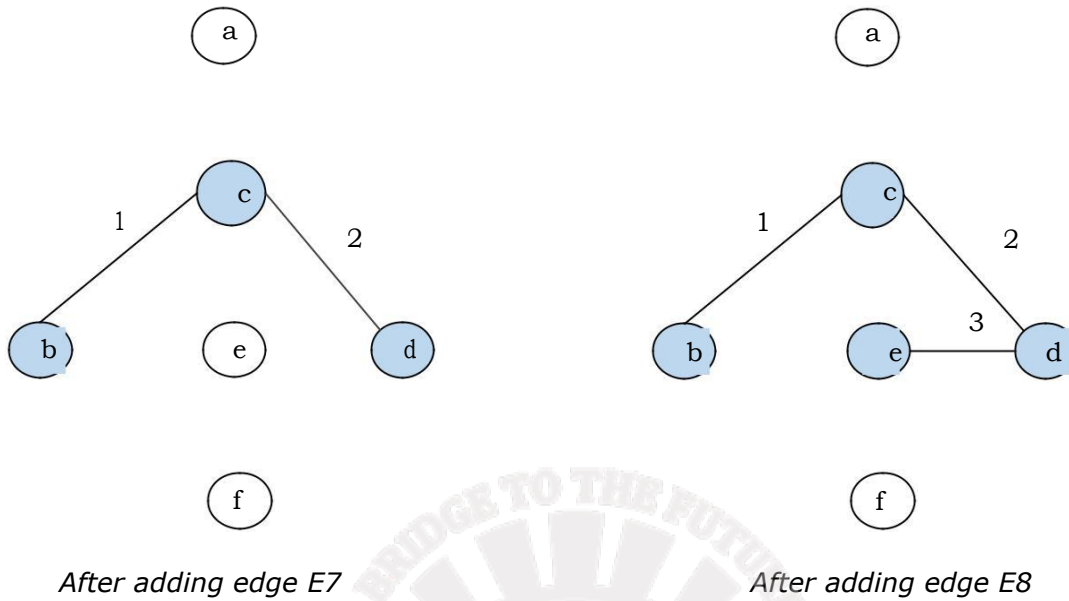
Edge No.	Vertex Pair	Edge Weight
E4	(b, c)	1
E7	(c, d)	2
E8	(d, e)	3
E5	(b, e)	4
E6	(b, f)	5
E2	(a, c)	9
E3	(a, d)	13
E9	(d, f)	14
E1	(a, b)	20



*After adding vertices*

*After adding edge E4*

*(SOURCE DIGINOTES)*



Since we got all the 5 edges in the last figure, we stop the algorithm and this is the minimal spanning tree and its total weight is  $(1+2+3+5+9) = 20$ .

## Prim's Algorithm

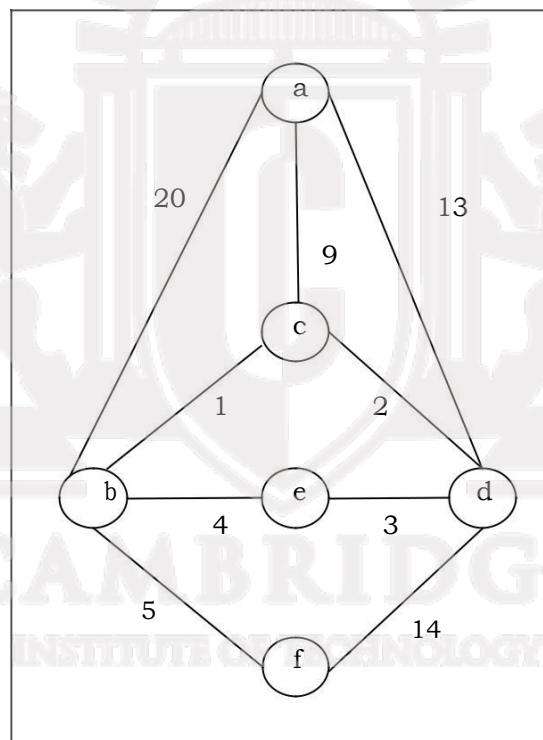
Prim's algorithm, discovered in 1930 by mathematicians, Vojtech Jarnik and Robert C. Prim, is a greedy algorithm that finds a minimum spanning tree for a connected weighted graph. It finds a tree of that graph which includes every vertex and the total weight of all the edges in the tree is less than or equal to every possible spanning tree. Prim's algorithm is faster on dense graphs.

### Algorithm

1. Create a vertex set  $V$  that keeps track of vertices already included in MST.
2. Assign a key value to all vertices in the graph. Initialize all key values as infinite. Assign key value as 0 for the first vertex so that it is picked first.
3. Pick a vertex 'x' that has minimum key value and is not in  $V$ .
4. Include the vertex  $U$  to the vertex set  $V$ .
5. Update the value of all adjacent vertices of  $x$ .
6. Repeat step 3 to step 5 until the vertex set  $V$  includes all the vertices of the graph.

### Problem

Suppose we want to find minimum spanning tree for the following graph  $G$  using Prim's algorithm.

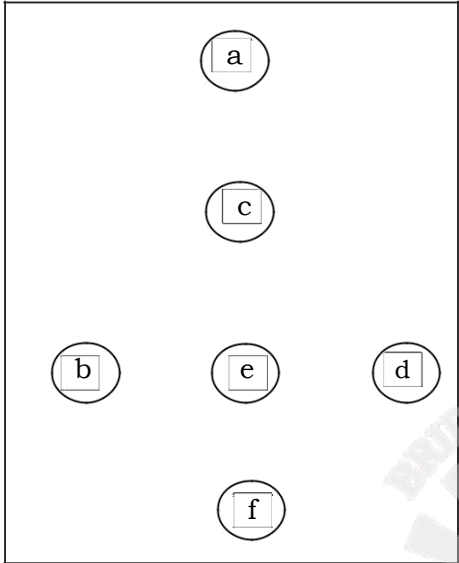


Weighted Graph  $G$

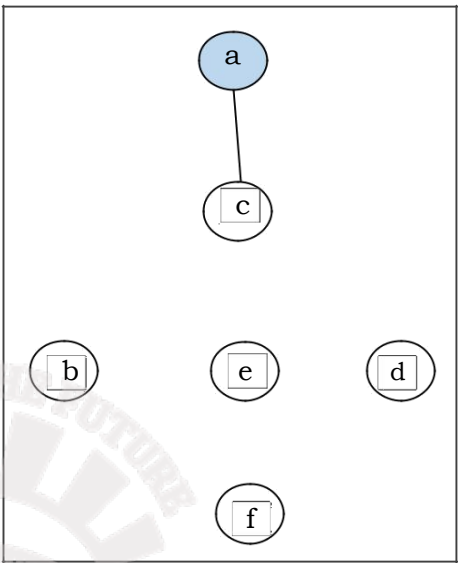
(SOURCE DIGINOTES)

**Solution**

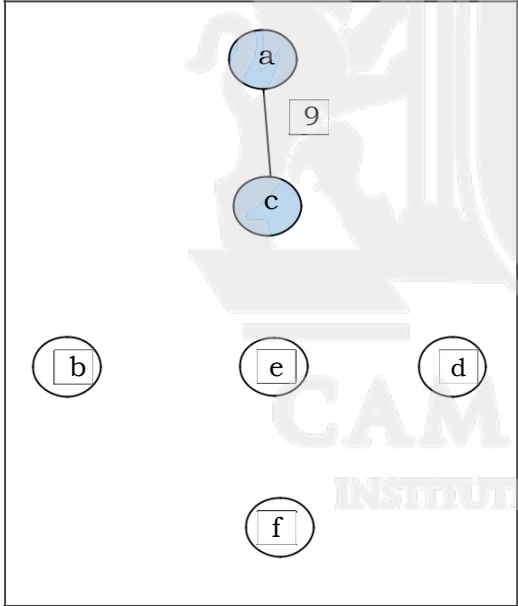
Here we start with the vertex 'a' and proceed.



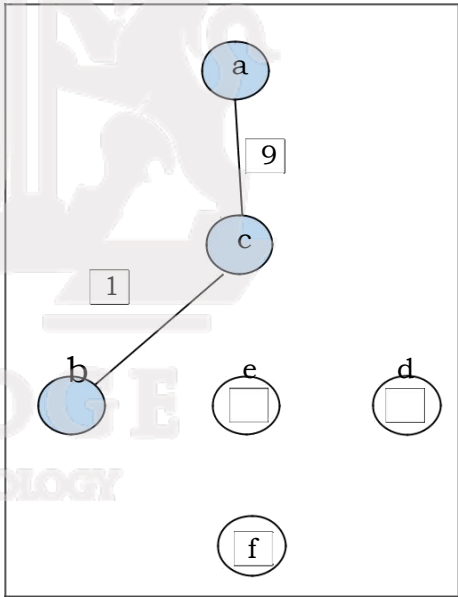
No vertices added



After adding vertex 'a'

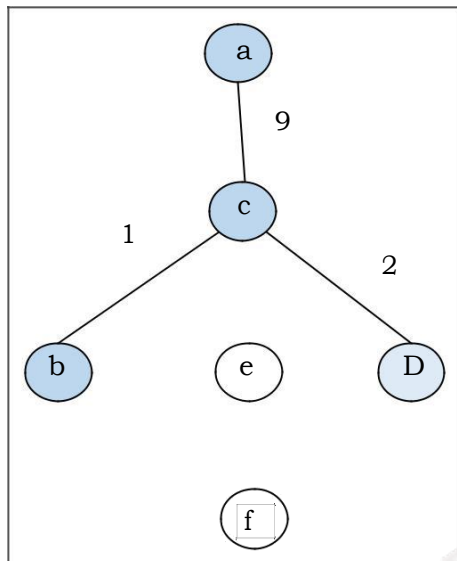


After adding vertex 'c'

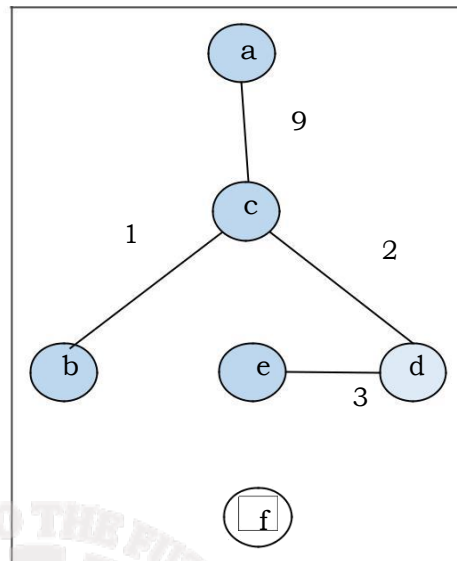


After adding vertex 'b'

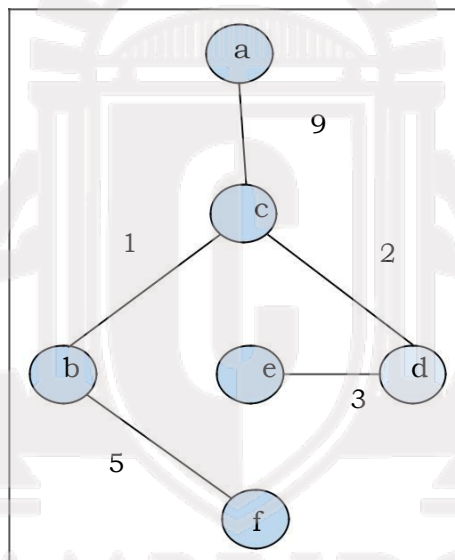
(SOURCE DIGINOTES)



After adding vertex 'd'



After adding vertex 'e'



After adding vertex 'f'

This is the minimal spanning tree and its total weight is  $(1+2+3+5+9) = 20$ .

*(SOURCE DIGINOTES)*

